



STATE OF ARKANSAS



Arkansas Natural Resources Commission and Arkansas Department of Health



SAFE DRINKING WATER FUND & STATE REVOLVING LOAN FUND PROGRAM SFY2013 ANNUAL REPORT



STATE FISCAL YEAR 2013
(July 1, 2012 - June 30, 2013)
September 2013

LIST OF ABBREVIATIONS

AASIS	Arkansas Administrative Statewide Information System
ADFA	Arkansas Development Finance Authority
ADH	Arkansas Department of Health/Division of Health
ANRC	Arkansas Natural Resources Commission
CAST	Center for Advanced Spatial Technology (University of Arkansas)
DWSRF	Drinking Water State Revolving Loan Fund
EPA	Environmental Protection Agency
FFATA	Federal Funding Accountability and Transparency Act Requirement
IUP	Intended Use Plan (for the SRF federal capitalization grant)
PWSSP	Public Water System Supervision Program (State)
SDWF	Safe Drinking Water Fund
SFY	State Fiscal Year (from July 1-June 30 of each year)
SRF	State Revolving Fund
SSAF	State Set-Aside Fund
SWAP	Source Water Assessment Program (State)
USGS	United States Geological Survey
WWAC	Water-Wastewater Advisory Committee



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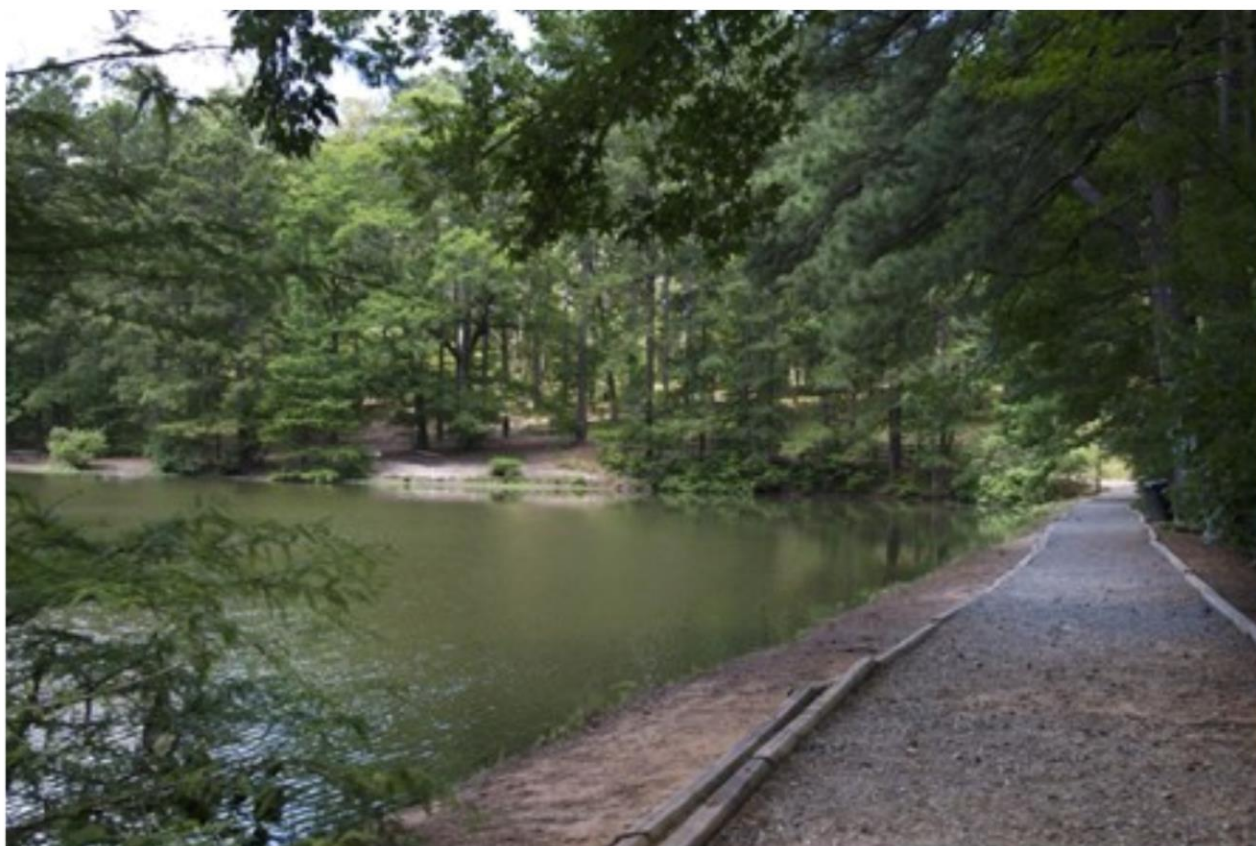
INTRODUCTION

The State of Arkansas hereby submits its Annual Report for the Drinking Water State Revolving Fund (DWSRF) program for State Fiscal Year (SFY) 2013, July 1, 2012 through June 30, 2013. This report addresses operation of the DWSRF, describes the progress made toward the long and short-term program goals, and details the activities undertaken to reach the goals and objectives set forth in the 2013 Intended Use Plan (IUP).

The State of Arkansas through Act 772 of 1997 established the Safe Drinking Water Fund (SDWF), to be administered by Arkansas Natural Resources Commission (ANRC). In April 1996, ANRC Title XV established the rules governing the Safe Drinking Water Fund.

Responsibilities for the DWSRF program are divided among the Arkansas Natural Resources Commission (ANRC), the Arkansas Department of Health/Division of Engineering (ADH), and the Arkansas Development Finance Authority (ADFA). Under the Interagency Memorandum of Understanding between ANRC and ADH, ANRC administers the loan fund and the administrative set-aside portion of the DWSRF. ADH, as primacy agent for the State's Public Water System Supervision Program (PWSS), has oversight of DWSRF, develops the comprehensive project priority list, and administers the remaining set-aside portions of the DWSRF. Fiscal responsibilities for the Program reside with ADFA.

Arkansas submitted its SFY 2013 IUP to the Environmental Protection Agency (EPA) in June 2012 and was approved by EPA.



GOALS AND ACCOMPLISHMENTS

SDWF/DWSRF OVERVIEW:

During SFY 2013, six loans were closed totaling \$11,831,000. The proceeds from these six loans will be used for distribution system improvements, water main extensions, and a new water treatment plant. Loan disbursements for the fiscal year totaled over \$18 million, \$5.4 million in additional subsidy and \$13.2 million in base loan disbursements. ANRC's administration disbursements were approximately \$1,476,667 thousand.

In the Intended Use Plan for SFY 2013, ANRC discussed its short-term and long-term goals as they relate to the four basic goals for the program. Those goals are to ensure public health protection (Goal 1), comply with the Safe Drinking Water Act (SWDA) (Goal 2), ensure affordable drinking water (Goal 3) and maintain the long-term financial health of the SDWF (Goal 4). The goals are further broken out into more definitive parts and accomplishments measured as described below.



A. PROGRESS TOWARD SHORT-TERM GOALS

- 1. Arkansas will continue to implement the State source water assessment/protection program (Goals 1 & 2).***

Achievements toward this goal are addressed and reported in the ADH Annual Report for SFY 2013, attached as Appendix D.

- 2. Arkansas will continue to implement the State capacity development program strategy at no cost to entities (Goals 1, 2 & 3).***

Achievements toward this goal are addressed and reported in the ADH Annual Report for SFY 2013, attached as Appendix D.

- 3. Arkansas will continue to screen projects using the Priority System to assure the SDWA overall goals are given priority in the DWSRF program (Goals 1, 2, and 3).***

This is both a short term and a long term goal. ADH conducts a needs survey and a new priority list is developed annually to assure that projects are identified. Entities listed ahead of the four binding commitments and four loan closings in this report were ineligible because of an inability to repay, were using a different loan source, or were not ready to proceed.

- 4. Arkansas will continue to implement the State Public Water Supply Supervision plan in conformance with all requirements of the SDWA.***

Progress toward achieving this goal is also detailed in the ADH Annual Report for SFY 2013, attached as Appendix D.

- 5. Arkansas will submit the Intended Use Plan by the deadline with approval expected to follow in SFY 2013.***

Arkansas submitted its SFY 2013 IUP to the EPA in June 2012 and was approved in June 2012.

- 6. The Division agrees to comply with all requests for data related to the use of the funds under Section 1452 of the Safe Drinking Water Act (SDWA), and to report all uses of the funds no less than quarterly, as EPA specifies for the Drinking Water Project Benefits Reporting database.***

Arkansas reported all loans for projects that were closed in SFY2013 in the Drinking Water Project Benefits Reporting database.

- 7. The Division agrees to provide in its Annual Report information regarding key project characteristics, milestones, and environmental/public health***

protection results in the following areas: 1) achievement of the outputs and outcomes established in the Intended Use Plan; 2) the reasons for delays if established outputs or outcomes were not met; 3) any additional pertinent information on environmental results.

Arkansas is providing in this report all of the information regarding key project characteristics, milestones, and environmental/public health protection results in the following areas: 1) achievement of the outputs and outcomes established in the Intended Use Plan; 2) the reasons for delays if established outputs or outcomes were not met; 3) any additional pertinent information on environmental results

8. The Division agrees to make a timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities.

Arkansas made timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities.

B. PROGRESS TOWARD LONG-TERM GOALS

Through their affiliation with the Water/Wastewater Advisory Committee (WWAC), the Division and the Arkansas Department of Health (ADH) continue to work together to address Safe Drinking Water Act compliance issues. The WWAC is a body composed of representatives from four State Agencies and United States Department Agriculture-Rural Development, and was established by the Governor of the State to coordinate state and federal funding, provide technical feasibility and regulatory reviews. WWAC members work together in coordinating funding and extending water quality standards and compliance. It also provides a forum to communicate the availability of RLF funding to cities and communities.

1. Arkansas will continue to implement the Public Water System Supervision Program in accordance with the requirements of the federal SDWA and in conformance with its Primacy commitments.

As mentioned in Section A, progress toward these goals is described in the ADH Annual Report for SFY 2013, attached as Appendix D.

2. Arkansas will continue to implement the State source water assessment/protection program (Goals 1 & 2), and will continue to implement the State capacity development program strategy at no cost to entities (Goals 1, 2 & 3).

As mentioned in Section A, progress toward these goals is described in the ADH Annual Report for SFY 2013, attached as Appendix D.

3. Arkansas will provide entities with a source of long-term low-interest financing that will allow them to protect their customers' health and comply with the SDWA (Goals 1, 2 & 3).

In SFY 2013 Arkansas made changes to how its Lending rates will be determined. The Lending Rate is composed of two parts: the interest rate and the servicing fee. The initial objective for ANRC is to establish a Lending Rate for DWSRF loans at 80% of the current bond market's taxable or tax-exempt rate depending on whether the project qualifies for a taxable or tax-exempt rate.

The Lending Rate will be determined at the time the Borrower is developing the Bond Purchase Agreement and the Bond Ordinance. The Lending Rate is determined using a formula that starts with a published list of daily market interest rates for a series of maturity dates for General Obligation Bonds. Those rates are modified to take into account the fact that the DWSRF is a Revenue Bond program and then the series is converted to an average weighted value. That single rate is then multiplied by 80% to get the Lending Rate. The servicing fee is currently set at 1.0%, and interest rate is the difference between the Lending Rate and the servicing fee. The servicing fee is the same for all projects, so the interest rate changes as the lending rate changes. Arkansas varies the lending rate in this manner to encourage repayment of the loans as quickly as possible in order for the DWSRF program to fund other projects. The 1% service fee is placed into an account which is outside the DWSRF fund.

On January 11, 2013, the Lending Rate was 1.1% for a ten year repayment period, 1.9% for a 20 year repayment period and 2.3% for a thirty year repayment period. Prior to January 2013, the lending rate was 3.00% for loans that repay in 10 years or less, 3.25% for loans that repay in 10 – 20 years and 3.5% for loans that repay in 20 – 30 years.

4. Arkansas will continue to screen projects using the Priority System to assure the SDWA overall goals are given priority in the DWSRF program (Goals 1, 2 & 3).

ADH conducts a needs survey and a new priority list is developed annually to assure that projects are identified. The seven binding commitments and six loan closings in SFY 2013 were made to projects listed on current and previous priority lists. Entities listed ahead of the projects on the current list were ineligible because of their inability to repay, use of a different loan source, or they were not ready to proceed.

5. Arkansas is committed to enhance the DWSRF program by continuing to streamline the application process for the benefit of future generations (Goal 3).

The DWSRF program is continually being reviewed in terms of future demand, changes in loan terms, lending rates, and whether or not demand is such that there is a need to leverage the program. The primary concern is always to provide low cost loans to

entities in Arkansas while maximizing the funds available in order to meet the purpose of the SDWA through the improvement of the State's water infrastructure.

6. Arkansas will maximize the number of entities meeting the SDWA requirements by combining the DWSRF program with other State and Federal funding programs.

Arkansas continues to combine the DWSRF program with other State and Federal funding programs when beneficial. Unfortunately, Arkansas was only able to close six loans this year. None of those six loans required co-funding with other programs to meet their needs.

7. Arkansas plans to maintain the fiscal integrity of the DWSRF (Goal 3).

The DWSRF is managed on a day to day basis to assure that funds are available to make qualified loans. The Program undergoes an annual audit by independent auditors to further assure its integrity. The DWSRF is required to provide a minimum of \$6,161,700 as additional subsidization with the FFY2010 Cap Grant, a minimum of \$4,275,600 as additional subsidization with the FFY2011 Cap Grant and a minimum of \$2,716,400 as additional subsidization with the FFY2012 Cap Grant. The DWSRF program division will provide the additional subsidization in the form of principal forgiveness to the following eight entities:

Entity	Principal Forgiveness Amount	2010 Cap Grant Requirement	2011 Cap Grant Requirement	2012 Cap Grant Requirement	2013 Cap Grant Requirement
		(\$6,161,700)	(\$4,275,600)	(\$2,716,400)	(\$2,548,600)
McNeil	\$ 1,331,000	\$ 951,700	\$ 379,300	\$ -	\$ -
Winthrop	\$ 1,400,000	\$ -	\$ 1,400,000	\$ -	\$ -
Chidester	\$ 1,700,000	\$ -	\$ 1,700,000	\$ -	\$ -
Chidester	\$ 800,000	\$ -	\$ -	\$ 112,700	\$ 687,300
Bradley	\$ 1,700,000	\$ -	\$ 96,300	\$ 1,603,700	\$ -
Stephens	\$ 3,000,000	\$ 2,900,000	\$ 100,000	\$ -	\$ -
Norman	\$ 2,310,000	\$ 2,310,000	\$ -	\$ -	\$ -
Old Bella Vista	\$ 600,000	\$ -	\$ 600,000	\$ -	\$ -
Wilton Waterworks	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000	\$ -
Grand Total	\$ 13,041,000	\$ 6,161,700	\$ 4,275,600	\$ 2,716,400	\$ 687,300

The two terms that the Division modifies when it makes loans to entities are the Lending Rate and the Repayment Period.

The State has other funding options to assist communities unable to meet DWSRF loan requirements when necessary. Each prospective project undergoes a financial analysis to determine financial capability before a loan is granted. There have not been any delinquent loan repayments to date.

8. Arkansas is committed to maintaining the fund in perpetuity by protecting the principal that has been and continues to be deposited into the DWSRF program (Goal 4).

As required by EPA regulations to ensure perpetuity, principal repayments and interest are deposited into the Fund. The perpetuity of the program as evidenced in chart and graph form is presented in Appendix B-5.

The one percent (1%) financing fee is deposited outside the Fund to cover additional expenses. ANRC realizes these funds are restricted by the Drinking Water regulations and ANRC does and will continue to use these funds in compliance with those regulations.

9. Arkansas will meet the growing demand for the DWSRF program by leveraging the Fund when the demand exceeds the current revenue streams (Goals 3 & 4).

Arkansas leverages periodically to increase the funds available for assistance. Arkansas did not leverage the Drinking Water program in State Fiscal Year 2013. If it does, EPA Region 6 will be contacted prior to leveraging.

DWSRF LOAN AND SET-ASIDE ACTIVITIES

A. SOURCES OF DWSRF FUNDING

A total of \$13,917,000 became available for use through the EPA automated clearinghouse during SFY 2013. Additional funding made available for the DWSRF totaled \$9,282,293 and consisted of principal repayments of \$4,513,647, interest earnings on loans of \$3,167,040, interest on investments of \$35,241 and financing fees of \$1,566,365. Table 1 (Sources and Uses) itemizes the sources and uses of DWSRF funds available in SFY 2013:

Table 1

DWSRF SOURCES AND USES				
Sources of Federal Funds	Cumulative Total	SFY 13 Amount	Cumulative Total	% of Cum Total
**Cap Grants-Loan	119,870,697	11,714,520	131,585,217	73%
Administration	6,654,092	556,680	7,210,772	4%
Tech Assistance	2,228,766	-	2,228,766	1%
State Program Mngt.	14,343,530	254,100	14,597,630	8%
State Programs	23,255,215	1,391,700	24,646,915	14%
TOTAL CAP GRANTS	\$ 166,352,300	\$ 13,917,000	\$ 180,269,300	100%
OTHER SOURCES	Cumulative Total	SFY 13 Amount	Cumulative Total	% of Cum Total
State Match	35,716,040	2,783,400	38,499,440	27%
ADH In-Kind Match	14,951,050	2,861,859	17,812,909	13%
Prin. Loan Repayments	18,641,830	4,513,647	23,155,477	16%
Interest-Loans	17,364,391	3,167,040	20,531,431	15%
Interest-Investments	1,819,914	35,241	1,855,155	1%
Financing Fees	9,290,080	1,566,365	10,856,445	8%
Leveraged Amount	28,304,705	-	28,304,705	20%
TOTAL OTHER	126,088,010	14,927,552	141,015,562	100%
Uses of Federal Funds	Cumulative Total	SFY 13 Amount	Cumulative Total	% of Cum Total
Federal Loan Disbursement	\$ 105,105,281	\$ 13,624,690	\$ 118,729,971	53%
State Loan Disbursement	\$ 35,179,417	\$ 5,019,045	\$ 40,198,462	18%
Loan Repayments Fund	\$ 17,933,752	\$ -	\$ 17,933,752	8%
Administration	\$ 5,275,852	\$ 1,476,667	\$ 6,752,519	3%
Other Set-Asides	\$ 32,356,993	\$ 3,687,940	\$ 36,044,933	16%
Outside RLF Funds	\$ 3,062,948	\$ -	\$ 3,062,948	1%
Totals	\$ 198,914,243	\$ 23,808,342	\$ 222,722,585	100%
Note:	Previous Total	SFY2013	Remaining Amt	
Cap Grant totals (-) Uses	\$ 14,765,416	\$ (1,910,170)	\$ 12,855,246	
Administration (-) Uses	\$ 1,378,240	\$ (919,987)	\$ 458,253	
Set-Aside Totals (-) Uses	\$ 7,470,518	\$ (2,042,140)	\$ 5,428,378	

- **Capitalization Grants**

EPA has awarded Arkansas a total of \$180,269,300 in federal capitalization grants through the 2012 cap grant. ANRC started drawing construction funds from the 2011 cap grant in this fiscal year. However, remaining set asides on prior year grants are still available.

- **State Match**

Total State Match at the end of SFY 2013 was \$38,499,480 (Appendix B-2). ADH provided additional state matching funds for the State Program Management Set-Aside as discussed in the ADH Annual Report found in Appendix D.

- **Leveraging of the DWSRF**

The DWSRF was leveraged for the first time in November 2011. Arkansas leverages periodically to increase the funds available for assistance. Arkansas did not leverage the Drinking Water program in State Fiscal Year 2013. EPA Region 6 will be contacted if Arkansas considers leveraging in the future.

- **Cash Draw Ratio Proportionality**

Arkansas has received permission to vary from the normal proportionality ratio for federal fund disbursements. Arkansas received permission to spend State Match for a Cap Grant before requesting federal funds. This is because Arkansas is prohibited from disbursing State Match in any of the forms used for Additional Subsidization. As long as federal funds are required to be spent on Additional Subsidization, Arkansas will need this variance in each Cap Grant.

- **Principal Loan Repayments & Interest Earnings**

Principal loan repayments for DWSRF were \$4,513,647. Also, DWSRF received \$3,167,040, in interest earnings on outstanding loans and \$35,241 in interest earnings on investments.

- **Financing Fees**

On January 11, 2013, the Lending Rate was 1.1% for a ten year repayment period, 1.9% for a 20 year repayment period and 2.3% for a thirty year repayment period. The lending rate consists of the interest rate and a 1% financing fee amortized over the life of a loan. The total amount of \$1,566,365 was received from financing fees for SFY 2013.

B. USES OF DWSRF FUNDS

ANRC used DWSRF funds to make loan disbursements of \$18,643,735 to water projects under construction. Federal funds of \$13,624,690 and state funds of \$5,019,045 were disbursed to loan recipients. Program administration and other set-asides expenditures submitted by the Department of Health amounted to \$5,164,606 for the fiscal year. A total of \$2,831,880 of ADH set-asides funds were re-budgeted back into the construction account during FY2012. Of this total \$1,425,200 was re-budgeted from the FY2011 State Program Management set-aside funds, \$285,040 from the FY2011 Small System Technical Assistance set-aside funds, \$271,640 from the FY2012 Technical Assistance set-aside funds, and \$850,000 from the FY2012 State Program Management set-aside funds were re-budgeted.

1) **Loan Assistance Status**

In this reporting period, ANRC closed loans with the following: City of Beebe #4 for \$3,000,000; the City of Bradley for \$1,700,000; the City of McNeil for \$1,331,000; Old Bella Vista for \$600,000, Paron-Owensville for \$2,200,000 and the City of Stephens for \$3,000,000, which totals \$11,831,000. The cumulative face amount of loans closed totals is \$209,387,347. (See Appendix A-1)

These loans were made to water systems collectively serving 9,404 in population. The six loans were made for distribution system improvements, water main extensions, and a new water treatment plant.

- **Binding Commitments**

During the year binding commitments totaling \$13,531,000 increased the adjusted cumulative total to \$211,175,011 (See Appendix B-1), and consequently, the percentage of binding commitments to required binding commitments increased to 126% by the end of the fiscal year. Of the seven binding commitments made, six proceeded to loan closing during the fiscal year. The other project loan closed in August 2013.

- **Projects Bypassed**

Entities listed ahead of the six projects funded during SFY 2013 were ineligible because of inability to repay, using a different loan source, or were not ready to proceed. Because of the purpose of the DWSRF and ANRC's stated goals, the Agency is very desirous of increasing funding to eligible projects. Sufficient funds are available so that there is no pressure to favor any applicant or project over another.

- **Small Systems**

Under Section 1452(a)(2) of the 1996 Amendments to the Safe Drinking Water Act (SDWA) 15 percent of state loan funds are to be available for loans to small water systems (<10,000 population). All of the loans closed were obligated as a small system. The cumulative amount of loans to small systems is \$66,466,000, which is 31.46% total binding commitments. (See Appendix B-1)

- **Disadvantaged Community Systems**

The State's DWSRF program for disadvantaged communities now provides additional subsidies for loans and also has a provision to extend the life of the loan from 20 to 30 years. Five of the loans closed qualified as disadvantaged systems. The cumulative amount of loans to disadvantaged systems is \$117,124,000, which is 55.46% of total binding commitments. (See Appendix B-1)

2) Set-Aside Activity

The four set-aside categories are split between Arkansas Natural Resources Commission and the Arkansas Department of Health. The set-aside for administration was delegated to ANRC with the remaining set-asides being delegated to the ADH for implementing the State Public Water System Supervision Program.

A total of \$1,476,667 in annual expenses was paid from the 4% set-aside for DWSRF administration during SFY 2013. Reimbursement for expenditures claimed by the ADH totaled approximately \$3,687,940 for a total set-aside expenditure of \$5,164,606. (See Appendix B-4 Part 1)

The annual report of the Arkansas Department of Health for SFY 2013 presents progress and expenditures for set-asides covering state program management, technical assistance, and local assistance/other state programs. That information is presented in Appendix D.

FINANCIAL SUMMARY

A. Discussion and Analysis

The overall financial position and operations results of the DWSRF continue to improve as indicated in the financial data in Appendix C. Total assets increased to \$220 million as of June 30, 2013, from \$204.1 million on June 30, 2012. Loans receivable increased 5% when compared to the prior fiscal year. The accounts receivable amount of \$151,484 reflects funds pending reimbursement by EPA. ADH program administration net assets increased from \$374,866 on June 30, 2012 to \$453,355 on June 30, 2013.

The 2% increase in total liabilities to \$30,679,612 on June 30, 2013, from \$331,316,449 on June 30, 2012, was primarily caused by the amortization of deferred fees. The deferred fee balance remained the same balance of \$3,595,485. A separate 1.0% financing fee is amortized over the life of the loan. Earnings on investments increased from \$7,491 in fiscal year 2012 to \$38,953.00 in fiscal year 2013. (See Appendix C-1 and Appendix C-2)

The accounting firm of BKD, LLP is conducting an independent audit of the DWSRF for SFY 2013. GASB Statement No. 34 with its amendments, commonly referred to as the new reporting model, was implemented for year end June 30, 2002. Supplementary information, Management's Discussion and Analysis, will be included in the BKD report. An electronic copy of the audit will be provided to EPA when it becomes available.

B. Status of Loans

At fiscal year end, the DWSRF had funded a total of \$11,831,000 in loans (See Appendix A-1). Loans receivable, which constitute the major portion of DWSRF assets,

had a year-end balance of \$160,335,668 (See Appendix C-1). Currently, no delinquent payments have been reported.

C. Loan Disbursements/Cash Draw Proportionality

Arkansas disbursed a total of \$13,624,690 of Federal funds to borrowers during this reporting period. The cumulative amount of Federal funds disbursed for loans is \$118,729,971. Appendix B-4 Part 2 is a schedule of loan disbursements by project.

D. Set-Aside Disbursements

Set-aside expenses of \$5,164,606 were incurred during the state fiscal year. ANRC expenses were \$1,476,667 from program administration and ADH expenses were \$3,687,940. Appendix B-3 Parts 1 and 2 list Federal amounts of the set-aside disbursements by type and a breakdown of monthly administrative expenses. Outside the RLF funds are not included in this chart.

E. Annual Repayment/Aging of Accounts

Approximately \$4,513,647 in principal was repaid in SFY 2013. No delinquent payments have been reported.

F. Loan Portfolio Analysis

Arkansas conducts an annual review of its loan portfolio. Loans that are potentially weak are identified and tracked closely to ensure that conditions are not deteriorating. The assessment process measures the level of funding given to systems by credit quality category as measured by bond rating. Currently our loan portfolio is rated AAA.

G. Investments

During the year, investments were made by the Arkansas Development Finance Authority and consisted of excess account balances invested in governmental funds.

H. Environmental Results

Arkansas' DWSRF Program will satisfy EPA's Strategic Goal 2 for Clean and Safe Water, Objective 2.1 for Protecting Human Health, Sub objective 2.1.1 for Water Safe to drink by relating the activities of the Program to quantifiable environmental results. The following table shows the environmental reviews that were conducted and the results of each review.

ENTITY	RESULT
Paron-Owensville	FONSI
Old Bella Vista	FONSI
McNeil	FONSI
Stephens	FONSI
Beebe#4	Categorical Exclusion
Bradley	FONSI

COMPLIANCE WITH OPERATING AGREEMENT AND GRANT CONDITIONS

Arkansas revised its Operating Agreement for the DWSRF and was sent to EPA on October 13, 2010. We have met and continue to be in compliance with the following conditions as described in the grant conditions:

- Establish state instrumentality and authority.
- Comply with applicable state laws and procedures.
- Review technical, financial, and managerial capacity of assistance recipients.
- Establish DWSRF loan account, set-aside account, and SWSRLF administration account.
- Deposit all funds in appropriate accounts.
- Follow state accounting and auditing procedures.
- Require that DWSRF loan recipient accounting and auditing procedures be in accordance with generally accepted accounting principles and OMB Circular A-133.
- Submit IUP and use all funds in accordance with the plan.
- Comply with enforceable requirements of the Act.
- Establish capacity development authority.
- Implement/maintain system to minimize risk of waste, fraud, abuse, and corrective action.
- Develop and submit project priority ranking system.

We have met the following conditions as described below:

- **Take payments based on payment schedule**

We have received payments from EPA's payment system in accordance with the payment schedule included in the grant awards. Appendix B-2 includes a schedule of grant payments received.

- **Deposit state matching funds**

Arkansas provided state match in the amount of \$2,783,400 in SFY2013. ADH describes their state matching funds in the ADH Annual Report in Appendix D.

- **Submit biennial report and annual audit**

Arkansas chose to submit an annual report to the Environmental Protection Agency rather than a biennial report. This report is submitted to satisfy this condition. The SFY 2013 annual audit conducted by BKD, LLP, is in progress. A copy of the audit will be provided to EPA when it becomes available.

- **Assure that borrowers have a dedicated source of repayment**

ANRC staff conducts a credit review evaluation for all SRF loan applicants. This evaluation determines whether or not an applicant has the ability to repay a loan. For all applicants, the expected revenue stream from user fees must be sufficient to repay the loan, pay operation and maintenance costs, and pay for other necessary expenses.

- **Use funds in timely and expeditious manner**

Arkansas has committed 100 percent of all available loan funds through the FY2012 capitalization grant FS986001-15. Public water systems with binding commitments are moving in an expeditious and timely manner to start construction. ANRC monitors construction progress through monthly on-site inspections to ensure that operations are initiated according to schedule and funds are drawn in a timely and expeditious manner.

- **Ensure recipient compliance with applicable federal cross-cutting authorities**

Arkansas and all of its assistance recipients have complied with all applicable federal cross-cutting authorities. The State applied the six affirmative steps in an effort to achieve the negotiated fair share objectives.

ANRC's fair share objectives for SFY 2013 are:

	MBE	WBE
Construction	2.97%	2.59%
Services	1.74%	6.21%
Supplies	1.63%	3.88%
Equipment	3.15%	5.57%

A breakdown by quarter is shown below:

QTR	Contract Amount	MBE	%	WBE	%
1	\$ 4,524,160.11	\$ -	0.000%	\$ -	0.000%
2	\$ 2,695,568.03	\$ 1,500	0.056%	\$ -	0.000%
3	\$ 5,356,200.93	\$ 15,300	0.286%	\$ -	0.000%
4	\$ 6,213,367.69	\$ 82,300	1.325%	\$1,211	0.019%
Total	\$18,789,296.76	\$ 99,100	1.666%	\$1,211	0.019%

Our projected fair share objectives for SFY 2013 will remain the same as for SFY 2012. Documentation to support the six affirmative steps is closely reviewed by the MBE/WBE Coordinator. All construction project bid packages as well as procurement of professional services, supplies and equipment purchases are closely monitored to determine if the affirmative steps were followed. These documents are maintained in the project files.

- **Conduct environmental reviews**

The new public water systems receiving assistance during SFY 2013 were reviewed and approved using the state's environmental review procedures. It was determined that no environmental impact statements were necessary.

APPENDIX



LOANS CLOSED AND PROJECT PRIORITY LIST

APPENDIX A-1
TOTAL DWSRF LOAN CLOSINGS
SFY Ended June 30, 2013

NO.	PROJECT NAME COMMUNITIES SERVED	PROJ # WRD-003	LOAN CLOSING DATE	LOAN CLOSING AMOUNT	ADDITIONAL SUBSIDY	EST. COMP. DATE	LOAN MATURITY DATE	COMB. INTEREST RATE	POPULATION	NUMBER OF USERS	**SMALL SYSTEMS (<10,000) CLOSED	DISADV. COMMUNITY
1	Paron-Owensville	148	11/27/12	\$ 2,200,000	\$ -	11/4/14	04/15/35	2.75%	1,825	671	2,200,000	2,200,000
2	Old Bella Vista	801	11/28/12	\$ 600,000	\$ 600,000	04/16/14	10/15/34	0.00%	103	42	600,000	600,000
3	McNeil	780	12/07/12	\$ 1,331,000	\$ 1,331,000	12/3/14	04/15/35	3.25%	595	238	1,331,000	1,331,000
4	Stephens	783	12/07/12	\$ 3,000,000	\$ 3,000,000	11/15/14	04/15/35	3.25%	1,379	584	3,000,000	3,000,000
5	Beebe #4	829	05/29/13	\$ 3,000,000	\$ -	4/1/15	04/15/35	2.25%	5,000	2,899	3,000,000	-
6	Bradley	807	06/13/13	\$ 1,700,000	\$ 1,700,000	4/15/15	10/15/35	3.25%	502	230	1,700,000	1,700,000
SECTION 1452 LOANS CLOSED SFY 2013 TOTAL				\$ 11,831,000	\$ 6,631,000				9,404	4,664	11,831,000	8,831,000
TOTAL CUM SECTION 1452 LOANS BROUGHT FORWARD				\$ 197,556,347								
TOTAL CUM SECTION 1452 LOANS CLOSED				\$ 209,387,347								
**Small systems are defined as systems that population is 10,000 or fewer persons												

APPENDIX A-2

2013 DWSRF Project Priority List

Rank	Year	Water System Name	Points	PWS	Services	Population	MHI	Project	Project Description	Status of Project
1	2012	Hozanna Heights Water Association	149	884	30	79	\$20,588	\$5,180	New Treatment Installation	No Application Received (ANRC)
2	2010	Siloam Springs Water Works	124	56	6,484	19,022	\$34,513	\$21,033,125	Water Treatment Facility Improvements	No Application Received (ANRC)
3	2008	West Pulaski Water Users Authority	124		747	1,868	\$54,375	\$6,250,000	New Water Distribution System Connection to CAW	No Application Received (ANRC)
4	2012	Old Bella Vista POA***	95	753	42	103	\$30,700	\$600,000	Connection to the City of Bentonville	ANRC Funding
5	2012	Lockesburg Waterworks	84	517	341	853	\$31,213	\$2,222,985	Distribution System Replacement	ANRC Funding
6	2009	Paron-Owensville Water Authority***	74	883	671	1,825	\$25,340	\$1,477,365	New Water Treatment Plant	Waiting on Addtl Information
7	2012	Scranton Waterworks	73	330	538	1,100	\$24,500	\$5,974,000	Transmission Facilities	ANRC Funding
8	2010	Conway Corporation	53	189	22,823	52,000	\$40,967	\$8,000,000	Water Quality Compliance Project	No Application Received (ANRC)
9	2013	Jasper	47	397	275	466	\$14,333	\$1,108,260	Water Line Rehabilitation	No Application Received (ANRC)
10	2013	Marshall	47	501	1,150	2,760	\$30,214	\$965,100	Water Line Rehabilitation	No Application Received (ANRC)
11	2012	Stephens Waterworks***	45	405	584	1,379	\$22,045	\$2,754,771	Distribution System Replacement	ANRC Funding
12	2012	McNeil Waterworks***	45	110	238	595	\$21,136	\$1,553,863	Distribution System Replacement	ANRC Funding
13	2004	Siloam Springs	45	56	6,287	14,672	\$34,513	\$9,600,000	Rehab Tank, New RW Transmission Main, Rehab AC Dist Mains	No Application Received (ANRC)
14	2012	Bradley Waterworks***	37	294	230	502	\$24,632	\$1,533,711	Distribution System Replacement	ANRC Funding
15	2009	Gilmore	36	154	104	292	\$20,625	\$1,288,000	New Water Treatment Plant and New Elevated Storage Tank	No Application Received (ANRC)
16	2012	City of Winthrop	35	642	170	474	\$25,313	\$1,633,098	Distribution System Replacement	ANRC Funding
17	2010	Beebe***	33	592	2,899	5,000	\$35,250	\$1,065,000	LWPWA Connector Main	No Application Received (ANRC)
18	2012	Chidester Waterworks	32	403	218	335	\$21,397	\$1,643,716	Distribution System Replacement	ANRC Funding
19	2013	City of Strong	32	556	367	1,220	\$21,250	\$1,341,781	Water Line Replacement	No Application Received (ANRC)
20	2004	Bella Vista POA	31	039	10,770	24,485	\$44,090	\$4,300,500	Distribution improvements	No Application Received (ANRC)
21	2012	Lakeview Municipal Water	30	420	214	575	\$15,536	\$230,000	Rehab of Storage Tank	No Application Received (ANRC)
22	2012	Wilton Waterworks	29	321	200	534	\$30,625	\$1,163,082	Distribution System Replacement	ANRC Funding
23	2012	Coy Waterworks	27	340	97	310	\$26,406	\$46,000	Rehab of Storage Tank	No Application Received (ANRC)
24	2007	City of Flippin	25	351	1,391	3,325	\$19,395	\$2,000,000	Storage/Distribution Improvements	Waiting on Addtl Information
25	2009	Wabbaseka Waterworks	23	283	131	244	\$9,375	\$405,000	Water Treatment Rehab	No Application Received (ANRC)
26	2012	City of Bryant	21	486	7,185	15,382	\$48,870	\$2,000,000	Automated Meter Reading System & Replacement	ANRC Funding
27	2012	Tollette Waterworks	21	618	142	339	\$24,688	\$46,000	Rehab of Storage Tank	No Application Received (ANRC)
28	2012	City of Berryville	21	074	2,016	5,020	\$26,408	\$926,266	CR 501 Water Main Upgrade	No Application Received (ANRC)
29	2006	Mountain View Water & Wastewater	21	542	2,462	5,864	\$19,302	\$675,000	Distribution System Improvements	No Application Received (ANRC)
30	2009	West Memphis	19	156	9,725	27,780	\$27,399	\$3,445,000	New Water Treatment Plant and New Elevated Storage Tank	No Application Received (ANRC)
31	2012	Gosnell Water Associaton	13	374	1,394	3,612	\$31,423	\$750,000	System Loop	No Funding Application Received
32	2012	Bono Waterworks	13	126	842	1,971	\$31,307	\$180,000	Radio Meter Replacement	No Funding Application Received
33	2012	Gosnell Water Associaton	11	374	1,394	3,612	\$31,423	\$350,000	Meter Changeout and Upgrade Project	No Funding Application Received
34	2009	Hope Tank Rehab	11	230	4,571	11,405	\$25,385	\$500,000	Tank Rehab	No Funding Application Received
35	2009	Hampton Waterworks	11	70	712	1,730	\$25,057	\$296,340	Water Tank Rehab	No Application Received (ANRC)
36	2009	Hope WL	3	230	4,571	11,405	\$25,385	\$450,000	WL Replacement	No Funding Application Received

**Note: Loans closed in SFY2013

APPENDIX

B

REPORTS ON BINDING COMMITMENTS,
GRANT PAYMENTS, SET-ASIDE SUMMARIES,
CASH DRAWS AND PERPETUITY

APPENDIX B-1
TOTAL DWSRF LOAN BINDING COMMITMENTS (BC)
SFY Ended June 30, 2013

	PROJECT NAME	PROJECT DESCRIPTION	PROJ WRD- 003	BINDING COMMITMENT DATE	BINDING COMMITMENT AMOUNT	DISADV. COMMUNITY	D/A COM. As % of CUM. TOTAL LOANS	POPULATION	SMALL SYSTEMS (<10,000) OBLIGATED	S/SYS as % of CUM. TOTAL LOANS
1	Old Bella Vista	Improvements consist of a transmission main and associated appurtenances, with the intent of providing a new water supply source. In addition, approximately 1,100 linear feet of existing 2-inch and 3-inch line long Highway 71 will be replaced by a new 4-inch and 6-inch ductile iron main in order to improve distribution capabilities and reduce maintenance requirements. A section of 2-inch HDPE line will be installed in order to connect the AHTD Visitor's Center to the Old Bella Vista (OBV) water system. Finally, a flushing valve will be installed at the upper end of the Murphy Drive reach of the system, near the current supply point, to allow for two-way flushing of the distribution line.	801	10/23/2012	\$ 600,000	\$ 600,000		103	\$ 600,000	
2	Stephens	The city will replace 650 feet of twelve (12) inch water main, 12,405 feet of eight (8) inch water main, 35,945 feet of six (6) inch water main, 7,830 feet of four (4) inch water main, 4,830 feet of three (3) inch water main, 22,980 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see a significant reduction in their unaccounted for water department. With the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years.	783	11/5/2012	\$ 3,000,000	\$ 3,000,000		1,379	\$ 3,000,000	
3	Paron-Owensville	New water treatment plant located in Paron and supplied from Lake Winona raw water line owned by Central Arkansas Water (CAW) would enable the Paron-Owensville Water System to increase its capacity to meet the growing demand for water in the northern parts of the water service area, the savings in purchasing raw water from CAW and treating it as compared to purchasing treated water from North Garland County would enable the Paron System to implement these improvements without substantially increasing cost of service to its customers. A new treatment facility located more centrally to distribute water to the entire system would better serve growth in all areas the water system's service area and significantly reduce the distance water would be transported.	148	11/27/2012	\$ 2,200,000	\$ 2,200,000		1,825	\$ 2,200,000	
4	McNeil	Replace 26,500 feet of six (6) inch water main and 18,600 feet of two (2) inch water main along with associated appurtenances. The improvements will occur in the City of McNeil. When the improvements are complete the City expects to see significant reduction in their unaccounted for water which should result in increased savings and revenues for the water department. With the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years.	780	12/7/2012	\$ 1,331,000	\$ 1,331,000		595	\$ 1,331,000	
5	Beebe #4	To develop the necessary Lonoke White PWA water connector main. Replace the cast iron and galvanized pipe segments in the system (Water distribution System Improvements - 2013) and eliminate dead end lines where practical.	829	4/4/2013	\$ 3,000,000			5,000	\$ 3,000,000	
6	Chidester	The City will replace 3,500 feet of eight (8) inch water main, 15,000 feet of six (6) inch water main, 19,000 feet of four (4) inch water main, 16,000 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see significant reduction in their unaccounted for water which should result in increased savings and revenues for the water department. With the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years.	813	4/22/2013	\$ 1,700,000	\$ 1,700,000		335	\$ 1,700,000	
7	Bradley	The existing water distribution system consists primarily of polyvinylchloride (PVC), galvanized and transite pipe. Recent studies have shown that the existing water distribution system is incurring a 29% water loss. The City proposes to replace 10,750 feet of six (6) inch water main, 8,500 feet of four (4) inch water main, 14,750 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see a significant reduction in their unaccounted for water which should result in increased savings and revenues for the water department. With the replacement of their appurtenances the city's water distribution system will be up to standards for the next 20-30 years.	807	6/13/2013	\$ 1,700,000	\$ 1,700,000		502	\$ 1,700,000	
1	Total Binding Commitments Section 1452 Loans				\$ 13,531,000	\$ 10,531,000		9,739	\$ 13,531,000	
2	Cumulative Disadvantaged Communities		(B'Frd)	\$ 117,124,000		\$ 117,124,000	55.46%			
3	Cumulative Small System Loans		(B'Frd)	\$ 66,446,000					\$ 66,446,000	31.46%
4	Total Cumulative B/C Sec.1452 Loans		(B'Frd)	\$ 197,644,011	\$ 211,175,011					
5	Required Binding Commitments*				\$ 24,093,165					
6	Cum. Required Binding Commitments*				\$ 167,639,077		69.87%			39.64%
7	Cumulative Section 1452 Binding Commitments as a % of Cum. Required B/C Amounts (4 / 6)				125.97%					

Notes: Required B/C amount is the total of state match, Federal grant payments not allocated to set-asides, and any unspecified set-asides transferred back to the loan account, lagged by one year.

**Will have more detailed description after loan closes

APPENDIX B-2

SDWF Comparison of Grant Payments, Required Binding Commitments, and Actual Binding Commitments (SFY)

Page 1 of 2

SFY	Quarter		State Match	2007 GRANT			2008 GRANT			2009 GRANT			Grant Payment - These Grants		
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans
2010	Jul/Sep	1	516,660	2,583,250	800,808	1,782,443							2,583,250	800,808	1,782,443
	Oct/Dec	2	2,045,800				2,557,250	741,602	1,815,648				2,557,250	741,602	1,815,648
	Jan/Mar	3					2,557,250	741,602	1,815,648				2,557,250	741,602	1,815,648
	Apr/Jun	4					2,557,250	741,603	1,815,647				2,557,250	741,603	1,815,647
2011	Jul/Sep	1	2,045,800				2,557,250	741,603	1,815,647	2,557,250	641,602	1,915,647	5,114,500	1,383,205	3,731,294
	Oct/Dec	2								2,557,250	641,602	1,915,647	2,557,250	641,602	1,915,647
	Jan/Mar	3								2,557,250	641,603	1,915,648	2,557,250	641,603	1,915,648
	Apr/Jun	4								2,557,250	641,603	1,915,648	2,557,250	641,603	1,915,648
TOTALS			6,158,240	10,333,000	3,203,230	7,129,770	10,229,000	2,966,410	7,262,590	10,229,000	2,566,410	7,662,590	30,791,000	8,736,050	22,054,950

SFY	Quarter		State Match	2010 GRANT			2011 GRANT			2012 GRANT			Grant Payment - These Grants		
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans
	Previous Grants Totals		22,666,640										101,105,300	30,086,763	71,018,537
2011	Apr/Jun	4	2,053,900	10,269,500	2,728,155	7,541,345							10,269,500	2,728,155	7,541,345
2012	Jul/Sep	1													
	Oct/Dec	2	2,053,900	10,269,500	2,728,155	7,541,345							10,269,500	2,728,155	7,541,345
	Jan/Mar	3													
	Apr/Jun	4	2,783,400				7,126,000	997,640	6,128,360	6,791,000	1,204,840	5,586,160	13,917,000	2,202,480	11,714,520
2013	Jul/Sep	1	2,070,800				3,563,000	498,820	3,064,180	6,791,000	1,204,840	5,586,160	10,354,000	1,703,660	8,650,340
	Oct/Dec	2	712,600				3,563,000	498,820	3,064,180				3,563,000	498,820	3,064,180
	Jan/Mar	3													
	Apr/Jun	4													
TOTALS			38,499,480	20,539,000	5,456,310	15,082,690	14,252,000	1,995,280	12,256,720	13,582,000	2,409,680	11,172,320	180,269,300	48,684,083	131,585,217

APPENDIX B-2

SDWF Comparison of Grant Payments, Required Binding Commitments, and Actual Binding Commitments

Page 2 of 2

SFY	Quarter		State Match	Qtrly Grant Payment - All Grants			Cum. Grant Payment - All Grants			* Binding Commit. Required	Cum. Bind. Commit. Required	Binding Commit. Actual	Cum. Bind. Commit. Actual	% Actual/ Required
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans					
2010	Jul/Sep	1	516,660	2,583,250	800,808	1,782,443	111,438,300	33,289,993	78,148,307	2,299,093	100,435,967	500,000	125,269,011	124.70
	Oct/Dec	2	2,045,800	2,557,250	792,748	1,764,503	113,995,550	34,082,741	79,912,810	2,275,953	102,711,920	17,765,000	143,034,011	139.30
	Jan/Mar	3		2,557,250	792,748	1,764,503	116,552,800	34,875,488	81,677,312	2,275,953	104,987,872		143,034,011	136.20
	Apr/Jun	4		2,557,250	792,748	1,764,503	119,110,050	35,668,236	83,441,815	2,275,953	107,263,825	500,000	143,534,011	133.80
2011	Jul/Sep	1		5,114,500	1,229,770	3,884,730	124,224,550	36,898,005	87,326,544	4,907,630	112,171,454	14,250,000	157,784,011	140.70
	Oct/Dec	2	2,045,800	2,557,250	641,602	1,915,647	126,781,800	37,539,607	89,242,191	2,427,097	114,598,551		157,784,011	137.70
	Jan/Mar	3		2,557,250	641,603	1,915,648	129,339,050	38,181,210	91,157,839	2,427,098	117,025,649	6,500,000	164,284,011	140.40
	Apr/Jun	4	2,053,900	12,826,750	3,369,758	9,456,993	142,165,800	41,550,968	100,614,832	12,022,343	129,047,992		164,284,011	127.30
2012	Jul/Sep	1					142,165,800	41,550,968	100,614,832	0	129,047,992	4,000,000	168,284,011	130.40
	Oct/Dec	2	2,053,900	10,269,500	2,728,155	7,541,345	152,435,300	44,279,123	108,156,177	9,595,245	138,643,237	27,050,000	195,334,011	140.90
	Jan/Mar	3					152,435,300	44,279,123	108,156,177	0	138,643,237		195,334,011	140.90
	Apr/Jun	4	2,783,400	13,917,000	2,202,480	11,714,520	166,352,300	46,481,603	119,870,697	14,497,920	153,141,157	2,310,000	197,644,011	129.10
2013	Jul/Sep	1	2,070,800	10,354,000	1,703,660	8,650,340	176,706,300	48,185,263	128,521,037	10,721,140	163,862,297		197,644,011	120.60
	Oct/Dec	2	712,600	3,563,000	498,820	3,064,180	180,269,300	48,684,083	131,585,217	3,776,780	167,639,077	7,131,000	204,775,011	122.20
	Jan/Mar	3					180,269,300	48,684,083	131,585,217	0	167,639,077		204,775,011	122.20
	Apr/Jun	4					180,269,300	48,684,083	131,585,217	0	167,639,077	6,400,000	211,175,011	126.00
TOTALS			38,499,480	180,269,300	48,684,083	131,585,217	*Note: Required B/C amount is the total of state match, Federal grant payments not allocated to set-asides and any unspecified set-asides transferred back to the loan account lagged by one year.							

Note: State Match and Total Payment totals include funds for SFY2013

APPENDIX B-3 (Part 1) DWSRF Set-Asides

Federal Grant Year	Total Grant	Grant Approval Date	4-Year Deadline	State Program Management-PWSS					Capacity Development					WHP				
				Available	Specified	Expended 2013	Cum Expend	Amt Available	Available	Specified	Expended 2013	Cum Expend	Amt Available	Available	Specified	Expended 2013	Cum Expend	Amt Available
97	\$ 12,558,800	9/28/1998	9/28/2002	\$ 1,255,880	\$ 1,255,880	\$ -	\$ 1,255,880	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 627,940	\$ 627,940	\$ -	\$ 627,940	\$ -
98-99	\$ 20,751,700	9/29/1999	3/31/2005	\$ 2,075,170	\$ 2,075,170	\$ -	\$ 2,075,170	\$ -	\$ 1,771,204	\$ 1,771,204	\$ -	\$ 1,771,204	\$ -	\$ 1,341,551	\$ 1,341,551	\$ -	\$ 1,341,551	\$ -
2000	\$ 11,036,800	5/7/2001	5/7/2005	\$ 1,103,680	\$ 1,103,680	\$ -	\$ 1,103,680	\$ -	\$ 772,576	\$ 772,576	\$ -	\$ 772,576	\$ -	\$ 882,944	\$ 882,944	\$ -	\$ 882,944	\$ -
2001	\$ 11,082,400	9/20/2002	9/30/2006	\$ 1,108,240	\$ 1,108,240		\$ 1,108,240	\$ -	\$ 1,108,240	\$ 1,108,240	\$ -	\$ 1,108,240	\$ -	\$ 554,120	\$ 554,120	\$ -	\$ 554,120	\$ -
2002	\$ 8,717,800	5/28/2003	6/30/2007	\$ 871,780	\$ 871,780	\$ -	\$ 871,780	\$ -	\$ 610,246	\$ 610,246	\$ -	\$ 610,246	\$ -	\$ 697,424	\$ 697,424	\$ -	\$ 697,424	\$ -
2003	\$ 8,665,400	9/27/2004	9/27/2008	\$ 866,540	\$ 866,540	\$ -	\$ 866,540	\$ -	\$ 866,540	\$ 866,540	\$ -	\$ 866,540	\$ -	\$ 433,270	\$ 433,270	\$ -	\$ 433,270	\$ -
2004	\$ 8,989,100	8/18/2005	8/18/2009	\$ 898,910	\$ 898,910	\$ -	\$ 898,910	\$ -	\$ 848,365	\$ 848,365	\$ -	\$ 848,365	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000	\$ -
2005	\$ 8,970,100	9/18/2006	9/18/2012	\$ 897,010	\$ 897,010	\$ -	\$ 897,010	\$ -	\$ 845,515	\$ 845,515	\$ -	\$ 845,515	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000	\$ -
2006	\$ 10,333,200	9/18/2007	9/18/2012	\$ 1,033,320	\$ 1,033,320	\$ -	\$ 1,033,320	\$ -	\$ 929,988	\$ 929,988	\$ -	\$ 929,988	\$ -	\$ 619,992	\$ 619,992	\$ -	\$ 619,992	\$ -
2007	\$ 10,333,000	9/11/2008	9/11/2012	\$ 1,033,300	\$ 1,033,300	\$ -	\$ 1,033,300	\$ -	\$ 1,033,300	\$ 1,033,300	\$ -	\$ 1,033,300	\$ -	\$ 516,650	\$ 516,650		\$ 516,650	\$ -
2008	\$ 10,229,000	9/15/2009	9/15/2013	\$ 1,022,900	\$ 1,022,900	\$ 164,282	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 1,022,900		\$ 1,022,900	\$ -	\$ 511,450	\$ 511,450	\$ 471,884	\$ 511,450	\$ -
2009	\$ 10,229,000	8/30/2010	8/30/2014	\$ 1,022,900	\$ 622,900	\$ 622,900	\$ 622,900	\$ -	\$ 1,022,900	\$ 1,022,900	\$ 660,579	\$ 1,022,900	\$ -	\$ 511,450	\$ 511,450	\$ 300,763	\$ 300,763	\$ 210,687
2010	\$ 20,539,000	4/5/2011	4/5/2015	\$ 2,053,900	\$ 1,553,900	\$ 239,922	\$ 239,922	\$ 1,313,978	\$ 2,053,900	\$ 2,053,900	\$ 1,218,940	\$ 1,218,940	\$ 834,960	\$ 1,026,950	\$ 1,026,950	\$ -	\$ -	\$ 1,026,950
2011	\$ 14,252,000	3/14/2012	3/14/2016	\$ 1,425,200	\$ -	\$ -	\$ -	\$ -	\$ 1,425,200	\$ 1,425,200	\$ -	\$ -	\$ 1,425,200	\$ -	\$ -	\$ -	\$ -	\$ -
2012	\$ 13,582,000	5/14/2012	5/14/2016	\$ 1,358,200	\$ 508,200	\$ -	\$ -	\$ 508,200	\$ 1,358,200	\$ 1,358,200	\$ -	\$ -	\$ 1,358,200	\$ -	\$ -	\$ -	\$ -	\$ -
Total Available	\$ 180,269,300			\$ 16,668,730	\$ 14,851,730	\$ 1,027,104	\$ 13,029,552	\$ 1,822,178	\$ 15,669,074	\$ 15,669,074	\$ 1,879,519	\$ 12,050,714	\$ 3,618,360	\$ 8,723,741	\$ 8,723,741	\$ 772,648	\$ 7,486,104	\$ 1,237,637

Federal Grant Year	Total Grant	Grant Approval Date	4-Year Deadline	SWAP					Small System TA					DWSRF Administration				
				Available	Specified	Expended 2013	Cum Expend	Amt Available	Available	Specified	Expended 2013	Cum Expend	Amt Available	Available	Specified	Expended 2013	Cum Expend	Amt Available
97	\$ 12,558,800	9/28/1998	9/28/2002	\$ 1,255,880	\$ 1,255,880		\$ 1,255,880	\$ -	\$ 251,176	\$ 251,176	\$ -	\$ 251,176	\$ -	\$ 502,352	\$ 502,352	\$ -	\$ 502,352	\$ -
98-99	\$ 20,751,700	9/29/1999	3/31/2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 415,034	\$ 415,034	\$ -	\$ 415,034	\$ -	\$ 830,068	\$ 830,068	\$ -	\$ 830,068	\$ -
2000	\$ 11,036,800	5/7/2001	5/7/2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 220,736	\$ 220,736	\$ -	\$ 220,736	\$ -	\$ 441,472	\$ 441,472	\$ -	\$ 441,472	\$ -
2001	\$ 11,082,400	9/20/2002	9/30/2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 221,648	\$ 221,648	\$ -	\$ 221,648	\$ -	\$ 443,296	\$ 443,296	\$ -	\$ 443,296	\$ -
2002	\$ 8,717,800	5/28/2003	6/30/2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 174,356	\$ 174,356	\$ -	\$ 174,356	\$ -	\$ 348,712	\$ 348,712	\$ -	\$ 348,712	\$ -
2003	\$ 8,665,400	9/27/2004	9/27/2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 173,308	\$ 173,308	\$ -	\$ 173,308	\$ -	\$ 346,616	\$ 346,616	\$ -	\$ 346,616	\$ -
2004	\$ 8,989,100	8/18/2005	8/18/2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 179,782	\$ 179,782	\$ -	\$ 179,782	\$ -	\$ 359,564	\$ 359,564	\$ -	\$ 359,564	\$ -
2005	\$ 8,970,100	9/18/2006	9/18/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 179,402	\$ 179,402	\$ -	\$ 179,402	\$ -	\$ 358,804	\$ 358,804	\$ -	\$ 358,804	\$ -
2006	\$ 10,333,200	9/18/2007	9/18/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 206,664	\$ 206,664	\$ -	\$ 206,664	\$ -	\$ 413,328	\$ 413,328	\$ -	\$ 413,328	\$ -
2007	\$ 10,333,000	9/11/2008	9/11/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 206,660	\$ 200,576	\$ 8,669	\$ 200,576	\$ -	\$ 413,320	\$ 413,320	\$ -	\$ 413,320	
2008	\$ 10,229,000	9/15/2009	9/15/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 204,580	\$ -	\$ -	\$ -	\$ -	\$ 409,160	\$ 409,160	\$ -	\$ 409,160	\$ -
2009	\$ 10,229,000	8/30/2010	8/30/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 204,580	\$ -	\$ -	\$ -	\$ -	\$ 409,160	\$ 409,160	\$ -	\$ 409,160	\$ -
2010	\$ 20,539,000	4/5/2011	4/5/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 410,780	\$ -	\$ -	\$ -	\$ -	\$ 821,560	\$ 821,560	\$ 821,560	\$ 821,560	\$ -
2011	\$ 14,252,000	3/14/2012	3/14/2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 285,040	\$ -	\$ -	\$ -	\$ -	\$ 570,080	\$ 570,080	\$ 570,080	\$ 570,080	\$ -
2012	\$ 13,582,000	5/14/2012	5/14/2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 271,640	\$ -	\$ -	\$ -	\$ -	\$ 543,280	\$ 543,280	\$ 85,027	\$ 85,027	\$ 458,253
Total Available	\$ 180,269,300			\$ 1,255,880	\$ 1,255,880	\$ -	\$ 1,255,880	\$ -	\$ 2,844,126	\$ 2,222,682	\$ 8,669	\$ 2,222,682	\$ -	\$ 7,210,772	\$ 7,210,772	\$ 1,476,667	\$ 6,752,519	\$ 458,253

NOTE: These expenses are only from Federal dollars. Outside the RLF Funds are not included in these totals.

SFY2012 GRAND TOTAL OF SET-ASIDES EXPENDED	\$ 5,164,606
GRAND TOTAL OF SET-ASIDES EXPENDED	\$ 42,797,451

APPENDIX B-3 (Part 2) DWSRF Administrative Expenses

FFY Grant	DW10	DW10 & DW11	DW11	DW11	DW11	DW11	DW11	DW11	DW11 & DW12	DW12	DW12	DW12	Grand Total
Month/Year	Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	SFY2013
ANRC - ADM - Personnel	\$ 21,452.60	\$ 25,358.86	\$ 35,456.92	\$ 22,904.57	\$ 21,360.39	\$ 24,170.17	\$ 17,003.87	\$ 26,099.58	\$ 37,383.01	\$ 24,844.77	\$ 25,374.71	\$ 23,198.38	\$ 304,607.83
ANRC - ADM - Fringe	\$ 7,036.45	\$ 8,317.71	\$ 11,629.87	\$ 7,512.70	\$ 7,006.21	\$ 7,927.82	\$ 5,577.27	\$ 8,560.66	\$ 12,261.63	\$ 8,149.08	\$ 8,322.90	\$ 7,609.07	\$ 99,911.37
ANRC - ADM - Indirect	\$ 16,814.24	\$ 19,875.91	\$ 27,790.62	\$ 17,952.27	\$ 16,741.97	\$ 18,944.23	\$ 13,327.39	\$ 20,456.47	\$ 29,300.27	\$ 19,472.97	\$ 19,888.33	\$ 18,182.56	\$ 238,747.23
ANRC - ADM - Travel	\$ 402.00	\$ 1,741.97	\$ 101.51	\$ 195.68	\$ 2,299.85	\$ 103.71	\$ 24.95	\$ -	\$ 300.00	\$ 158.76	\$ 2,174.60	\$ 1,399.02	\$ 8,902.05
ANRC - ADM - Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ANRC - ADM - Supplies	\$ 18,196.92	\$ 3,531.15	\$ 1,467.99	\$ 19,485.57	\$ 1,755.69	\$ 477.81	\$ 20,531.92	\$ 1,260.56	\$ 2,707.46	\$ 16,969.28	\$ 3,575.27	\$ 8,080.36	\$ 98,039.98
ANRC - ADM - Contracts	\$ -	\$ 126,537.98	\$ 14,000.00	\$ 2,000.00	\$ -	\$ 2,568.75	\$ 1,400.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 146,506.73
Totals	\$ 63,902.21	\$ 185,363.58	\$ 90,446.91	\$ 70,050.79	\$ 49,164.11	\$ 54,192.49	\$ 57,865.40	\$ 56,377.27	\$ 81,952.37	\$ 69,594.86	\$ 59,335.81	\$ 58,469.39	\$ 896,715.19

APPENDIX B-4 - Part 1

Cash Draw & Disbursement Schedule

SDWF ACTUAL DISBURSEMENTS SFY 2013

LOAN DISBURSEMENTS							
	PREVIOUS DISB.	QTR 1 JULY-SEPT	QTR 2 OCT-DEC	QTR 3 JAN-MAR	QTR 4 APR-JUN	ANNUAL TOTALS	CUMULATIVE TOTALS
Cash Draws From EPA for Loans		3,944,586	855,558	4,621,879	4,202,667	13,624,690	
Cum Cash Draws from EPA	105,105,281	109,049,867	109,905,425	114,527,304	118,729,971		118,729,971
State Share of Loan Disbursements		1,108,014	3,680,407	230,624	-	5,019,045	
Cum. State Share of Ln. Disbursements	35,179,417	36,287,431	39,967,839	40,198,462	40,198,462		40,198,462
Loan Repayments Fund		-	-	-	-	-	
Cum Loan Repayments Fund	17,933,752	17,933,752	17,933,752	17,933,752	17,933,752		17,933,752
Total RLF Loan Disbursements		5,052,600	4,535,965	4,852,503	4,202,667	18,643,735	
Cum. RLF Loan Disbursements	158,218,450	163,271,050	167,807,015	172,659,518	176,862,185		176,862,185
Cum ACH Draws as a % of Cum. Disb.		67%	65%	66%	67%		
ADMINISTRATION AND SET-ASIDE DISBURSEMENTS							
State Share Program Administration							
Program Administration (4%)	5,275,852	-	821,560	-	655,107	1,476,667	6,752,519
State Program Management (10%)(PWSS)	12,002,448	154,993	261,883	230,527	379,701	1,027,104	13,029,552
Small Systems Technical Assistance (2%)	2,214,013	6,015	2,654	-	-	8,669	2,222,682
Local Assistance and Other (15%)						-	-
Source Water Protection D/A (10%)	1,255,880					-	1,255,880
Wellhead Protection	6,713,456	81,194	205,098	137,251	349,105	772,648	7,486,104
Capacity Development	10,171,195	337,371	548,815	366,544	626,788	1,879,519	12,050,714
Prog Admin. and Set-Aside Disbursements						5,164,606	
Cum Adm. and Set-Aside Disb.	37,632,844	37,632,844	37,632,844	37,632,844	37,632,844		42,797,451
Cum ACH Draw as a % of Cum. Disb.	19%	19%	18%	18%	18%		
OUTSIDE REVOLVING LOAN FUNDS							
Outside RLF Funds		-	-	-	-	-	
Cum. Outside RLF	3,062,948	3,062,948	3,062,948	3,062,948	3,062,948		3,062,948
TOTAL DISBURSEMENTS:							
Cash Draw From EPA/ACH		3,944,586	1,677,118	4,621,879	4,857,774	15,101,357	
Cum Cash Draw From EPA/ACH	110,381,133	114,325,719	116,002,837	120,624,716	125,482,490		125,482,490
State Share of Disbursements		1,108,014	3,680,407	230,624	-	5,019,045	
Cum State Share of Disbursements	35,179,417	36,287,431	39,967,839	40,198,462	40,198,462		40,198,462
Loan Repayments Fund		-	-	-	-	-	
Cum Loan Repayments Fund	17,933,752	17,933,752	17,933,752	17,933,752	17,933,752		17,933,752
Outside RLF Funds		-	-	-	-	-	
Cum Outside RLF Share of Disbursements	3,062,948	3,062,948	3,062,948	3,062,948	3,062,948		3,062,948
Total Disbursements		5,052,600	5,357,525	4,852,503	4,857,774	20,120,402	
Cum Total Disbursements	161,383,691	166,436,291	171,793,816	176,646,319	181,504,093		181,504,093
Cum EPA/ACH Draw as a % of Total	68%	69%	68%	68%	69%		
Federal EPA/ACH Available:							
Cum Before ADM & RLF Disb.	166,352,300	176,706,300	180,269,300	180,269,300	180,269,300		
Cum After ADM & RLF Disb.	55,971,167	62,380,581	64,266,463	59,644,584	54,786,810		

Annual Report - Appendix B-4 - Part 2

LOAN DISBURSEMENTS BY PROJECT

Project Name	Project Number	Loan Number	Payment Number	Payment Date	Total Payment	Federal	State	RLF
BEEBE#4	WRD-003-829	00924-DWSRF-L	1-CLOSING	5/29/2013	\$199,549.00	\$199,549.00	\$0.00	\$0.00
			Total		\$199,549.00	\$199,549.00	\$0.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	1-CLOSING	6/18/2013	\$137,215.00	\$137,215.00	\$0.00	\$0.00
			Total		\$137,215.00	\$137,215.00	\$0.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	18	7/23/2012	\$482,270.00	\$482,270.00	\$0.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	19	8/15/2012	\$297,980.00	\$297,980.00	\$0.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	20	9/25/2012	\$56,479.00	\$56,479.00	\$0.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	CAP-I	10/24/2012	\$69,681.32	\$0.00	\$69,681.32	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	21	10/24/2012	\$51,985.00	\$0.00	\$51,985.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	22	11/26/2012	\$74,646.00	\$0.00	\$74,646.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	23	12/20/2012	\$20,613.00	\$0.00	\$20,613.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	CAP-I	4/24/2013	\$83,744.41	\$83,744.41	\$0.00	\$0.00
			Total		\$1,137,398.73	\$920,473.41	\$216,925.32	\$0.00
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	19	9/25/2012	\$5,000.00	\$5,000.00	\$0.00	\$0.00
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	20	11/26/2012	\$15,470.37	\$0.00	\$15,470.37	\$0.00
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	21	11/26/2012	\$2,500.00	\$0.00	\$2,500.00	\$0.00
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	22	2/25/2013	\$116,449.00	\$116,449.00	\$0.00	\$0.00
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	23	5/24/2013	\$5,997.00	\$5,997.00	\$0.00	\$0.00
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	24	6/26/2013	\$17,439.00	\$17,439.00	\$0.00	\$0.00
			Total		\$162,855.37	\$144,885.00	\$17,970.37	\$0.00
CABOT #2	WRD-003-490	00338-DWSRF-L	27	9/25/2012	\$56,799.00	\$56,799.00	\$0.00	\$0.00
			Total		\$56,799.00	\$56,799.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	30	7/23/2012	\$366,131.00	\$0.00	\$366,131.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	31	8/21/2012	\$260,739.00	\$0.00	\$260,739.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	32	9/25/2012	\$481,144.00	\$0.00	\$481,144.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	CAP-I	10/24/2012	\$97,352.29	\$0.00	\$97,352.29	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	33	10/24/2012	\$462,902.00	\$0.00	\$462,902.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	34	11/26/2012	\$405,036.00	\$0.00	\$405,036.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	35	12/20/2012	\$222,768.00	\$0.00	\$222,768.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	36	1/25/2013	\$120,518.00	\$0.00	\$120,518.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	37	2/25/2013	\$202,217.00	\$202,217.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	38	3/22/2013	\$501,605.00	\$501,605.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	CAP-I	4/24/2013	\$10,183.85	\$10,183.85	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	39	4/24/2013	\$594,831.30	\$594,831.30	\$0.00	\$0.00
			Total		\$3,725,427.44	\$1,308,837.15	\$2,416,590.29	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	12	8/21/2012	\$19,195.00	\$19,195.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	CAP-I	10/24/2012	\$33,419.41	\$0.00	\$33,419.41	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	13	10/24/2012	\$44,045.00	\$0.00	\$44,045.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	14	3/22/2013	\$20,926.00	\$20,926.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	CAP-I	4/24/2013	\$35,247.22	\$35,247.22	\$0.00	\$0.00
			Total		\$152,832.63	\$75,368.22	\$77,464.41	\$0.00
FRANKLIN SEBASTIAN PWA - CHARLESTON	WRD-003-720	00786-DWSRF-L	19	8/23/2012	\$18,775.00	\$18,775.00	\$0.00	\$0.00
FRANKLIN SEBASTIAN PWA - CHARLESTON	WRD-003-720	00786-DWSRF-L	20	12/20/2012	\$250.00	\$0.00	\$250.00	\$0.00
FRANKLIN SEBASTIAN PWA - CHARLESTON	WRD-003-720	00786-DWSRF-L	21	1/25/2013	\$1,535.00	\$1,535.00	\$0.00	\$0.00
			Total		\$20,560.00	\$20,310.00	\$250.00	\$0.00
FRANKLIN SEBASTIAN PWA - LAVACA	WRD-003-720	00785-DWSRF-L	19	8/23/2012	\$4,275.00	\$4,275.00	\$0.00	\$0.00
FRANKLIN SEBASTIAN PWA - LAVACA	WRD-003-720	00785-DWSRF-L	20	12/20/2012	\$175.00	\$0.00	\$175.00	\$0.00
			Total		\$4,450.00	\$4,275.00	\$175.00	\$0.00
FRANKLIN SEBASTIAN PWA - RIVERSOUTH	WRD-003-720	00787-DWSRF-L	19	8/23/2012	\$4,410.00	\$4,410.00	\$0.00	\$0.00
FRANKLIN SEBASTIAN PWA - RIVERSOUTH	WRD-003-720	00787-DWSRF-L	20	12/20/2012	\$583.00	\$0.00	\$583.00	\$0.00
			Total		\$4,993.00	\$4,410.00	\$583.00	\$0.00

GLENWOOD #3	WRD-003-576	00726-DWSRF-L	13	10/24/2012	\$11,188.00	\$0.00	\$11,188.00	\$0.00
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	14	5/24/2013	\$30,672.00	\$30,672.00	\$0.00	\$0.00
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	15	6/26/2013	\$49,335.00	\$49,335.00	\$0.00	\$0.00
				Total	\$91,195.00	\$80,007.00	\$11,188.00	\$0.00
GRAVETTE	WRD-003-319	00597-DWSRF-L	21	10/24/2012	\$7,612.00	\$0.00	\$7,612.00	\$0.00
				Total	\$7,612.00	\$0.00	\$7,612.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	7	7/23/2012	\$69,362.00	\$69,362.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	8	8/16/2012	\$404,820.00	\$404,820.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	9	9/25/2012	\$226,500.00	\$226,500.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	10	10/24/2012	\$981,627.00	\$0.00	\$981,627.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	11	11/26/2012	\$736,950.00	\$0.00	\$736,950.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	12	12/20/2012	\$174,705.00	\$0.00	\$174,705.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	13	1/25/2013	\$135,423.00	\$25,317.26	\$110,105.74	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	14	2/25/2013	\$284,744.00	\$284,744.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	15	3/22/2013	\$922,071.00	\$922,071.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	16	4/24/2013	\$438,638.00	\$438,638.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	17	5/24/2013	\$472,428.00	\$472,428.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	18	6/26/2013	\$271,423.00	\$271,423.00	\$0.00	\$0.00
				Total	\$5,118,691.00	\$3,115,303.26	\$2,003,387.74	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	1-CLOSING	12/7/2012	\$132,745.00	\$132,745.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	2	12/20/2012	\$120,272.00	\$120,272.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	3	1/25/2013	\$179,291.00	\$179,291.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	4	2/25/2013	\$152,864.00	\$152,864.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	5	3/22/2013	\$103,947.00	\$103,947.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	6	4/24/2013	\$180,923.00	\$180,923.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	7	5/24/2013	\$142,177.00	\$142,177.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	8	6/26/2013	\$111,528.00	\$111,528.00	\$0.00	\$0.00
				Total	\$1,123,747.00	\$1,123,747.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	2	8/16/2012	\$740,300.00	\$740,300.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	3	9/25/2012	\$191,928.00	\$191,928.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	4	10/24/2012	\$108,354.00	\$108,354.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	5	11/26/2012	\$143,938.00	\$143,938.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	6	12/20/2012	\$109,539.00	\$109,539.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	7	1/25/2013	\$69,811.00	\$69,811.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	8	2/25/2013	\$112,106.00	\$112,106.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	9	3/22/2013	\$219,354.00	\$219,354.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	10	4/24/2013	\$193,357.00	\$193,357.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	11	5/24/2013	\$48,318.00	\$48,318.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	12	6/26/2013	\$113,597.00	\$113,597.00	\$0.00	\$0.00
				Total	\$2,050,602.00	\$2,050,602.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	1-CLOSING	11/26/2012	\$53,557.00	\$53,557.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	2	12/20/2012	\$2,335.00	\$2,335.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	3	2/25/2013	\$43,877.00	\$43,877.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	4	3/22/2013	\$74,510.00	\$74,510.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	5	4/24/2013	\$117,168.00	\$117,168.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	6	5/24/2013	\$76,633.00	\$76,633.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	7	6/26/2013	\$70,495.00	\$70,495.00	\$0.00	\$0.00
				Total	\$438,575.00	\$438,575.00	\$0.00	\$0.00

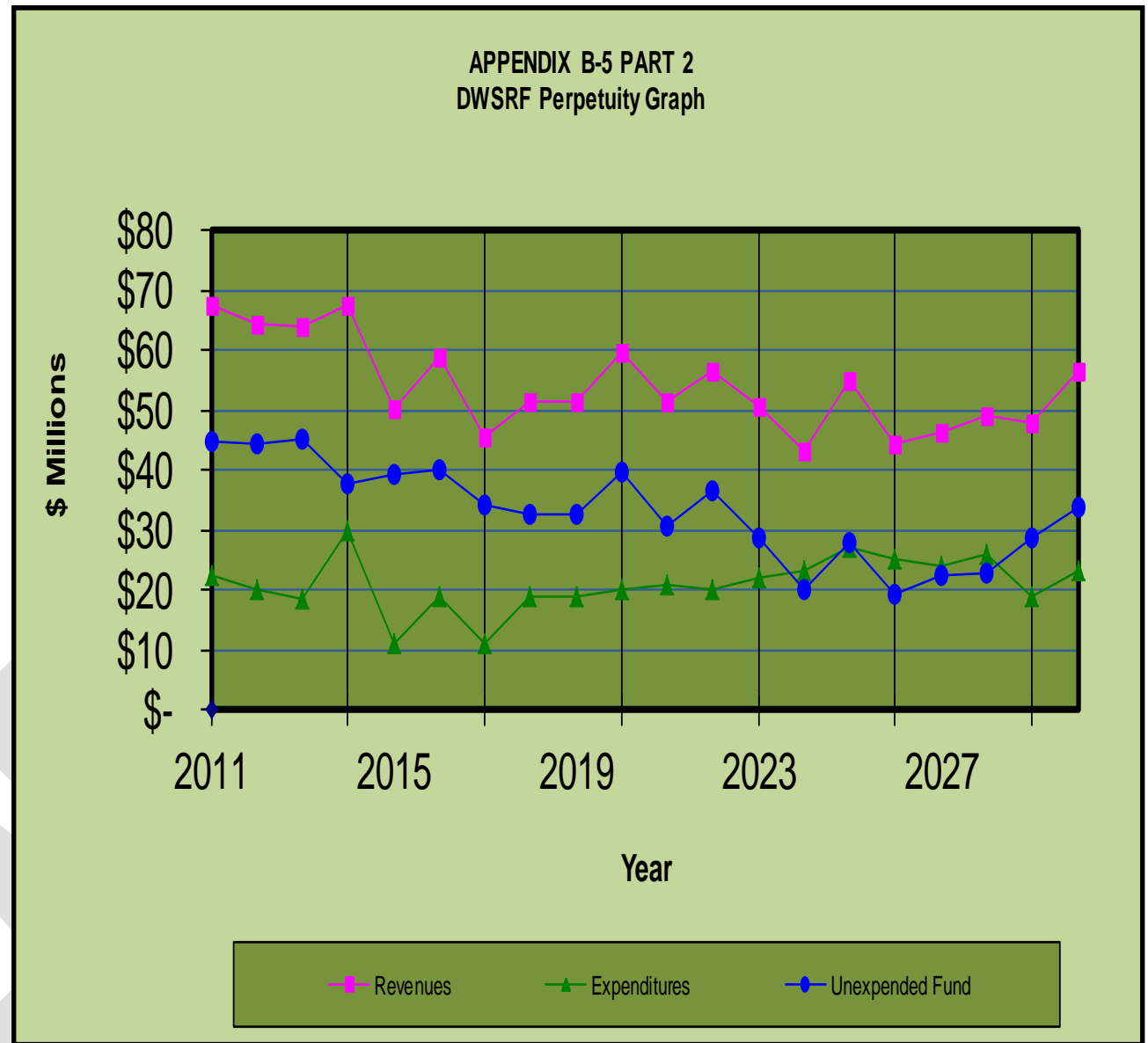
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	1-CLOSING	11/26/2012	\$114,260.00	\$0.00	\$114,260.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	2	12/20/2012	\$5,000.00	\$0.00	\$5,000.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	3	2/25/2013	\$159,150.00	\$159,150.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	4	3/22/2013	\$47,428.00	\$47,428.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	5	4/24/2013	\$102,230.00	\$102,230.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	CAP-I	4/24/2013	\$1,888.41	\$1,888.41	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	6	5/24/2013	\$73,141.00	\$73,141.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	7	6/26/2013	\$89,808.00	\$89,808.00	\$0.00	\$0.00
			Total		\$592,905.41	\$473,645.41	\$119,260.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	20	7/23/2012	\$653,207.00	\$653,207.00	\$0.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	21	8/16/2012	\$374,141.00	\$374,141.00	\$0.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	22	9/25/2012	\$200,748.00	\$200,748.00	\$0.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	23	10/24/2012	\$87,619.00	\$0.00	\$87,619.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	24	11/26/2012	\$23,737.00	\$0.00	\$23,737.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	25	12/20/2012	\$16,888.00	\$0.00	\$16,888.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	26	1/25/2013	\$315,101.00	\$315,101.00	\$0.00	\$0.00
			Total		\$1,671,441.00	\$1,543,197.00	\$128,244.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	1-CLOSING	12/7/2012	\$184,818.00	\$184,818.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	2	1/25/2013	\$521,302.00	\$521,302.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	3	2/25/2013	\$331,082.00	\$331,082.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	4	3/22/2013	\$174,152.00	\$174,152.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	5	4/24/2013	\$163,126.00	\$163,126.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	6	5/24/2013	\$223,814.00	\$223,814.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	7	6/26/2013	\$79,705.00	\$79,705.00	\$0.00	\$0.00
			Total		\$1,677,999.00	\$1,677,999.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	8	7/24/2012	\$49,995.00	\$49,995.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	9	8/21/2012	\$34,265.00	\$34,265.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	10	9/25/2012	\$54,137.00	\$54,137.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	CAP-I	10/24/2012	\$19,394.76	\$0.00	\$19,394.76	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	11	1/25/2013	\$29,000.00	\$29,000.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	12	3/22/2013	\$14,040.00	\$14,040.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	CAP-I	4/24/2013	\$24,610.90	\$24,610.90	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	13	5/24/2013	\$43,445.00	\$43,445.00	\$0.00	\$0.00
			Total		\$268,887.66	\$249,492.90	\$19,394.76	\$0.00
			Grand Total		\$18,643,735.24	\$13,624,690.35	\$5,019,044.89	\$0.00

APPENDIX B-5 PART 1

DWSRF Perpetuity Chart

Fiscal Year	Beginning Balance	Loan Repayments	Interest Earnings	Federal Grant	State Match	ADH Set-Asides	Total Revenues	Project Disbursements	Ending Balance
2011	\$47,010,430.06	\$ 3,228,878.78	\$2,100,115.95	\$14,252,000.00	\$2,850,400.00	\$1,995,280.00	\$67,446,544.79	\$ 22,523,356.17	\$44,923,188.62
2012	\$44,661,162.36	\$ 3,714,441.21	\$2,266,579.95	\$13,582,000.00	\$2,716,400.00	\$2,409,680.00	\$64,530,903.52	\$ 20,131,767.42	\$44,399,136.10
2013	\$44,399,136.10	\$ 3,840,161.17	\$2,156,488.38	\$12,743,000.00	\$2,548,600.00	\$1,911,450.00	\$63,775,935.65	\$ 18,643,735.24	\$45,132,200.41
2014	\$45,132,200.41	\$ 4,913,995.10	\$2,673,266.71	\$14,252,000.00	\$2,850,400.00	\$2,137,800.00	\$67,684,062.22	\$ 30,000,000.00	\$37,684,062.22
2015	\$27,684,062.22	\$ 5,554,380.87	\$2,836,213.35	\$13,582,000.00	\$2,716,400.00	\$2,037,300.00	\$50,335,756.44	\$ 11,190,163.92	\$39,145,592.52
2016	\$39,145,592.52	\$ 5,997,397.45	\$2,901,002.97	\$10,229,000.00	\$2,045,800.00	\$1,534,350.00	\$58,784,442.94	\$ 18,902,746.87	\$39,881,696.07
2017	\$21,383,511.90	\$ 6,315,905.62	\$2,842,096.14	\$14,252,000.00	\$2,850,400.00	\$2,137,800.00	\$45,506,113.67	\$ 11,190,163.92	\$34,315,949.75
2018	\$27,569,650.62	\$ 6,776,599.99	\$2,869,099.46	\$13,582,000.00	\$2,716,400.00	\$2,037,300.00	\$51,476,450.07	\$ 18,902,746.87	\$32,573,703.20
2019	\$30,361,981.12	\$ 7,370,190.98	\$2,974,440.91	\$10,229,000.00	\$2,045,800.00	\$1,534,350.00	\$51,447,063.00	\$ 19,000,000.00	\$32,447,063.00
2020	\$33,348,698.87	\$ 8,125,268.03	\$3,206,733.05	\$14,252,000.00	\$2,850,400.00	\$2,137,800.00	\$59,645,299.95	\$ 20,000,000.00	\$39,645,299.95
2021	\$25,199,347.95	\$ 8,721,969.05	\$3,297,253.39	\$13,582,000.00	\$2,716,400.00	\$2,037,300.00	\$51,479,670.38	\$ 21,000,000.00	\$30,479,670.38
2022	\$32,911,528.38	\$ 9,393,335.35	\$3,334,871.18	\$10,229,000.00	\$2,045,800.00	\$1,534,350.00	\$56,380,184.92	\$ 20,000,000.00	\$36,380,184.92
2023	\$23,226,306.92	\$ 9,946,013.35	\$3,250,887.36	\$15,390,000.00	\$3,078,000.00	\$4,155,300.00	\$50,735,907.62	\$ 22,000,000.00	\$28,735,907.62
2024	\$16,699,764.62	\$10,622,192.16	\$3,258,239.79	\$13,582,000.00	\$2,716,400.00	\$3,667,140.00	\$43,211,456.57	\$ 23,000,000.00	\$20,211,456.57
2025	\$31,367,483.57	\$11,004,704.83	\$3,134,097.66	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$55,019,256.06	\$ 27,000,000.00	\$28,019,256.06
2026	\$17,245,291.06	\$10,876,362.76	\$2,896,511.37	\$14,252,000.00	\$2,850,400.00	\$3,848,040.00	\$44,272,525.19	\$ 25,000,000.00	\$19,272,525.19
2027	\$23,236,598.19	\$10,879,203.02	\$2,673,059.71	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$46,301,830.92	\$ 24,000,000.00	\$22,301,830.92
2028	\$22,693,751.92	\$11,071,452.49	\$2,559,734.38	\$13,582,000.00	\$2,716,400.00	\$3,667,140.00	\$48,956,198.79	\$ 26,000,000.00	\$22,956,198.79
2029	\$24,553,798.79	\$11,146,885.29	\$2,517,204.94	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$47,730,859.01	\$ 19,000,000.00	\$28,730,859.01
2030	\$29,275,730.01	\$11,545,482.74	\$2,510,604.29	\$14,252,000.00	\$2,850,400.00	\$3,848,040.00	\$56,586,177.04	\$ 23,000,000.00	\$33,586,177.04
2031	\$32,091,827.04	\$10,871,885.76	\$2,537,104.31	\$13,582,000.00	\$2,716,400.00	\$3,667,140.00	\$58,132,077.11	\$ 20,000,000.00	\$38,132,077.11
2032	\$33,096,383.11	\$10,196,639.05	\$2,274,599.39	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$55,080,591.54	\$ 21,000,000.00	\$34,080,591.54
2033	\$34,080,591.54	\$ 9,649,737.89	\$2,028,711.16	\$15,390,000.00	\$3,078,000.00	\$4,155,300.00	\$60,071,740.59	\$ 24,000,000.00	\$36,071,740.59

Year	Revenues	Expenditures	Unexpended Fund
2011	67.45	22.52	44.92
2012	64.53	20.13	44.40
2013	63.78	18.64	45.13
2014	67.68	30.00	37.68
2015	50.34	11.19	39.15
2016	58.78	18.90	39.88
2017	45.51	11.19	34.32
2018	51.48	18.90	32.57
2019	51.45	19.00	32.45
2020	59.65	20.00	39.65
2021	51.48	21.00	30.48
2022	56.38	20.00	36.38
2023	50.74	22.00	28.74
2024	43.21	23.00	20.21
2025	55.02	27.00	28.02
2026	44.27	25.00	19.27
2027	46.30	24.00	22.30
2028	48.96	26.00	22.96
2029	47.73	19.00	28.73
2030	56.59	23.00	33.59



APPENDIX



FINANCIAL STATEMENT REPORTS

APPENDIX C-1

STATE OF ARKANSAS SAFE DRINKING WATER FUND

STATEMENT OF NET ASSETS

YEARS ENDING JUNE 30, 2012 AND JUNE 30, 2013

	REVOLVING LOAN FUND	DEPT OF HEALTH SET-ASIDE	FEES AND EXPENSE	2013 (UNAUDITED)	2012 (AUDITED)
ASSETS					
Current Assets					
Cash and cash equivalents	\$ 42,692,994	\$ -	\$ 5,947,544	\$ 48,640,538	\$ 33,615,882
Accrued interest receivable:	\$ -	\$ -	\$ -	\$ -	\$ -
Investment	\$ 13,915	\$ -	\$ 48	\$ 13,963	\$ 10,251
Loans	\$ 262,273	\$ -	\$ 3,398	\$ 265,671	\$ 229,891
Accounts receivable:	\$ -	\$ -	\$ -	\$ -	\$ -
Borrowers	\$ -	\$ -	\$ 151,484	\$ 151,484	\$ 146,552
EPA	\$ -	\$ 335,550	\$ 117,805	\$ 453,355	\$ 374,866
Investments - current portion	\$ 10,001,330	\$ -	\$ -	\$ 10,001,330	\$ -
Total current assets	\$ 52,970,512	\$ 335,550	\$ 6,220,279	\$ 59,526,341	\$ 34,377,442
Noncurrent assets					
Investments - restricted (at fair value)	\$ -	\$ -	\$ -	\$ -	\$ 18,003,950
Loans receivable- restricted	\$ 156,272,977	\$ -	\$ 4,062,691	\$ 160,335,668	\$ 151,633,717
Other assets	\$ 160,164	\$ -	\$ -	\$ 160,164	\$ 181,265
Total noncurrent assets	\$ 156,433,141	\$ -	\$ 4,062,691	\$ 160,495,832	\$ 169,818,932
TOTAL ASSETS	\$ 209,403,653	\$ 335,550	\$ 10,282,970	\$ 220,022,173	\$ 204,196,374
LIABILITIES					
Current Liabilities					
Accounts payable	\$ 115	\$ 335,550	\$ 128,663	\$ 464,328	\$ 501,561
Accrued interest payable	\$ 97,953	\$ -	\$ -	\$ 97,953	\$ 97,953
Bonds payable-current portion	\$ -	\$ -	\$ -	\$ -	\$ -
Total current liabilities	\$ 98,068	\$ 335,550	\$ 128,663	\$ 562,281	\$ 599,514
Noncurrent Liabilities					
Bonds payable-net of unamortized premiums	\$ 27,677,109	\$ -	\$ -	\$ 27,677,109	\$ 28,112,150
Deferred fees	\$ -	\$ -	\$ 3,595,485	\$ 3,595,485	\$ 3,595,485
Amortization of deferred fees	\$ -	\$ -	\$ (1,155,263)	\$ (1,155,263)	\$ (990,700)
	\$ 27,677,109	\$ -	\$ 2,440,222	\$ 30,117,331	\$ 30,716,935
TOTAL LIABILITIES	\$ 27,775,177	\$ 335,550	\$ 2,568,885	\$ 30,679,612	\$ 31,316,449
NET ASSETS					
Restricted for program administration	\$ 181,628,476	\$ -	\$ 7,714,085	\$ 189,342,561	\$ 172,879,925
TOTAL LIABILITIES AND NET ASSETS	\$ 209,403,653	\$ 335,550	\$ 10,282,970	\$ 220,022,173	\$ 204,196,374

APPENDIX C-2

STATE OF ARKANSAS SAFE DRINKING WATER FUND STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS YEARS ENDING JUNE 30, 2012 AND JUNE 30, 2013

	REVOLVING LOAN FUND	DEPT. OF HEALTH SET-ASIDE	FEES AND EXPENSE	2013 (UNAUDITED)	2012 (AUDITED)
<u>OPERATING REVENUES</u>					
Interest Income					
Investments	\$ 38,549	\$ -	\$ 404	\$ 38,953	\$ 7,491
Loans	\$ 3,120,601	\$ -	\$ 82,219	\$ 3,202,820	\$ 2,970,456
Financing fees	\$ -	\$ -	\$ 1,735,860	\$ 1,735,860	\$ 1,613,326
Net increase in Fair Value of Investments	\$ (2,619)	\$ -	\$ -	\$ (2,619)	\$ (1,794)
Total Operating Revenues	\$ 3,156,531	\$ -	\$ 1,818,483	\$ -	\$ 4,589,479
<u>OPERATING EXPENSES</u>					
Federal financial assistance programs					
ARRA	\$ -	\$ -	\$ -	\$ -	\$ 125,216
BASE	\$ 5,428,138	\$ -	\$ -	\$ 5,428,138	\$ 177,903
Program Administration	\$ -	\$ -	\$ 167,134	\$ 167,134	\$ 160,066
Bond Interest	\$ 1,175,438	\$ -	\$ -	\$ 1,175,438	\$ 757,504
Amortization of bond issuance costs	\$ 21,101	\$ -	\$ -	\$ 21,101	\$ 13,663
Amortization of bond premiums	\$ (435,040)	\$ -	\$ -	\$ (435,040)	\$ (280,359)
Total Operating Revenues	\$ 6,189,637	\$ -	\$ 167,134	\$ 6,356,771	\$ 953,993
<u>OPERATING INCOME</u>	\$ (3,033,106)	\$ -	\$ 1,651,349	\$ (1,381,757)	\$ 3,635,486
<u>NON OPERATING INCOME</u>					
Federal Grants	\$ 13,624,690	\$ 3,687,940	\$ 1,594,472	\$ 18,907,102	\$ 11,062,625
ARRA Federal Grants	\$ -	\$ -	\$ -	\$ -	\$ 125,216
State Contributions	\$ -	\$ -	\$ -	\$ -	\$ -
<u>INCOME BEFORE TRANSFERS OUT</u>	\$ 10,591,584	\$ 3,687,940	\$ 3,245,821	\$ 17,525,345	\$ 14,823,327
Transfers (Out) In	\$ 712,600	\$ (3,687,940)	\$ 1,912,631	\$ (1,062,709)	\$ (1,050,249)
Change in Net Assets	\$ 11,304,184	\$ -	\$ 5,158,452	\$ 16,462,636	\$ 13,773,078
<u>NET ASSETS</u>					
Beginning of year	\$ 170,324,292	\$ -	\$ 2,555,633	\$ 172,879,925	\$ 159,106,847
End of year	\$ 181,628,476	\$ -	\$ 7,714,085	\$ 189,342,561	\$ 172,879,925

APPENDIX C-3

STATE OF ARKANSAS SAFE DRINKING WATER FUND STATEMENT OF CASH FLOWS YEARS ENDING JUNE 30, 2012 AND JUNE 30, 2013

	REVOLVING LOAN FUND	SET ASIDES	FEES AND EXPENSES	2013 (UNAUDITED)	2012 (AUDITED)
<u>OPERATING ACTIVITIES</u>					
Interest received on investments	\$ 34,861	\$ -	\$ 380	\$ 35,241	\$ (2,552)
Interest received on loans	\$ 3,071,052	\$ -	\$ 95,988	\$ 3,167,040	\$ 2,936,024
Loan Repayments (Principal)	\$ 4,456,338	\$ -	\$ 57,309	\$ 4,513,647	\$ 3,948,110
Loan Disbursements	\$ (13,215,597)	\$ -	\$ -	\$ (13,215,597)	\$ (19,828,648)
ARRA Loan Disbursements		\$ -	\$ -	\$ -	\$ (125,216)
Financing fees	\$ 13,916	\$ -	\$ 1,552,449	\$ 1,566,365	\$ 1,409,641
Principal forgiveness disbursements	\$ (5,428,138)	\$ -	\$ -	\$ (5,428,138)	\$ (303,119)
Cash paid for interest	\$ (1,175,438)	\$ -	\$ -	\$ (1,175,438)	\$ (659,551)
Cash paid for program administration	\$ (45)	\$ -	\$ (165,007)	\$ (165,052)	\$ (146,183)
Net cash provided by operating activities	\$ (12,243,051)	\$ -	\$ 1,541,119	\$ (10,701,932)	\$ (12,771,494)
<u>NONCAPITAL FINANCING ACTIVITIES</u>					
Repayment of long-term debt	\$ -	\$ -	\$ -	\$ -	\$ -
Proceeds from bond issuance	\$ -	\$ -	\$ -	\$ -	\$ 28,392,509
Cash paid for cost of issuance of long-term debt	\$ -	\$ -	\$ -	\$ -	\$ (194,929)
Transfers in (Out)	\$ 712,600	\$ (3,727,255)	\$ 1,912,631	\$ (1,102,024)	\$ (955,060)
Non operating grants received	\$ 13,624,690	\$ 3,727,255	\$ 1,476,667	\$ 18,828,612	\$ 11,206,249
Net cash provided by non capital financing activities	\$ 14,337,290	\$ -	\$ 3,389,298	\$ 17,726,588	\$ 38,448,769
<u>INVESTING ACTIVITIES</u>					
Proceeds from sale of investments	\$ 10,000,000	\$ -	\$ -	\$ 10,000,000	\$ -
Purchase of investments	\$ (2,000,000)	\$ -	\$ -	\$ (2,000,000)	\$ (18,005,744)
Net cash received (used) in investing activities	\$ 8,000,000	\$ -	\$ -	\$ 8,000,000	\$ (18,005,744)
Net Increase in Cash and Cash Equivalents	\$ 10,094,239	\$ -	\$ 4,930,417	\$ 15,024,656	\$ 7,671,531
<u>CASH AND CASH EQUIVALENTS:</u>					
Beginning of year	\$ 32,598,755	\$ -	\$ 1,017,127	\$ 33,615,882	\$ 25,944,351
End of Year	\$ 42,692,994	\$ -	\$ 5,947,544	\$ 48,640,538	\$ 33,615,882
<u>RECONCILIATION OF OPERATING INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES:</u>					
Operating Income (Loss)	\$ (3,033,106)	\$ -	\$ 1,651,349	\$ (1,381,757)	\$ 3,635,486
Items not providing operating activities cash flows:					
Amortization of bond issuance costs	\$ 21,101	\$ -	\$ -	\$ 21,101	\$ 13,663
Amortization of bond premiums	\$ (435,040)	\$ -	\$ -	\$ (435,040)	\$ (280,359)
Amortization of deferred financing fees	\$ -	\$ -	\$ (164,563)	\$ (164,563)	\$ (170,300)
Net appr/depr in investments	\$ 2,619	\$ -	\$ -	\$ 2,619	\$ 1,794
Changes in:					
Accounts receivable:					
Borrowers	\$ 13,916	\$ -	\$ (18,848)	\$ (4,932)	\$ (33,385)
Accrued interest receivable:					
Investments	\$ (3,688)	\$ -	\$ (24)	\$ (3,712)	\$ (10,043)
Loans	\$ (49,549)	\$ -	\$ 13,769	\$ (35,780)	\$ (34,432)
Loans receivable	\$ (8,759,259)	\$ -	\$ 57,309	\$ (8,701,950)	\$ (16,005,754)
Deferred Fees	\$ (45)	\$ -	\$ 2,127	\$ 2,082	\$ 13,883
Accounts payable	\$ -	\$ -	\$ -	\$ -	\$ 97,953
Net cash used in operating activities	\$ (12,243,051)	\$ -	\$ 1,541,119	\$ (10,701,932)	\$ (12,771,494)

APPENDIX C-4

STATE OF ARKANSAS SAFE DRINKING WATER FUND PACE CALCULATIONS - Financial Indicators YEARS ENDING JUNE 30, 2012 AND JUNE 30, 2013

FEDERAL RETURN ON INVESTMENT

State Fiscal Year	Cumulative Assistance Disbursed	Cumulative Federal Cash Draws	Federal Return on Investment
2012	\$ 158,343,429	\$ 140,284,698	112.87%
2013	\$ 176,987,164	\$ 158,928,434	111.36%

EXECUTED LOANS AS A PERCENTAGE OF FUNDS AVAILABLE

State Fiscal Year	Total RLF Assistance Provided (Loans)	Cumulative Funds Available for Loans	Executed Loans as a % of Funds Available
2012	\$ 222,129,011	\$ 256,095,258	86.74%
2013	\$ 235,660,011	\$ 266,600,670	88.39%

LOAN DISBURSEMENTS AS A PERCENTAGE OF EXECUTED LOANS

State Fiscal Year	Cumulative Assistance Disbursed	Total RLF Assistance Provided (Loans)	Loan Disbursements as a % of Executed Loans
2012	\$ 158,343,429	\$ 222,129,011	71.28%
2013	\$ 176,987,164	\$ 235,660,011	75.10%

***Note: Information from NIMS and includes ARRA totals

APPENDIX

D

ARKANSAS DEPARTMENT OF HEALTH
Center for Local Public Health
Environmental Health Branch
Engineering Section

ANNUAL DWSRF REPORT
STATE FISCAL YEAR 2012
(JULY 1, 2012 through JUNE 30, 2013)
JULY 2013



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INTRODUCTION

The Arkansas Department of Health (ADH), Engineering Section (Engineering) is the primacy agency for administering the provisions of the Federal Safe Drinking Water Act (SDWA) in the State of Arkansas. Engineering is responsible for administering the State Public Water Supply Supervision Program within the ADH.

The Drinking Water State Revolving Fund (DWSRF) program came about as a part of the 1996 Amendments to the SDWA. The Arkansas Natural Resources Commission (ANRC) and the ADH signed an Interagency Memorandum of Agreement on administration of the DWSRF grant. Under this MOA, the ANRC administers the Loan Fund and the Administrative (4%) Set-aside portions of the DWSRF. ADH Engineering administers the State Program Management (10%), Small System Technical Assistance (2%), and Local Assistance & Other State Programs (15%) Set-asides portions of the DWSRF.

The purpose of this document is to present program activity progress and expenditures during State Fiscal Year 2013. A table is included in Attachment A which shows expenditures by set-aside and grant year. One notable departure from standard federal character definitions is that the federal definition of Equipment includes purchases of equipment which exceed \$5,000.00 and the state definition includes purchases which exceed \$2,500.00. The state definition is used in this report for identifying Equipment.

II. STATE PROGRAM MANAGEMENT SET-ASIDE

Section 1452(g)(2) authorizes the State to use up to 10% of its capitalization grant in the form of the State Program Management Set-Aside (SPM) for implementing drinking water program related activities. Engineering requested the full amount of State Program Management Set-Aside funds in its SRF-07 and SRF-08 workplans and a portion of the State Program Management Set-Aside in the SRF-09, SRF-10 and SRF12 workplans. The summary table in Attachment A shows expenditures during SFY13.

Under this set-aside, Engineering must provide a dollar-for-dollar match to use these funds. Half of the State match is to be in addition to the amount the State expended for public water supply supervision in FY93 and not include any funds used to match other federal grants. The state used unmatched funds from its annual public water system fee program to match the set-aside funds. In FY93 the State expended \$1,279,113 of State funds in its Public Water System Supervision Program (PWSSP).

For SFY13 Engineering budgeted \$2,152,977 and expended \$1,821,285 from the Public Water System program (State funds). Of this amount, \$1,490,915 was available for match against the SPM set-aside once PWSS (Water Grant) match funds were taken out. The FY93 credit plus the available State funds total \$2,770,028. For SFY13 Engineering expended \$1,030,564 from the SPM set-aside. This should demonstrate that sufficient unmatched state funding was available to cover the 1:1 match requirement for this set-aside.

The matching funds were supplied in the form of in-kind services for work performed using PWS Fees. In-kind services included such activities as sanitary surveys, plan reviews, technical assistance, enforcement/compliance issues, operator training and certification activities, staff training, associated travel, office support, etc. performed by staff members. The percentages

for O&M and travel worked out to be about the same as for the set-aside funded positions. The match-positions and job duties are discussed in a later portion of this section.

Other expenditures from this set-aside includes such items as office equipment and supplies, staff training and travel, PCs, upgrades to the Section's LAN system, routine O&M, etc. Refer to Attachment B for a more detailed listing of items.

DRAFT

The Goals and Objectives, Proposed Outputs and Deliverables as outline in the workplan and the SFY13 Outputs applied to the State Program Management Set-Aside category are summarized below:

Sub-Element/Category: Assistance to Public Water System Supervision (PWSS)

Goal: Provide PWSS programs that will bring PWSs into or keep them in compliance.

OBJECTIVE 1: Provide public water system supervision to ensure compliance with the SDWA .	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)(A)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Provide administrative support for the ADH staff to supervise PWSs such as maintaining files, developing standard operating procedures and/or guidance, travel coordination, and other administrative tasks to maintain primacy delegation and meet reporting requirements of SDWA.	ADH	On-going
2. Perform Sanitary Surveys and prepare report of sanitary and regulatory deficiencies. Respond to regulatory needs and deficiencies as needed.	ADH, PWS	On-going
3. Implement new and enforce existing EPA and ADH Water Regulations.	ADH	On-going
4. Provide technical assistance and training to water operators for existing and upcoming regulations	ADH, PWS	As Needed
5. Review compliance data for compliance with state and federal drinking water regulations. Identify violations and notify PWSs of all violations.	ADH	On-going
6. Review of plans and specifications as required under State regulations	ADH	On-going
7. Administer EPA grants and track/facilitate expenditures.	ADH	On-going
8. Conversion of Engineering's legacy system to SDWIS/State. (Operator Certification, Bacteriological Sample tracking, Sanitary Survey Tracking)	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 13 Outputs
Programmatic	Maintenance of state primacy delegation.	ADH retains primacy.	Primacy has been maintained
	Staff to administer EPA grants and track/facilitate expenditures and ACH reimbursements.	Document and track grant expenditures	See Appendix A
	Meeting EPA reporting requirements under the SDWA.	Document and track PWS compliance and enforcement actions	The follow enforcement actions were taken and tracked: Violation Determined and PN requested – 656, PN verification received 301, WAOs – 58; AOs – 25 , CO – 15
	Conversion of Engineering's legacy data systems to SDWIS/State. These databases will include: Water Operator Certification tracking, Bacteriological Monitoring Bottle Mailings, Sanitary Survey tracking, Boil Water Order Notification tracking, and SWAP applications.	SDWIS/Fed reporting of all Inventory, Violations, Enforcement, Monitoring and Milestone data within 45 days of end of each quarter	SDWIS / Fed reporting completed quarterly as required. Deadlines for submittal: 8/15/12, 11/15/12, 2/15/13; 5/15/13, Dates submitted: 8/12, 11/12, 2/13, 5/13 all on time Conversion of legacy data is on-going.
		All State reports required under the SDWA will be submitted and within the required timeframes.	Annual and miscellaneous reports submitted as requested.
Environmental	Plan and specification reviews as required under the state regulations. Complete more than 90% of plan reviews within required timeframe (est. 225 plans)	Log and track an estimated 1500 plans and specifications annually	1029 total plans received, logged and tracked resulting in 1811 total reviews.
		Field Surveillance Staff - Review an estimated 200 plans and specifications	Field Surveillance Staff: <ul style="list-style-type: none"> SPM funded positions conducted 408 review assignments on 194 plans SPM state match positions –conducted 92 review assignments on 40 plans
		Technical Support Staff – Review and an estimated 25 plans and specifications	Technical Support Staff -- SPM funded Positions <ul style="list-style-type: none"> Reviewed 19 corrosion control plans Reviewed and prepared 19 Disinfection Profile reports, Conducted evaluations and made 8 GWUDI determinations
Environmental	Conducting sanitary surveys and other inspections at PWSs	Complete more than 90% of sanitary surveys that are due in the scheduled year. (An estimated 100 surveys to be completed by Field Surveillance staff.)	61 Sanitary surveys completed by SPM funded Field Surveillance staff positions; 67 Sanitary surveys completed by SPM state match Field Surveillance staff positions;
		Complete more than 90% of sanitary surveys that are due in the scheduled year. (An estimated 50 surveys to be completed by Technical Support staff.)	SPM funded Technical Support Staff <ul style="list-style-type: none"> 54 Sanitary surveys completed by SPM funded Technical Support Staff. SPM funded Technical Support Staff also participated in 3 CPEs & 2 Quarterly AWOP meetings.
		Other Inspections / Investigations at PWSs	<ul style="list-style-type: none"> SPM funded Field Surveillance Staff provided direct one-on-one technical assistance on 125 occasions SPM state match positions – conducted 110 technical assistance visits.

Environmental	Pre-planning for and implementation of new regulations associated with the SDWA.	New EPA/SDWA regulations will be implemented within the prescribed timeframes.	All new rules / requirements are implementation on schedule and generally ahead of schedule. <ul style="list-style-type: none"> • LT2 Bin compliance monitoring began for two systems in October 2012. Sent revised monthly operating report forms to the remaining five systems in early 2013 to prepare them for compliance in October 2013/2014. • Assistance provided to 4 systems regarding Stage 2 DBP extension request • Stage 1 & 2 DBP -- 70 monitoring plans completed / approved, letters advising systems of DPB results sent to PWSs – 1825 Stage 1 & 266 Stage 2 compliance status letters. Tracked one (1) OEL event.
Environmental	Training and technical assistance for water system operators and managers specific to new/upcoming SDWA requirements. Assistance will be provided in classroom and one-on-one settings, as well as by mail outs.	ADH sponsored water operator training for new/upcoming SDWA regulations.	SPM funded Field Surveillance Staff provided direct one-on-one technical assistance on 235 occasions
	Technical assistance to water operators.	Provide technical assistance to operators	Combined Man-hours of Technical Assistance, Complaint Investigation; and Operator Training: SPM funded Field Surveillance Staff – 4595 man-hours SPM state match positions – 2353 man-hours
		Document technical assistance and ADH sponsored training sessions (est. 24 training session per year)	ADH sponsored 16 compliance schools
		Oversight and coordination of an estimated two (2) fluoride schools/year	One (1) fluoride training session conducted and conducted 4 fluoride start-up inspections with associated technical assistance.

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 13
Programmatic	ADH retains primacy of SDWA and completes all required reports	Primacy maintained and all required reports were completed.
Environmental	85% of the community PWSs in the State will meet all applicable health-based drinking water standards.	90.8% of Community Water Systems met all health based standards during SFY 13.
Programmatic	Maintain certified operators at 90% of community PWSs.	98% of Community PWSs maintained operator certification during SFY 13

¹The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

SUMMARY

As can be seen from the activities and measurable reported in this section, substantial progress was made in the State's drinking water program. The activities conducted using the SPM set-aside funds are an integral part of the State's overall drinking water Public Water System Supervision Program. Thanks in part to the SPM set-aside funds, the Engineering Section was effectively implementing all required state and federal regulations promulgated to date and has been successful in retaining its Primacy status with EPA. In fact, we have been able to continue early implementation activities on the DBP Stage 2 Rule and the Long Term 2 Enhanced Surface Water Treatment Rule. EPA Drinking Water Staff have continued to be complementary of our overall program accomplishments and the progress we made during the past year.

III. SMALL SYSTEM TECHNICAL ASSISTANCE

Section 1452(g)(2) allows the State to use up to 2% of its capitalization grant for implementing technical assistance activities of Section 1442(e). Engineering requested the full amount of Small System Technical Assistance (SSTA) Set-Aside funds in its SRF-07 work plan. Engineering reserved or banked 2% of the funds from the SRF-08, SRF-09, SRF-10, SRF-11 & SRF-12 capitalization grants for future use. The SRF-07 funds were fully expended during SFY13. For the balance of SFY13, funding for the SSTA contracts was transferred to the Local Assistance – Capacity Development set-aside. The summary table in Attachment A shows expenditures during SFY13.

This assistance will be accomplished through contract services. As required under State procedures, Requests for Proposals were prepared to solicit interested contractors to provide the necessary assistance under the oversight of Engineering.

Two technical assistance contracts, both which included provisions for six one-year extensions, were put into place for SFY12. These contracts became effective on July 1, 2011. The technical assistance contracts were funded for evaluating and improving the “capacity development” adequacy of some small water systems using these set-aside funds. One contract, aimed at Technical and Operational aspects, was awarded to the Arkansas Rural Water Association. The second contract, aimed at Financial and Managerial aspects, was also awarded to Arkansas Rural Water Association. Both contracts operate in the same manner. The contracts are implemented in a “circuit rider” format with on-site visits to assess individual water system needs, provide corrective action plans addressing identified deficiencies, and provide technical assistance in correcting these deficiencies. The Contractor is given a prioritized list of water systems to visit. The Contractor visits systems to improve their financial and managerial capacity by assisting with rate studies, budget analysis, board member training, asset management training, long range plans, and emergency response plans. The Contractor also provides on-site technical assistance hours to water operators to improve the technical and operational capacity of water systems based on assessments provided by the State.

The Goals and Objectives, Proposed Outputs and Deliverables as outlined in the workplan and the SFY13 Outputs applied to the Small System Technical Assistance Set-Aside category are summarized below:

Sub-Element/Category: Small Systems Technical Assistance

Goal: Provide technical assistance to small water systems to improve system capacity for compliance with drinking water regulations

OBJECTIVE 1: To insure adequate capacity development at each water system for the future.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Perform assessment of each new potential water system to determine if it meets technical, managerial, and financial (TMF) capacity.	ADH, PWS	On-going
2. Perform a TMF assessment of each existing community water system and non-transient non-community water system and rank them based on their TMF assessment.	ADH	On-going
3. Provided assistance to PWSs to improve their TMF capacity. Priority will be given to the systems with the most need.	ADH, Contractors	On-going
4. Track PWSS Progress towards meeting TMF capacity.	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 13 Outputs
Environmental	Assess individual water system needs, provide corrective action plans addressing identified deficiencies, and provide technical assistance in correcting these deficiencies; systems that are chronic violators and/or have other demonstrated problems	A corrective action plan will be developed with appropriate milestones and delivered to the water system for consideration and implementation. (Reportables' include: names of systems contacted & number of on-site visits made, assessment reports completed, strategies developed, man-hours on-site technical assistance rendered, participation in CPEs, and the status of systems following through in implementing the corrective action plans.)	<p>The Contractor submitted a database each month detailing man-hours claimed to the Section along with requests for payment based upon the contract unit prices. During SFY13the contractor contributed the following:</p> <p>Financial & Managerial (F&M) Total Hours - 427 ; Technical & Operational (T&O) Total Hours – 456. Details below.</p> <ul style="list-style-type: none"> On-site Technical Assistance (man-hours) F&M - 210 T&O - 233 Technical Assistance Office Hours F&M - 68 T&O - 75 Travel Hours F&M - 149 T&O – 148 CPE Participation F&M - 3 T&O - 2

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 13
Environmental	Improve TMF capacity.	ADH has 3 contracts in place with TA providers to assist small water systems with improving TMF capacity through technical assistance and GIS mapping of infrastructure.

¹The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

IV. LOCAL ASSISTANCE AND OTHER STATE PROGRAMS SET-ASIDE

Section 1452(k) allows the State to use up to 15% of its capitalization grant for implementing activities under this set-aside. The State plans to use the funds in two primary program areas: Wellhead Protection (WHP) Program implementation (1452(k)(D)) and Capacity Development Strategy assistance (1452(k)(B)). The State requested the full amount of the Local Assistance and Other State Programs Set-Aside (Wellhead Protection Program & Capacity Development) funds in the EPA approved work plans for SRF07, SRF08, SRF09 and SRF10. The State did not elect to take any set-aside funds for the Wellhead Protection Program in the SRF11 or SRF12 work plan, however, did requested 10% for Capacity Development in each of these work plans. The table in Attachment A shows the expenditures for SFY13.

1. Wellhead Protection Program Implementation

Wellhead Protection program activities implemented benefited the State's Community Water Supply systems by moving them closer to having a substantially implemented Source Water Protection program as defined by the Arkansas Department of Health.

Sub-Element/Category: Wellhead Protection Program

Goal: Promote and continue to implement wellhead protection program efforts

OBJECTIVE 1: Obtain meaningful information on groundwater aquifer characteristics and vulnerabilities and maintain a well operated GIS data system.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1428 & 1452(k)(1)(D)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Collection and updating of GIS field data for PWSs.	ADH, PWS, UofA, USGS	On-going
2. Conversion of GIS data from NAD27 to NAD83.	ADH	On-going
3. Obtain or develop electronic data for improving the GIS system and Source Water Assessment Model.	ADH, UofA, USGS	On-going
4. Maintain and update the Source Water Assessment Model for providing up to date meaningful protection area reports	ADH, USGS	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

OBJECTIVE 2: Delineate wellhead protection areas and provide public outreach regarding wellhead and groundwater protection efforts.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1428 & 1452(k)(1)(D)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Provide technical assistance to PWSs development of wellhead protection programs.	ADH, PWS	As Needed
2. Conduct public outreach activities and training sessions to promote wellhead and groundwater protection.	ADH, PWS	As Needed
3. Delineation of wellhead protection areas for new wells and refinement/updates for existing wells.	ADH, U of A	On-going
4. Review of proposed point and non-point discharge permit applications for impacts on PWS sources.	ADH	On-going
5. Maintain files and ensure organized approach to source water protection efforts	ADH	On-going

6. Monitoring and review of new wells and vulnerable existing wells for Ground Water Under Direct Influence of surface water	ADH	As Needed
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 13 Outputs
Environmental	Technical assistance to PWSs in public outreach efforts and assistance to the PWS in drafting needed documents, such as ordinances and emergency plans, as requested	Formal and informal technical assistance activities targeted towards ground water protection efforts, as needed or requested.	WHPP staff provided technical assistance activities on approximately 377 occasions. Such activities include: direct one-on-one assistance, public and individual outreach activities, mailing of information and reports as requested.
		<ul style="list-style-type: none"> Assistance, as requested, to PWSs in well siting, aquifer identification, and recommended construction features. Estimate: well siting ~ 5 events/year construction recommendations (casing and grout depth, drill cuttings analysis, other) ~ 5 events/year wellhead deficiency surveys ~ 10 events/year 	WHPP staff provided recommendations on construction of seventeen (17) wells. Recommendations include: aquifer determination, construction depth, casing and grouting depths, etc. Staff reviewed drill cutting on eight (8) wells in order to confirm / refine recommendations. Twelve (12) wellhead deficiency surveys conduct specifically for SWAP purposes. Similar data is available from sanitary.
Environmental	WHP protection area delineations for new wells and refinement/update of previous delineations	The ADH staff will delineate 20 wellhead protection areas for new or existing sources (# includes revised delineations where new or better data has become available.	Thirty-one (31) WHPAs were delineated for eleven (11) different PWSs and five (11) Phase I reports were completed and mailed.
Environmental	Collection of field data (e.g.; PSOC locations, wellhead locations, well construction features, etc.) for input into the GIS system	The ADH staff will assist PWSs in conducting and/or updating 30 contaminant source surveys and complete Phase II WHP reports. An estimated 4 PSOC inventories will be conducted per year.	ADH conducted PSOC inventories at thirteen (13) PWSs covering 65 WHPAs. Ten (10) Phase II (inventory) Reports including 34 PSOC maps were completed.
Environmental	The ADH staff will assist all interested PWSs in preparing and implementing Source Water Protection Plans.	Provision of assistance to surface water systems in developing source water protection plans, upon request.	Created 50 PSOC maps for ARWA to use during field PSOC inventory collection / verification. Produced 16 PSOC inventory maps for ARWAs WHPP / SWAP reports for 5 public water systems and for 1 WHPP Phase II report.
Programmatic	Conversion of GIS spatial data from USGS North American Datum 1927 (NAD27) to North American Datum 1983 as time permits		All major projects and data layers are now using the NAD 83 datum. This is consistent with the Arkansas State Land Board which is the state store house for GIS data. Source locations and supporting data layers have been maintained in NAD 27 to allow continued use of the States SWAP model, which was designed for use in NAD 27. . Final conversion of all data will be completed upon SWAP update completion in FY 2015.
Programmatic	A routinely updated and well-operated GIS system	Routine updates of the GIS system from the databases of other	Official and unofficial data sharing agreements are in place with

		agencies on a monthly basis.	the CWA agency to obtain and share location data on an as needed basis. GeoStor, the Statewide GIS data storehouse is available, also on an as need basis.
		Collect GPS and construction data on newly constructed wells (est. 5/year).	Five new wells were added to the inventory in SFY year. GPS locations obtained for each. Gathered construction information during plan review process, sanitary surveys, and prep work for WHPA delineations.
Programmatic	To review proposed point and non-point discharge permit applications to insure, as much as possible, that drinking water sources are protected and affected water systems are kept informed	To review and comment on approximately 1,000 proposed projects annually with point and non-point source discharges.	A total of 1053 permits were reviewed and comments made to the permitting agency. A breakdown of permit reviews by permit type follows: NPDES – 178, Land Application – 85, Salt Water Disposal – 62, Underground Injection – 7, Oklahoma NPDES permits – 104, Oil & Gas permits – 481, Miscellaneous – 33.
Programmatic	To provide a coordinated and organized approach to source water protection efforts in the State	The development of standard procedures for conducting hydrologic sensitivity assessments at ground water sources.	In cooperation with the University of Ark and USGS, three projects have been developed and agreed upon to enhance the SWAP model sensitivity assessments. These projects are design to update the existing model to current GIS software and to incorporate new / updated GIS layers such as, land use land cover updates, improved soils and geology layers, add fracture coverage, investigate computer modeling, etc. A fourth project is under development to incorporate stream time of travel.

Outputs¹ – to be documented in Annual Reports:

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 13
Environmental	50% of community PWSs will have substantially implemented Source Water Protection programs.	358 community PWSs (50.78%) with a population of 1,720,968, have substantially implemented SWA programs under the State definition.
Environmental	95% of GWUDI evaluations will be conducted and an initial determination made within 30 days of the evaluation.	8 wells added to inventory and 8 GWUDI determinations completed; Six of the determinations finalize within 30 days of evaluation being completed. Further documentation being gather on the others.
Environmental	50 Source Water Protection Plans prepared or updated.	96 Source Water Protection Plans developed.

¹The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

SUMMARY

The State's Wellhead Protection Program plan, as approved by EPA, has a stated two-fold purpose: 1) for the fulfillment of the wellhead protection requirements of the Safe Drinking Water Act; and 2) to provide another means to enhance the agency's continuing efforts to protect public drinking water supply sources under the State's Public Water Supply Supervision Program. Both goals were accomplished.

Overall, the principal aims of the WHPP, Phase I (delineation) and Phase II (PSOC inventory) report production, continued to be the main focus of the WHPP, although considerable time was expended on hydrologic analyses and technical assistance for location of well sites and sanitary construction of proposed new wells. Technical assistance to the water systems as needed was also a focus for the program, as was hydrologic analysis and technical assistance frequently requested by consultants, well drillers, and members of the public. Significant time was also devoted to offsite cooperation with other organizations and agencies interested in wellhead/source water protection, especially the Arkansas Rural Water Association on groundwater protection issues.

Most of the effort in producing WHP delineation reports was spent on collection and verification of the well construction data and hydrologic data included in the reports, followed by calculation of WHPA radii and compilation of the GIS layers for the accompanying maps. There were 31 delineations made or revised at eleven (11) public water systems. A roughly equal amount of time was devoted to assistance with inventories of Potential Sources of Contamination (PSOC) within the delineated WHPA and the follow-up work such as creating GIS layers representing the PSOCs identified within each WHPA. PSOC inventories were conducted at ten (10) water systems covering 23 wells PSOC inventory reports drafted for each.

2. Capacity Development Strategy Assistance

Capacity Development program activities implemented benefited the State's Community Water Supply systems by moving them closer to having adequate capacity or maintaining adequate capacity.

Sub-Element/Category: Capacity Development Program

Goal: Provide technical assistance to water systems to improve system capacity for compliance with drinking water regulations

OBJECTIVE 1: To provide assistance to enable small water systems serving a population <10,000 to achieve and maintain compliance with the Safe Drinking Water Act (SDWA) and State Drinking Water Regulations, work on specific water systems with the Capacity Development Program and produce high-quality drinking water for the long term.	Administering Agency: ADH	Task Performing Agency: ADH
	Collaborators	SDWA Citation: 1452(g)(2)
Activities (Outputs)		Schedule for Completing Activities - Rate of Completion
1. Assistance and training to PWSs with completed capacity assessments that were identified as requiring capacity assistance.	ADH, PWS, Contractors	As Needed
2. Assistance and training to PWSs identified by the ETT.	ADH, PWS, Contractors	As Needed
3. Assistance and training to PWSs to bring about regionalization.	ADH, PWS, Contractors	On-going
4. Assistance and training to PWSs with obtaining a certified operator.	ADH, PWS, Contractors	On-going
5. Board member training to PWS water boards and city councils.	ADH, Contractors	On-going

6. Complete Comprehensive Performance Evaluations on surface water systems that are unable to meet the requirements of the surface water treatment rules, the requirements of the disinfection by-product rules, or request assistance with optimization.	ADH, Contractors	On-going
7. Provide PWSs with infrastructure location and mapping services.	Contractors	On-going
8. Provide monthly reports to Engineering containing the names of PWSs assisted and the type and quantity of assistance provided.	Contractors	Monthly
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

OBJECTIVE 2: Implement the Capacity Development Program to assist new and existing PWSs to meet the requirements of the SDWA and State Drinking Water Regulations at present and in the future.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(k)(1)(B)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Draft CCRs for PWSs as requested. Ensure that all public water systems are provided with the technical assistance and training necessary to comply with the CCR regulations.	ADH, PWS	On-going
2. Provide necessary training for water operators seeking to obtain certification under the State's operator certification program and provide specialized training to further the skills of these and other operators.	ADH	On-going
3. Assist local PWSs in establishing cross connection control to help maintain the water quality in the PWS distribution mains.	ADH	On-going
4. Implement AWOP including the conducting of CPEs and PBT training sessions primarily for surface water systems that are unable to meet the requirements of the surface water treatment rules, the disinfection by-product rules, or for systems requesting assistance with optimization.	ADH	On-going
5. Provide systems a reliable overnight delivery service of bacteriological sample to the ADH laboratory.	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY-13 Outputs
Environmental	Provide on-site technical assistance and training to PWS operators.	Provide an estimated twelve (12) 8-hour training sessions for PWS operators. Provide approximately 24 on-site training/ technical assistance visits	<ul style="list-style-type: none"> One-on-one TA concerning DBP issues provided to 29 sys Assistance provided to 4 systems regarding Stage 2 DBP extension request ADH staff presented sessions at 2 3-day conferences and District Meeting as requested. System / plant start-up assistance provide to one new surface water system. 10 training sessions were held on cross-connection control policies and procedures.
Environmental	Conduct CCR training.	Conduct five (5) CCR training sessions for an anticipated 70 PWSs	Two (2) training classes were conducted to assist water system operators with the CCR process (approximately 6 operators were in attendance at each session.)
Environmental	Draft CCRs for PWSs as requested and provide direct	Draft CCRs for an anticipated 600 PWSs; Assistance to PWSs	Drafted 708 CCR's for community water systems and non-

	assistance to PWSs developing their own.	drafting their own Newsletter on as needed basis.	transient non-community water systems.
Programmatic	Review, evaluated, and comment, as appropriate, on all CCRs submitted to Engineering	Anticipate 730 systems will be received and reviewed.	Reviewed approximately 708 system's CCR reports.
Environmental	Maintain the current overall compliance with the CCR		96% of systems met reporting guideline
Programmatic	Coordinate AWOP efforts	Provide one FTE to coordinate / conduct 4 CPEs and one PBT training event.	<ul style="list-style-type: none"> • 4 CPEs were conducted and one PBT on Distribution Disinfection Byproduct issues (8 systems involved) • Calibration check made at 15 surface water treatment plants on 142 turbidimeters, 25 pH meters and 35 chlorine analyzers • ADH Staff participated in AWOP events: 4 attended the Baton Rouge AWOP quarterly meeting, 3 attended the Tyler, Texas Multi-State CPE, 8 attended an AWOP quarterly meeting by Adobe Connect, 4 attended the Des Moines AWOP mtg.
Programmatic	Provide operator compliance training courses	Provided 45 operator certification courses for 351 operators or operators-in-training.	63 courses were offered to operators at various locations statewide, including 18 compliance courses (for ~400 operators-in-training).
Programmatic	Publish Quarterly Newsletter	Publish four (4) newsletters and distribute approximately 3600 per quarter	Four (4) newsletters were published with over 3700 mailing per issue. Copies were sent to water system operators and managers, local utility officials (i.e.; mayor, association president, owner, etc.), environmental groups and other organizations and persons requesting to be on the distribution list.
Environmental	Coordinate / track mapping contract	Provide infrastructure location and mapping services for 40 water systems.	Assessment reports completed – 33; Man-hours GPS work – 888; Man- hours Mapping – 529, 115 Man-hours training
Programmatic		Review and approve any contract invoices and/or deliverables within 30 days.	Received 33 invoices covers mapping work on 33 systems. Invoice generally review and payment authorized within 7 days of receipt of final invoice.

Outputs¹ – to be documented in Annual Reports:

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

<u>Outcome Type</u>	<u>Quantitative Description of Outcome</u>	<u>SFY13</u>
Environmental	To educate/reassure local PWS customers on the quality/safety of their drinking water.	708 water systems distributed CCRs to local PWS customers. 96% of systems met reporting requirement on or before schedule. The remaining 5% met requirements within 3 months of deadline and 2% remained out of compliance.
Environmental	Improved distribution water quality provided to the public through cross-connection control program.	ADH performed cross-connection surveys and offered technical assistance at approximately 100 PWSs.
Environmental	Improve water quality through treatment plant optimization efforts.	Contractors (5 individuals) participated in 4 CPEs on the Financial and the Technical side to optimize plant efforts.
Environmental	99% of Community and Non-Transient Non-Community water systems will have licensed operators. 50 courses were offered to operators and operators in training. ADH staff presented sessions at 2 3-day conferences. In addition, classes were held on fluoride training, CCR's, and cross-connection control. TA providers went on-site to help with peer-to-peer operator training when necessary.	98.8% licensed. Conducted 49 exam sessions, processed 453 exams, issued 93 Operator in Training certificates, issued 225 new licenses and tracked the renewal of 2650 operators holding 3607 active water operator licenses or Operator in Training Certificates.

¹The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

This set-aside also contributed toward the Agency's state courier system to assist water systems in submitting samples to the laboratory. This service provides assistance to water systems by providing a reliable overnight delivery service at no cost to them. The systems also benefit by having significant reductions in the number of samples rejected due to being too old or being lost in transit. The systems do not have to coordinate individual arrangements with other means of sample delivery.

This set-aside also funded the first round of cryptosporidium monitoring and analysis for small systems as required by the LT2ESWTR. Five water system sources were monitored with 60 samples.

ATTACHMENT

A

Overall Summary of Travel Expenditures

ADH Expenditure Summary

Position Funding Summary

ADH Set-Aside Summary

Salary Distribution

ADH Expenditure Summary

GRANT ID			Jul-12	Aug-12	Sep-12	Oct-12	Nov-12	Dec-12	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Total Expenses
FS986001-10 DWSRF07 FHD 2105 610352	PWSS ASRC0007	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		<i>Subtotal</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	TA ASRD0007	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ 5,414.32	\$ -	\$ 2,388.80	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,803.12
		Indirect	\$ 600.99	\$ -	\$ 265.16	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 866.15
		<i>Subtotal</i>	\$ 6,015.31	\$ -	\$ 2,653.96	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,669.27
	WHP ASRB0007	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		<i>Subtotal</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	CD ASRF0007	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		<i>Subtotal</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		TOTAL	\$ 6,015.31	\$ -	\$ 2,653.96	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,669.27

FS986001-11 DWSRF08 FHD 2105 610352	PWSS ASRC0008	Salaries	\$ 49,952.49	\$ 50,652.48	\$ 9,288.83	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 109,893.80
		Fringe	\$ 16,781.45	\$ 16,934.73	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 33,716.18
		Travel - 09	\$ -	\$ 580.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 580.00
		Supplies	\$ 103.80	\$ 1,724.38	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,828.18
		Travel - 02	\$ 555.46	\$ 531.63	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,087.09
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ 8,549.41	\$ 8,627.59	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 17,177.00
		Subtotal	\$ 75,942.61	\$ 79,050.81	\$ 9,288.83	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 164,282.25
	TA ASRD0008	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP ASRB0008	Salaries	\$ 25,562.75	\$ 24,862.76	\$ 35,794.78	\$ 26,855.91	\$ 40,283.97	\$ 25,964.33	\$ 25,579.23	\$ 29,504.94	\$ 24,328.16	\$ -	\$ -	\$ -	\$ 258,736.83
		Fringe	\$ 8,528.45	\$ 8,375.26	\$ 10,898.68	\$ 10,145.63	\$ 11,945.23	\$ 8,810.87	\$ 9,974.34	\$ 5,773.51	\$ 12,418.85	\$ -	\$ -	\$ -	\$ 86,870.82
		Travel - 09	\$ 562.01	\$ 640.00	\$ 243.06	\$ 788.64	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,233.71
		Supplies	\$ 850.35	\$ 2,082.69	\$ 20,721.72	\$ 3,004.47	\$ 1,336.59	\$ 3,953.79	\$ 8,368.24	\$ 6,422.52	\$ 2,015.84	\$ 4,386.59	\$ -	\$ -	\$ 53,142.80
		Travel - 02	\$ 498.88	\$ 964.67	\$ 596.33	\$ 120.87	\$ 343.08	\$ 513.79	\$ -	\$ 105.23	\$ 1,008.20	\$ -	\$ -	\$ -	\$ 4,151.05
		Equipment	\$ -	\$ -	\$ 14,850.00	\$ 9,875.65	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 24,725.65
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ 4,081.88	\$ 4,184.33	\$ 5,720.69	\$ 5,647.76	\$ 5,924.90	\$ 4,038.38	\$ 4,127.76	\$ 4,113.98	\$ 4,183.90	\$ -	\$ -	\$ -	\$ 42,023.58
		Subtotal	\$ 40,084.32	\$ 41,109.71	\$ 88,825.26	\$ 56,438.93	\$ 59,833.77	\$ 43,281.16	\$ 48,049.57	\$ 45,920.18	\$ 43,954.95	\$ 4,386.59	\$ -	\$ -	\$ 471,884.44
	CD ASRF0008	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		TOTAL	\$ 116,026.93	\$ 120,160.52	\$ 98,114.09	\$ 56,438.93	\$ 59,833.77	\$ 43,281.16	\$ 48,049.57	\$ 45,920.18	\$ 43,954.95	\$ 4,386.59	\$ -	\$ -	\$ 636,166.69

FS986001-12	PWSS	Salaries	\$ -	\$ -	\$ 41,363.63	\$ 48,414.71	\$ 67,267.03	\$ 45,146.50	\$ 45,746.58	\$ 51,096.51	\$ 38,496.49	\$ 44,346.52	\$ -	\$ -	\$ 381,877.97
DWSRF09		Fringe	\$ -	\$ -	\$ 16,934.68	\$ 18,471.72	\$ 20,554.30	\$ 15,729.98	\$ 18,018.70	\$ 9,904.13	\$ 21,691.51	\$ 17,370.64	\$ -	\$ -	\$ 138,675.66
FHD 2105	ASRC0009	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
610352		Supplies	\$ -	\$ -	\$ 105.70	\$ 2,352.40	\$ 7,627.96	\$ 19,198.14	\$ 256.25	\$ 1,386.53	\$ 1,205.11	\$ 161.58	\$ -	\$ -	\$ 32,293.67
		Travel - 02	\$ -	\$ -	\$ 274.65	\$ 511.60	\$ 340.20	\$ 137.52	\$ 142.83	\$ 15.00	\$ 904.82	\$ -	\$ -	\$ -	\$ 2,326.62
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ 8,591.95	\$ 8,552.01	\$ 11,232.10	\$ 7,795.63	\$ 8,140.51	\$ 7,811.87	\$ 7,701.97	\$ 7,900.04	\$ -	\$ -	\$ 67,726.08
		Subtotal	\$ -	\$ -	\$ 67,270.61	\$ 78,302.44	\$ 107,021.59	\$ 88,007.77	\$ 72,304.87	\$ 70,214.04	\$ 69,999.90	\$ 69,778.78	\$ -	\$ -	\$ 622,900.00
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRD0009	Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26,855.92	\$ 40,883.87	\$ 41,124.27	\$ 108,864.06
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,070.29	\$ 12,076.63	\$ 13,588.24	\$ 35,735.16
	ASRB0009	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 485.00	\$ 1,834.47	\$ -	\$ 2,319.47
		Supplies**	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 122,264.12	\$ 11,636.39	\$ 2,857.61	\$ 136,758.12
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 233.96	\$ 487.34	\$ (50.00)	\$ 671.30
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,186.52	\$ 6,017.76	\$ 6,210.77	\$ 16,415.05
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 164,095.81	\$ 72,936.46	\$ 63,730.89	\$ 300,763.16
	CD	Salaries	\$ 56,617.46	\$ 48,156.64	\$ 40,721.35	\$ 51,691.14	\$ 51,922.38	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 249,108.97
		Fringe	\$ 17,224.14	\$ 16,163.22	\$ 14,147.28	\$ 18,723.57	\$ 14,903.70	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 81,161.91
	ASRF0009	Travel - 09	\$ -	\$ 2,040.27	\$ -	\$ 659.97	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,700.24
		Supplies	\$ 6,411.50	\$ 34,593.95	\$ 7,510.67	\$ 37,120.74	\$ 111.54	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 85,748.40
		Travel - 02	\$ 3,809.81	\$ 4,124.06	\$ 3,056.57	\$ 363.16	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,353.60
		Equipment	\$ -	\$ 74,146.34	\$ -	\$ (1,645.94)	\$ 35,663.45	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 108,163.85
		Contractual	\$ 15,255.51	\$ 34,849.77	\$ 678.96	\$ 22,148.69	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 72,932.93
		Indirect	\$ 12,260.37	\$ 11,718.31	\$ 7,451.74	\$ 9,224.32	\$ 8,754.22	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 49,408.96
		Subtotal	\$ 111,578.79	\$ 225,792.56	\$ 73,566.57	\$ 138,285.65	\$ 111,355.29	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 660,578.86
		TOTAL	\$ 111,578.79	\$ 225,792.56	\$ 140,837.18	\$ 216,588.09	\$ 218,376.88	\$ 88,007.77	\$ 72,304.87	\$ 70,214.04	\$ 69,999.90	\$ 233,874.59	\$ 72,936.46	\$ 63,730.89	\$ 1,584,242.02

FS986001-13	PWSS	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 69,060.15	\$ 72,395.00	\$ 141,455.15
DWSRF10		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 20,966.51	\$ 24,186.08	\$ 45,152.59
FHD 2105	ASRC0010	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,474.09	\$ -	\$ 1,474.09
610352		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 234.46	\$ 1,070.08	\$ 1,443.54	\$ 2,748.08
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 114.44	\$ 123.99	\$ 238.43
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 24,951.30	\$ -	\$ -	\$ 24,951.30
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,532.47	\$ 12,369.85	\$ 23,902.32
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,185.76	\$ 104,217.74	\$ 110,518.46	\$ 239,921.96
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRD0010	Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRB0010	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	CD	Salaries	\$ -	\$ -	\$ -	\$ -	\$ 25,935.86	\$ 51,070.21	\$ 49,376.58	\$ 51,646.99	\$ 42,041.21	\$ 47,427.40	\$ 71,584.03	\$ 74,358.41	\$ 413,440.69
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ 7,326.89	\$ 16,592.55	\$ 18,688.98	\$ 10,110.37	\$ 21,596.13	\$ 17,564.05	\$ 20,871.85	\$ 23,460.51	\$ 136,211.33
	ASRF0010	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 430.00	\$ 275.00	\$ 1,134.10	\$ 1,598.63	\$ 189.96	\$ 1,799.37	\$ 320.11	\$ 5,747.17
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ 20,869.40	\$ 24,132.99	\$ 32,245.26	\$ 16,840.66	\$ 27,300.14	\$ 41,968.12	\$ 40,082.08	\$ 49,536.08	\$ 252,974.73
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ 1,557.62	\$ 2,624.91	\$ 626.38	\$ 819.01	\$ 2,668.40	\$ -	\$ 2,053.53	\$ 812.09	\$ 11,161.94
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ 134,021.09	\$ 13,871.79	\$ -	\$ -	\$ 24,214.60	\$ 24,951.30	\$ -	\$ -	\$ 197,058.78
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ 31,538.85	\$ 20,915.85	\$ 11,776.80	\$ 17,495.03	\$ 18,739.28	\$ 23,796.33	\$ 6,080.27	\$ -	\$ 130,342.41
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ 4,357.42	\$ 8,863.82	\$ 8,916.59	\$ 8,090.21	\$ 8,334.73	\$ 8,513.88	\$ 12,111.72	\$ 12,814.28	\$ 72,002.65
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ 225,607.13	\$ 138,502.12	\$ 121,905.59	\$ 106,136.37	\$ 146,493.12	\$ 164,411.04	\$ 154,582.85	\$ 161,301.48	\$ 1,218,939.70
		TOTAL	\$ -	\$ -	\$ -	\$ -	\$ 225,607.13	\$ 138,502.12	\$ 121,905.59	\$ 106,136.37	\$ 146,493.12	\$ 189,596.80	\$ 258,800.59	\$ 271,819.94	\$ 1,458,861.66

Position Effort - Funding Summary

Technical Staff				Effort			Funding								
				SRF Set-Asides			Federal						State		
				ASRC Program Administration (FTE)	ASRF Capacity Development (FTE)	ASRB WHP (FTE)	ASRC SPM (FTE)	ASRF Cap Dev (FTE)	ASRB WHP (FTE)	AWPH ADEQ - WHP (FTE)	AHLS Security (FTE)	AWAT PWSS (FTE)	ASGR General Revenue (FTE)	APWF PWS Service Fee (FTE)	AWHF Licensing Fees (FTE)
Position #	Position Title	Total FTE	Salary (Total)												
22105843	Envir Health Spec	1	\$41,051	0.04	0.89	0.07		0.72	0.28						
22106108	Engineer Supv	1	\$62,634	0.65	0.35	0.00		1.00							
22105470	Envir Health Spec	1	\$39,446	0.50	0.48	0.02						1.00			
22105425	ADH Envir Spec Supv	1	\$42,669	0.25	0.02	0.73			1.00						
22109829	Envir Health Spec	0.87	\$31,567	0.12	0.75	0.00								0.87	
22105532	Geologist	1	\$52,173			1.00			1.00						
22104604	Engineer Supv	1	\$59,711		0.92	0.08						1.00			
22107010	Engineer, P.E.	1	\$52,201	0.34	0.66		1.00								
22076783	Engineer, P.E.	1	\$82,451	0.92	0.08			1.00							
22106127	Engineer Supv	1	\$93,400	0.63	0.37			0.50						0.50	
22104790	ADH Envir Spec Supv	1	\$64,670	0.91	0.09	0.00								1.00	
22104518	Envir Health Spec	1	\$34,457	0.43	0.57	0.00		1.00							
22106157	Engineer Technician	1	\$37,070	1.00	0.00	0.00						0.06		0.94	
22104997	Engineer, P.E. (retired)	1	\$12,008	0.11	0.04	0.00		0.15							
22104997	Engineer, P.E.		\$38,396	0.47	0.38	0.00		0.85							
22106177	Envir Health Spec	1	\$55,444	0.70	0.30	0.00			1.00						
22104664	Engineer Technician	1	\$35,715	0.99	0.01	0.00						0.06		0.94	
22105879	ADH Chief Engineer	1	\$96,164	0.56	0.11	0.34						1.00			
22105701	Engineer Supv	1	\$68,720	0.60	0.37	0.03	1.00								
22104936	Envir Health Spec	1	\$39,856	0.61	0.39								1.00		
22109218	Engineer, P.E.	1	\$64,350	0.50	0.50							1.00			
22105676	Engineer	1	\$43,522	0.00	0.60	0.40	0.30	0.70							
22010663	Engineer, P.E. (new employee)	0.07	\$4,400	0.05	0.02		1.00								
22107058	Geologist	1	\$55,464	1.00					1.00						
22104914	Engineer	1	\$42,471	0.64	0.36			1.00							
22110689	Envir Health Spec		\$13,120	0.04	0.36		1.00								
22110689	Envir Health Spec	0.56	\$5,172	0.12	0.04		1.00								
22106202	Envir Health Spec	1	\$37,486			1.00			1.00						
22076785	Engineer	1	\$43,647	0.53	0.47			1.00							
22105207	ADH Chief Engineer	1	\$85,354	0.81	0.16	0.03						0.21		0.79	
22106665	Envir Health Spec	1	\$35,102	0.58	0.38	0.04	1.00								
22110035	Engineer (resigned)	0.38	\$15,940	0.29	0.09			0.38							
22110035	Engineer (position # reassigned)	1	\$55,788	0.88	0.12							1.00			
22106395	Engineer	1	\$43,255	0.69	0.31			1.00							
22109519	Engineer Supv	1	\$58,099	0.03	0.97						0.02			0.98	

22105906 Engineer, P.E.	1	\$52,040	0.26	0.74	1.00									
22076821 Engineer Technician (summer interr	0.94	\$13,906	0.62	0.32		0.94								
22104509 Engineer Technician	1	\$37,069	1.00		1.00									
22105750 Envir Health Spec (CM)	1	\$54,087	0.60	0.26	0.14								1.00	
22106838 Envir Health Spec	1	\$41,399	0.95		0.04								1.00	
22106309 Envir Health Spec	1	\$58,549	0.52	0.43	0.05	1.00								
22107118 Health Program Spec III	1	\$73,521		1.00		1.00								
22106342 Engineer, P.E. (new employee)	0.94	\$47,232	0.33	0.60									0.94	
22106817 Envir Health Spec	1	\$66,770	0.88	0.07	0.05		0.37						0.63	
22105615 Envir Health Spec	1	\$33,973		0.99		1.00								
22110513 Engineer Technician	1	\$33,277	1.00		0.00								1.00	
22106823 Geology Supervisor	1	\$64,255			1.00		0.51	0.49						
22076817 Engineer Technician		\$10,065	0.61		0.00						0.11		0.50	
22076817 Engineer Technician	1	\$4,393	0.24	0.02	0.00								0.26	
22076817 Engineer Technician		\$2,244	0.12	0.01									0.13	
22105580 Envir Health Spec	1	\$42,389	0.86	0.14							1.00			
22107098 Envir Health Spec	1	\$33,817	0.57	0.30		0.13								
22107098 Envir Health Spec		\$4,341	0.02	0.11	0.00	0.13								
22105489 Envir Health Spec	1	\$38,294	0.16	0.67	0.17		0.27						0.73	
22105223 Envir Health Spec (new employee)	0.77	\$32,249		0.00	0.77		0.77							
22105224 ADH Director Engineering	1	\$102,665	0.97	0.03	0.00				0.03	0.36			0.61	
22109951 Envir Health Spec	1	\$37,176	0.45	0.55	0.00	1.00								
22105003 Engineer Supv	1	\$66,784	0.64	0.36	0.00					1.00				
22105585 Engineer Supv	1	\$89,470	0.77	0.23	0.00						1.00			
22111570 Envir Health Spec	1	\$45,120	0.75	0.25	0.00					1.00				
22076820 Engineer Technician (summer interr	1	\$9,273	0.50	0.23			0.72							
22076820 Engineer Technician (summer interr		\$2,584	0.15	0.03			0.18							
22105080 Envir Health Spec	1	\$41,978	1.00		0.00					1.00				
22105008 Engineer Technician	1	\$36,470	1.00							0.06			0.94	
22106388 Engineer	1	\$42,471	0.67	0.33		1.00								
22111755 Envir Health Spec	1	\$41,765	0.93	0.07		1.00								
22104558 Engineer, P.E.	1	\$52,708	0.65	0.33	0.02		1.00							
22106024 Engineer, P.E.	1	\$48,566	0.72	0.28									1.00	
22076920 Engineer Technician (summer interr	0.18	\$2,584	0.15	0.03			0.18							
TOTALS	59.71	\$3,006,459	34.10	19.53	5.97	12.43	14.45	7.20	0.49	0.05	9.86	2.00	14.75	0.00
%			57.11%	32.70%	9.99%	20.82%	24.20%	12.05%	0.83%	0.09%	16.52%	3.35%	24.71%	0.00%

IT Staff				Effort			Funding								
				SRF Set-Asides			Federal						State		
							DWSRF Set-Asides			Other Federal Grants					
Position #	Position Title	Total FTE	Salary (Total)	ASRC Program Administration (FTE)	ASRF Capacity Development (FTE)	ASRB WHP (FTE)	ASRC SPM (FTE)	ASRF Cap Dev (FTE)	ASRB WHP (FTE)	AWPH ADEQ - WHP (FTE)	AHLS Security (FTE)	AWAT PWSS (FTE)	ASGR General Revenue (FTE)	APWF PWS Service Fee (FTE)	AWHF Licensing Fees (FTE)
22105749	COMPUTER SUPPORT COOR	1	\$60,170	0.57	0.33	0.10	1					1			1
22111469	SOFTWARE SUPP ANALYST	1	\$55,475	0.57	0.33	0.10									
22109788	SOFTWARE SUPP ANALYST	1	\$77,999	0.57	0.33	0.10									
22110274	HEALTH PROG SPEC I	1	\$37,666	0.57	0.33	0.10									
22076818	ENGINEER TECHNICIAN	1	\$33,817	0.57	0.33	0.10									
TOTALS		5	\$265,127	2.85	1.65	0.50	1.00		1.00			2.00		2.00	
Administrative Staff				Effort			Funding								
				SRF Set-Asides			Federal						State		
							DWSRF Set-Asides			Other Federal Grants					
Position #	Position Title	Total FTE	Salary (Total)	ASRC Program Administration (FTE)	ASRF Capacity Development (FTE)	ASRB WHP (FTE)	ASRC SPM (FTE)	ASRF Cap Dev (FTE)	ASRB WHP (FTE)	AWPH ADEQ - WHP (FTE)	AHLS Security (FTE)	AWAT PWSS (FTE)	ASGR General Revenue (FTE)	APWF PWS Service Fee (FTE)	AWHF Licensing Fees (FTE)
22104661	BUDGET ANALYST	1	\$57,882	0.69	0.17	0.14	1.00					1.00		1.00	
22111547	Health Program Spec I	1	\$41,350	0.69	0.17	0.14	1.00								
22105960	Health Program Spec I	1	\$41,450	0.69	0.17	0.14									
22105622	ADMIN SPEC III	1	\$29,343	0.69	0.17	0.14									
22105926	ADMIN SPEC III	1	\$29,343	0.69	0.17	0.14									
22105953	ADMIN SPEC III	1	\$34,476		1.00		1.00					0.11		1.00	1.00
22111151	ADMIN SPEC III	1	\$34,245	0.69	0.17	0.14									
22105983	ADMIN SPEC II	1	\$29,425	0.69	0.17	0.14									
22106978	ADMIN SPEC II	0.6	\$10,613	0.42	0.10	0.08									
22106978	ADMIN SPEC II		\$2,692												
22104617	ADMIN SPEC II	1	\$28,114	0.69	0.17	0.14	1.00							1.00	
22105973	ADMIN SPEC II	1	\$22,852	0.69	0.17	0.14									
22106262	ADMIN SPEC II	1	\$40,368	0.69	0.17	0.14									
22106729	ADMIN SPEC II	1	\$28,620	0.69	0.17	0.14									
TOTALS		12.6	\$430,771	8.01	2.97	1.62									
Grand Totals		77.31	\$3,702,357	44.96	24.15	8.09	15.43	15.45	8.20	0.49	0.05	12.97	2.00	23.24	1.00

ADH Set-Aside Summary

Summary of ADH Funding for open SRF Capitalization Grant Set-Asides

SRF Grant Year	Set-Aside	% of Grant	Total Available	Total Reserved	Total Budgeted	Total Expended To Date	Remaining Balance	Grant Start Date	Expense Begin Date	Expense End Date	Grant
2007*	ADMIN	4%	\$ 413,320	\$ -	\$ 413,320	\$ 413,320	\$ -	10/1/2008	Jun-11	Jun-12	9/30/2012
	SPM	10%	\$ 1,033,300	\$ -	\$ 1,033,300	\$ 1,033,300	\$ -		Jul-10	Sep-11	
	TA^^	2%	\$ 206,660	\$ -	\$ 200,576	\$ 200,576	\$ -		Oct-10	Sep-12	
	WHP	5%	\$ 619,980	\$ -	\$ 516,650	\$ 516,650	\$ 0		Jun-11	Jun-12	
	Cap Dev	10%	\$ 929,970	\$ -	\$ 1,033,300	\$ 1,033,300	\$ (0)		Jul-10	Jul-11	
	Total	27%	\$ 2,789,910	\$ -	\$ 2,783,826	\$ 2,783,826	\$ -		Jul-10	Sep-12	
ARRA 09	ADMIN	4%	\$ -	\$ -	\$ -	\$ -	\$ -	2/17/2009	N/A	N/A	9/30/2011
	SPM	10%	\$ 2,448,500	\$ 2,448,500	\$ -	\$ -	\$ -		N/A	N/A	
	TA	2%	\$ 489,700	\$ 489,700	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	0%	\$ -	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Cap Dev	0%	\$ -	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Total	12%	\$ 2,938,200	\$ 2,938,200	\$ -	\$ -	\$ -		N/A	N/A	
2008	ADMIN	4%	\$ 409,160	\$ -	\$ 409,160	\$ 409,160	\$ -	10/1/2009	Sep-11	Sep-12	9/30/2013
	SPM	10%	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -		N/A	N/A	
	TA	2%	\$ 204,580	\$ 204,580	\$ -	\$ -	\$ -		Jun-12	Apr-13	
	WHP	5%	\$ 613,740	\$ -	\$ 511,450	\$ 511,450	\$ -		Jun-11	Apr-12	
	Cap Dev	10%	\$ 920,610	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -		Jun-11	Apr-13	
	Total	27%	\$ 2,761,830	\$ 204,580	\$ 2,557,250	\$ 2,557,250	\$ -		Jun-11	Apr-13	
2009	ADMIN	4%	\$ 409,160	\$ -	\$ 409,160	\$ 409,160	\$ -	10/1/2010	Sep-12	Apr-13	9/30/2014
	SPM	10%	\$ 1,022,900	\$ 400,000	\$ 622,900	\$ 622,900	\$ -		N/A	N/A	
	TA	2%	\$ 204,580	\$ 204,580	\$ -	\$ -	\$ -		Apr-13	Sep-13	
	WHP	5%	\$ 511,450	\$ -	\$ 511,450	\$ 300,763	\$ 210,687		Apr-12	Nov-12	
	Cap Dev	10%	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -		Apr-12	Sep-13	
	Total	27%	\$ 2,761,830	\$ 604,580	\$ 2,157,250	\$ 1,946,563	\$ 210,687		Apr-12	Sep-13	
2010	ADMIN	4%	\$ 821,506	\$ -	\$ 821,560	\$ 821,560	\$ -	1/1/2011	Apr-13	Oct-14	12/31/2014
	SPM	10%	\$ 2,053,900	\$ 500,000	\$ 1,553,900	\$ 239,922	\$ 1,313,978		N/A	N/A	
	TA	2%	\$ 410,780	\$ 410,780	\$ -	\$ -	\$ -		Sep-13	Dec-14	
	WHP	5%	\$ 1,026,950	\$ -	\$ 1,026,950	\$ -	\$ 1,026,950		Nov-12	Nov-13	
	Cap Dev	10%	\$ 2,053,900	\$ -	\$ 2,053,900	\$ 1,218,940	\$ 834,960		Nov-12	Dec-14	
	Total	27%	\$ 5,545,530	\$ 910,780	\$ 4,634,750	\$ 1,458,862	\$ 3,175,888		Nov-12	Dec-14	
2011	ADMIN	4%	\$ 570,080	\$ -	\$ 570,080	\$ 570,080	\$ -	3/14/2012	N/A	N/A	9/30/2015
	SPM	10%	\$ 1,425,200	\$ 1,425,200	\$ -	\$ -	\$ -		N/A	N/A	
	TA	2%	\$ 285,040	\$ 285,040	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 712,600	\$ -	\$ -	\$ -	\$ -		11/11/2013	6/11/2014	
	Cap Dev	10%	\$ 1,425,200	\$ -	\$ 1,425,200	\$ -	\$ 1,425,200		9/11/2013	7/11/2014	
	Total	27%	\$ 3,848,040	\$ 1,710,240	\$ 1,425,200	\$ -	\$ 1,425,200				
2012	ADMIN	4%	\$ 543,280	\$ -	\$ 543,280	\$ 85,027	\$ 458,253	5/31/2012	10/22/2014	4/19/2015	9/30/2016
	SPM	10%	\$ 1,358,200	\$ 850,000	\$ 508,200	\$ -	\$ 508,200		N/A	N/A	
	TA	2%	\$ 271,640	\$ 271,640	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 679,100	\$ -	\$ -	\$ -	\$ -		6/11/2014	3/6/2015	
	Cap Dev	10%	\$ 1,358,200	\$ -	\$ 1,358,200	\$ -	\$ 1,358,200		6/11/2014	4/19/2015	
	Total	27%	\$ 4,210,420	\$ 1,121,640	\$ 2,409,680	\$ 85,027	\$ 2,324,653				
Current Balance Totals**	ADMIN	4%	\$ 3,166,506	\$ -	\$ 3,166,560	\$ 2,708,307	\$ 458,253	5/31/2012	10/22/2014	4/19/2015	9/30/2016
	SPM	10%	\$ 10,364,900	\$ 5,623,700	\$ 4,741,200	\$ 2,919,022	\$ 1,822,178		N/A	N/A	
	TA	2%	\$ 2,072,980	\$ 1,866,320	\$ 200,576	\$ 200,576	\$ -		N/A	N/A	
	WHP	5%	\$ 4,163,820	\$ -	\$ 2,566,500	\$ 1,328,863	\$ 1,237,637		6/11/2014	3/6/2015	
	Cap Dev	10%	\$ 7,710,780	\$ -	\$ 7,916,400	\$ 4,298,040	\$ 3,618,360		6/11/2014	4/19/2015	
	Total	27%	\$ 27,478,986	\$ 7,490,020	\$ 18,591,236	\$ 11,454,808	\$ 7,136,428				
2013 Estimated	ADMIN	4%	\$ 509,720	\$ -	\$ 509,720	\$ -	\$ 509,720	5/31/2012	10/22/2014	4/19/2015	9/30/2016
	SPM	10%	\$ 254,860	\$ 254,860	\$ 1,274,300	\$ -	\$ 1,274,300		N/A	N/A	
	TA	2%	\$ 1,274,300	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 637,150	\$ -	\$ -	\$ -	\$ -		6/11/2014	3/6/2015	
	Cap Dev	10%	\$ 1,274,300	\$ -	\$ 1,274,300	\$ -	\$ 1,274,300		6/11/2014	4/19/2015	
	Total	27%	\$ 3,950,330	\$ 254,860	\$ 3,058,320	\$ -	\$ 3,058,320				
Proposed Balance Totals**	ADMIN	4%	\$ 3,676,226	\$ -	\$ 3,676,280	\$ 2,708,307	\$ 967,973	9/1/2013	4/1/2015	6/8/2016	9/30/2016
	SPM	10%	\$ 10,619,760	\$ 5,878,560	\$ 6,015,500	\$ 2,919,022	\$ 3,096,478		4/1/2015	6/8/2016	
	TA	2%	\$ 3,347,280	\$ 1,866,320	\$ 200,576	\$ 200,576	\$ -		N/A	N/A	
	WHP	5%	\$ 4,800,970	\$ -	\$ 2,566,500	\$ 1,328,863	\$ 1,237,637		3/1/2015	10/11/2015	
	Cap Dev	10%	\$ 8,985,080	\$ -	\$ 9,190,700	\$ 4,298,040	\$ 4,892,660		12/1/2014	11/15/2015	
	Total	27%	\$ 31,429,316	\$ 7,744,880	\$ 21,649,556	\$ 11,454,808	\$ 10,194,748		6/11/2014	4/19/2015	

^ 2008 and 2010 workplans originally budgeted without full banking of TA set-aside

^^ \$6,084 was return to the loan fund to zero out the TA Balance

** Totals are based on expenditures through 6/30/13 for Grants 2007-2012

ATTACHMENT

B

Summary of Travel Reimbursements State Characters 02, 09

Internal Order ASRB0008 = Wellhead Protection (FCG 08)
Internal Order ASRB0009 = Wellhead Protection (FCG 09)
Internal Order ASRB0010 = Wellhead Protection (FCG 10)

Internal Order ASRC0008 = State Program Management (FCG 08)
Internal Order ASRC0009 = State Program Management (FCG 09)
Internal Order ASRC0010 = State Program Management (FCG 10)

Internal Order ASRF0008 = Capacity Development (FCG 08)
Internal Order ASRF0009 = Capacity Development (FCG 09)
Internal Order ASRF0010 = Capacity Development (FCG 10)

Summary of Travel Reimbursements

LAST NAME	FIRST NAME	**CH 02	**CH 09	IO	DESCRIPTION
ARTHUR	ROBERT	\$586.83		ASRF0009	AWOP CONFERENCE
ARTHUR	ROBERT	\$443.26		ASRF0009	CPE SUBIACO ACADEMY
ARTHUR	ROBERT	\$14.15		ASRF0010	DISTRICT MEETING
ARTHUR	ROBERT	\$468.32		ASRF0010	CPE
ARTHUR	ROBERT	\$474.14		ASRF0010	AWOP QUARTERLY MEETING
BRADLEY	DAVID	\$805.16		ASRF0010	ASDWSA / EPA DATA CONFERENCE
BURCHFIELD	STEPHANIE		\$346.06	ASRB0009	WATERSHED CONFERENCE
BURCHFIELD	STEPHANIE	\$118.95		ASRB0009	PHASE II DATA COLLECTION
BURCHFIELD	STEPHANIE	\$119.11		ASRB0009	PHASE II DATA COLLECTION
BURCHFIELD	STEPHANIE		\$429.05	ASRB0009	CONFERENCE
BURGHART	STEVEN	\$14.15		ASRF0010	DISTRICT MEETING
BURGHART	STEVEN	\$12.95		ASRF0010	DISTRICT MEETING
CORDER	CRAIG	\$237.26		ASRF0009	TECH. ASSISTANCE & BEAVER PBT
CORDER	CRAIG	\$548.68		ASRF0010	AWOP CONFERENCE
CORDER	CRAIG	\$435.91		ASRF0010	CPE SUBIACO ACADEMY
CORDER	CRAIG	\$556.17		ASRF0010	CPE -COMPLAINT-TECH ASSISTANCE
CORDER	CRAIG	\$585.18		ASRF0010	PBT-CPE
CORDER	CRAIG	\$217.22		ASRF0010	TECH ASSIST.-CHLORINE CHECK-DISTRICT MEETING
CORDER	CRAIG	\$546.33		ASRF0010	PBT-CPE
CORDER	CRAIG		\$1,161.93	ASRF0010	AWWA ACE13 CONFERENCE
DAWSON	DOUGLAS	\$121.06		ASRF0009	SANITARY SURVEY
DAWSON	DOUGLAS	\$126.20		ASRF0010	SANITARY SURVEY
DAWSON	DOUGLAS	\$108.16		ASRF0010	SANITARY SURVEY
FAULKNER	HERSCHEL	\$14.04		ASRC0010	DISTRICT MEETING
FIEGEL	DONALD	\$585.57		ASRB0008	AWOP QTLY MULTI STATE
FIEGEL	DONALD	\$364.10		ASRB0008	ADVANCED WATER TREATMENT
FIEGEL	DONALD	\$471.55		ASRB0008	SUBIACO CPE
FIEGEL	DONALD	\$513.79		ASRB0009	CPE
FIEGEL	DONALD	\$494.84		ASRB0009	CPE
FIEGEL	DONALD	\$504.74		ASRB0009	CPE
FIEGEL	DONALD	\$472.34		ASRB0010	AWOP QUARTERLY MEETING
GODFREY	LYLE		\$929.97	ASRF0010	ASDWA WINTER MEMBERS MEETING
HATFIELD	MARSHALL	\$438.18		ASRC0010	CPE-AWW& WEA
HILBORN	AARON	\$16.70		ASRC0010	DISTRICT MEETING
HILBORN	AARON	\$18.16		ASRC0010	DISTRICT MEETING
HILBORN	AARON	\$406.52		ASRF0009	AWOP QUARTERLY MEETING
HILBORN	AARON	\$433.16		ASRF0010	CPE
HILBORN	AARON	\$10.00		ASRF0010	DISTRICT MEETING
HILBORN	AARON	\$122.81		ASRF0010	SANITARY SURVEY
HILBORN	AARON	\$110.53		ASRF0010	BASED TRAINING
HILBORN	AARON	\$462.46		ASRF0010	CPE
HILBORN	AARON	\$120.96		ASRF0010	DBP PERFORMANCE
HOBBS	ASHLEY	\$9.69		ASRC0010	DISTRICT MEETING
HOBBS	ASHLEY		\$114.09	ASRC0010	AWW & WEA CONFERENCE
HOBBS	ASHLEY	\$12.71		ASRC0010	DISTRICT MEETING
HOLT	CHARLES	\$13.27		ASRF0009	WATER PLANT INSPECTION
HOLT	CHARLES	\$132.67		ASRF0009	SAN. SURVEY & DIST. MEETING
HOLT	CHARLES	\$241.72		ASRF0009	SANITARY SURVEY-DIST MEETING
HOLT	CHARLES	\$114.42		ASRF0009	OPERATOR TRAINING
HOLT	CHARLES	\$255.56		ASRF0009	FIELD WORK,DIST. MEET. & CALIB CH
HOLT	CHARLES	\$136.97		ASRF0010	SANITARY SURVEY
HOLT	CHARLES	\$620.98		ASRF0010	CPE-SAN SURVEY-OPER TRAINING
HOLT	CHARLES	\$251.90		ASRF0010	SANITARY SURVEY-DIST MEETING
HOLT	CHARLES	\$119.98		ASRF0010	OPERATOR TRAINING
HOLT	CHARLES	\$222.61		ASRF0010	SANITARY SURVEY
HOLT	CHARLES	\$118.21		ASRF0010	CALIBRATION CHECK-DIST. MEETING
HOLT	CHARLES	\$128.20		ASRF0010	SANITARY SURVEY
HOLT	CHARLES	\$132.04		ASRF0010	TANK EVALUATION

LAST NAME	FIRST NAME	**CH 02	**CH 09	IO	DESCRIPTION
HOLT	CHARLES	\$123.47		ASRF0010	CPE
HOLT	CHARLES	\$120.38		ASRF0010	SANITARY SURVEY
HOLT	CHARLES	\$129.24		ASRF0010	DISTRICT MEETING
IRVING	ROBERT	\$131.41		ASRC0009	SANITARY SURVEY
IRVING	ROBERT	\$248.89		ASRC0010	SANITARY SURVEY
JOHNSON	JOANNE	\$93.57		ASRF0010	UCMR MONITORING
JOHNSON	THOMAS	\$91.90		ASRF0009	CCCP SURVEY
JOHNSON	THOMAS	\$107.29		ASRF0009	CCCP SURVEY
JOHNSON	THOMAS	\$95.45		ASRF0009	CCCP SURVEY & BPBB CONFERENCE
JOHNSON	THOMAS	\$15.00		ASRF0010	DISTRICT MEETING
JOHNSON	THOMAS	\$18.00		ASRF0010	CCP & TECH COURSE
JOHNSON	THOMAS	\$11.82		ASRF0010	CCP-DIST. MEETING-BPAA
JOHNSON	THOMAS	\$91.33		ASRF0010	DIST MEETING-CCCP SURVEY
JOHNSON	THOMAS	\$74.32		ASRF0010	CCCP-DIST MEETING
JOHNSON	THOMAS	\$97.38		ASRF0008	CCCP SURVEY & BPAA CONFERENCE
JOHNSON	THOMAS	\$176.15		ASRF0010	CCCP SURVEYS
JONES	BRADLEY		\$220.23	ASRB0009	WATERSHED CONFERENCE
JONES	BRADLEY	\$117.73		ASRB0009	PHASE II DATA COLLECTION
JONES	BRADLEY	\$114.85		ASRB0009	DATA COLLECTION
JONES	BRADLEY		\$225.77	ASRB0009	CONFERENCE
JONES	MARK	\$128.03		ASRC0010	CHLORINE CHECK,DIST. MEETING-EXAM
JONES	MARK	\$136.06		ASRC0010	CHLORINE CHECK,SANITARY SURVEY-DISTRICT MEETING
JONES	MARK	\$127.83		ASRC0010	COMPLAINT-PROCTOR EXAM
JONES	MARK	\$127.83		ASRC0010	COMPLAINT-PROCTOR EXAM
JONES	MARK	\$124.66		ASRC0010	COMPLIANCE COURSE-DIST MEETING
JONES	MARK	\$123.62		ASRC0010	PROCTOR EXAM
JONES	MARK	\$114.44		ASRC0010	PROCTOR EXAM
KORT	EVELYN S		\$211.94	ASRB0008	AWRC CONFERENCE
KORT	EVELYN S		\$219.16	ASRB0009	CONFERENCE
LEE	AUSTIN	\$144.54		ASRF0009	SITE PLAN REVIEW & DIST. MEETING
LEE	AUSTIN		\$602.23	ASRF0009	AWOP CONFERENCE
LEE	AUSTIN	\$127.36		ASRF0009	PBT
LEE	AUSTIN	\$477.32		ASRF0009	CPE
LEE	AUSTIN	\$456.00		ASRF0009	AWOP QUARTERLY MEETING
LEE	AUSTIN	\$173.53		ASRF0010	MEETING WITH CITY OF FAYETTEVILLE
LEE	AUSTIN	\$128.25		ASRF0010	BEAVER PROJECT
LEE	AUSTIN	\$472.92		ASRF0010	CPE3
LEE	AUSTIN	\$547.59		ASRF0010	CPE
LEE	AUSTIN	\$601.85		ASRF0010	PBT-CPE
LEE	AUSTIN	\$121.88		ASRF0010	BEAVER PBT
LEE	AUSTIN	\$491.08		ASRF0010	CPE
LEE	TERESA		\$8.46	ASRF0010	EPA COURSE
LITTLE	CLYDE	\$15.00		ASRB0008	DISTRICT MEETING
LITTLE	CLYDE	\$15.00		ASRB0008	DISTRICT MEETING
LITTLE	CLYDE	\$15.00		ASRB0009	DISTRICT MEETING
LITTLE	CLYDE	\$20.00		ASRC0010	DISTRICT MEETING
LITTLE	CLYDE	\$15.00		ASRC0010	DISTRICT MEETING
LITTLE	CLYDE	\$15.00		ASRC0010	DISTRICT MEETING
LITTLE	CLYDE	\$14.60		ASRB0009	DISTRICT MEETING
LITTLE	CLYDE	\$15.00		ASRB0010	DISTRICT MEETING
LITTLE	CLYDE	\$15.00		ASRC0010	DISTRICT MEETING

LAST NAME	FIRST NAME	**CH 02	**CH 09	IO	DESCRIPTION
MAINER	ANDREW	\$106.09		ASRF0009	CHLORINE RESIDUAL CHECK
MAINER	ANDREW	\$113.93		ASRF0009	CHLORINE CHECK
MAINER	ANDREW	\$224.22		ASRF0009	CHLORINE CHECK
MAINER	ANDREW	\$226.19		ASRF0009	CHLORINE CHECK
MAINER	ANDREW	\$196.12		ASRF0009	CHLORINE CHECK
MAINER	ANDREW	\$109.10		ASRF0010	CHLORINE RESIDUAL CHECK
MORGAN	MARTHA	\$15.58		ASRC0010	DISTRICT MEETING
MORGAN	MARTHA	\$15.58		ASRC0010	DISTRICT MEETING
NELSEN	LAURA	\$15.00		ASRC0010	DISTRICT MEETING
NELSEN	LAURA	\$15.00		ASRF0010	DISTRICT MEETING
NUTT	MARTIN	\$834.31		ASRF0009	WATER MANAGEMENT CONFERENCE
NUTT	MARTIN		\$350.24	ASRF0009	ARWA CONFERENCE
NUTT	MARTIN		\$411.86	ASRF0010	AR MUN LEAGUE-ASSN OF BOARD OF CERTIFICATION CONFERENCE
NUTT	MARTIN		\$537.12	ASRF0010	AWW&WEA CONFERENCE
PRIOLEAU	ALICIA	\$130.59		ASRF0009	COMPLIANCE COURSE TRAINING
PRIOLEAU	ALICIA	\$97.36		ASRF0009	ADH TRAINING
PRIOLEAU	ALICIA		\$134.73	ASRF0009	ARWA CONFERENCE
PRIOLEAU	ALICIA	\$110.90		ASRF0010	COMPLIANCE COURSE TRAINING
PRIOLEAU	ALICIA	\$111.86		ASRF0010	COMPLIANCE COURSE
PRIOLEAU	ALICIA	\$108.82		ASRF0010	COMPLIANCE COURSE
PRIOLEAU	ALICIA	\$113.90		ASRF0010	COMPLIANCE COURSE
PRIOLEAU	ALICIA		\$255.23	ASRF0010	AWW & WEA CONFERENCE
PRIOLEAU	ALICIA	\$119.70		ASRF0010	COMPLIANCE COURSE
ROUTH	DARCIA		\$205.07	ASRB0008	AWRC CONFERENCE
ROUTH	DARCIA	\$109.98		ASRB0008	DISTRICT MEETING
ROBERTS	CHRISTOPHER		\$698.57	ASRF0010	EPA DRINKING WATER
ROBERTS	CHRISTOPHER	\$424.29		ASRF0010	CPE
ROUTH	DARCIA	\$120.87		ASRB0008	AR/OK AR RIVER COMPACT CM
ROUTH	DARCIA		\$222.35	ASRB0009	WATERSHED CONFERENCE
ROUTH	DARCIA	\$105.23		ASRB0009	REGIONAL COUNCIL MEETING
ROUTH	DARCIA	\$117.41		ASRB0009	PSOC INVENTORY
ROUTH	DARCIA		\$231.82	ASRB0009	AWRC CONFERENCE
SELLS	JASON	\$121.30		ASRC0008	SAN. SURVEY & ADH COMP COURSE
SELLS	JASON	\$112.94		ASRC0009	SANITARY SURVEY & COMPLAINT
SELLS	JASON	\$110.82		ASRC0010	EXAM PROCTOR & SANITARY SURVEY
SELLS	JASON	\$106.25		ASRC0010	DBP MEETING
SELLS	JASON	\$113.19		ASRC0010	DISTRICT MEETING-SAN SURVEY
SELLS	JASON	\$105.19		ASRC0010	PROCTOR EXAM
SELLS	JASON	\$110.85		ASRC0010	SAN. SURVEY-DIST. MEETING
SELLS	JASON	\$87.20		ASRC0010	EXAM PROCTOR
SELLS	JASON	\$116.16		ASRC0010	CPE-SANITARY SURVEY
STARLING	STAN		\$93.06	ASRB0008	ARWA CONFERENCE
STARLING	STAN	\$186.04		ASRB0009	DIST MEETING-COMPLIANCE TRAINING-EXAM OBSERVATION-ADM. EXAM
STARLING	STAN	\$159.27		ASRB0009	DISTRICT MEETING-SANITARY SURVEY
STARLING	STAN	\$33.95		ASRB0009	DISTRICT MEETING
STARLING	STAN		\$184.92	ASRB0009	AWW & WEA CONFERENCE
STONE	JEFF	\$110.20		ASRC0010	CIVIL ENGINEERING MEETING
TALLEY	CHRISTOPHER	\$12.19		ASRC0010	DISTRICT MEETING
TALLEY	CHRISTOPHER	\$127.45		ASRC0010	WATER TESTING
TALLEY	CHRISTOPHER	\$12.79		ASRC0010	DISTRICT MEETING
TALLEY	CHRISTOPHER	\$121.53		ASRC0010	SANITARY SURVEY
TALLEY	CHRISTOPHER	\$13.96		ASRC0010	DISTRICT MEETING
TALLEY	CHRISTOPHER	\$123.99		ASRC0010	SANITARY SURVEY
WALKER	ADDISON	\$224.78		ASRF0009	CALIBRATION CHECK
HOBBS	ASHLEY	\$12.14		ASRC0010	DISTRICT MEETING

LAST NAME	FIRST NAME	**CH 02	**CH 09	IO	DESCRIPTION
YOUNGBLOOD	STEPHEN	\$135.08		ASRF0009	SANITARY SURVEY
YOUNGBLOOD	STEPHEN	\$16.75		ASRF0010	DISTRICT MEETING
YOUNGBLOOD	STEPHEN	\$161.61		ASRF0010	SANITARY SURVEY
YOUNGBLOOD	STEPHEN	\$121.53		ASRF0010	SANITARY SURVEY
YOUNGBLOOD	STEPHEN	\$116.21		ASRF0010	DISTRICT MEETING
YOUNGBLOOD	STEPHEN	\$11.50		ASRF0010	DISTRICT MEETING
YOUNGBLOOD	STEPHEN	\$15.00		ASRF0010	DISTRICT MEETING

DRAFT

APPENDIX

E

DWSRF Project Management System (PBR-BASE/ARRA) and Federal Funding Accounting and Transparency Act Requirement (FFATA)

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : City of Beebe
 Assistance Type : Loan
 Funding Source : SRF

First Principal Date : 10/15/2015
 Loan Interest Rate : 2.25 %
 State Market Interest Rate : 2.25 %

Tracking # : WRD-003-829
 Other # : 00924-DWSRF-L
 Incremental Funding ? : ☐

	Initial Amount	Final Amount
--	----------------	--------------

Total Assistance \$	3,000,000	3,000,000
Principal Forgiveness \$	0	0

Loan Terms : Yrs
 % Funded By DWSRF : 0.00
 Disadvantaged Assistance ? : ☐
 ARRA Funding : ☐

Phase # : 0
 Original Tracking # :
 Same Health Benefits ? : ☐

Loan Closing Date : 05/29/2013

Final : ☐

PROJECT INFORMATION

PWS Number : AR0000001

System Name : BEEBE WATERWORKS

System Type : Community
 Ownership Type : Municipal
 Age of the System : 34

Country(s) Served :
 Primary : Union
 Other Country 1 :
 Other Country 2 :

Project Description : To develop the necessary Lonoke White PWA water connector main. Replace the cast iron and galvanized pipe segments in the system (Water distribution System Improvements - 2013) and eliminate dead end lines where practical.

Project Purpose : Assist Compliant Systems to Maintain Compliance

Project Start Date : 05/29/2013

Project Completion Date :

Number of Projects Funded : 1

Project Creates New Systems : ☐

Project Consolidates Systems (Yes) : ☒

Number of Systems Eliminated : 0

Population Served Current

By the Project :	0
By the System :	735

Number of Connections Current

By the Project :	0
By the System :	300

Project Nims Categories and Compliance objectives

Nims Categories :

☒ Percentage : Transmission & Distribution

% Of Assistance

☐ Amount : 100 3,000,000

100

Total % :

0

Public Health Impact Description : This project will provide dependable water supply through the design period. Reduce maintenance cost by reducing the incidences of pipe failures. Reduce incidences of low system pressure and boil orders due to line failures. reduce poor water quality due to dead end mains.

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : City of Bradley
 Assistance Type : Grant
 Funding Source : SRF

First Principal Date : 04/15/2016
 Loan Interest Rate : 3.25 %
 State Market Interest Rate : 3.25 %

Tracking # : WRD-003-807
 Other # : 00900-DWSRF-F
 Incremental Funding ? : ☐

	Initial Amount	Final Amount		
Total Assistance \$	1,700,000	1,700,000	Loan Terms : Yrs	Phase # : 0
Principal Forgiveness \$	1,700,000	1,700,000	% Funded By DWSRF : 100.00	Original Tracking # :
			Disadvantaged Assistance ? : <input checked="" type="checkbox"/>	Same Health Benefits ? : <input type="checkbox"/>
Loan Closing Date :	06/13/2013		ARRA Funding : <input type="checkbox"/>	
Final : <input type="checkbox"/>				

PROJECT INFORMATION

PWS Number : AR0000294
 System Name : BRADLEY WATERWORKS
 Country(s) Served :
 System Type : Community
 Ownership Type : Municipal
 Age of the System : 34
 Primary : Lafayette
 Other Country 1 :
 Other Country 2 :

Project Description : The existing water distribution system consists primarily of polyvinylchloride (PVC), galvanized and transite pipe. Recent studies have shown that the existing water distribution system is incurring a 29% water loss. The City proposes to replace 10,750 feet of six (6) inch water main, 8,500 feet of four (4) inch water main, 14,750 feet of two (2) inch water

Project Purpose : Assist Compliant Systems to Maintain Compliance
 Project Start Date : 06/13/2013
 Project Completion Date :

Number of Projects Funded : 1
 Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☐ Number of Systems Eliminated : 0

Population Served Current		Number of Connections Current	
By the Project :	0	By the Project :	0
By the System :	482	By the System :	211

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	Transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	100 1,700,000	100

Total % : 0

Public Health Impact Description : The City will have safe and reliable drinking water. The replacement of the existing water distribution system will improve existing water pressure in certain areas of the service area. Reduce the percent water loss which will increase the City's revenue. Reduce the monthly operation and maintenance cost.

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : City of McNeil
Assistance Type : Grant
Funding Source : SRF

First Principal Date : 10/15/2015
Loan Interest Rate : 3.25 %
State Market Interest Rate : 3.25 %
Tracking # : WRD-003-780
Other # : 00870-DWSRF-F
Incremental Funding ? : ☐

	Initial Amount	Final Amount
Total Assistance \$	1,331,000	1,331,000
Principal Forgiveness \$	1,331,000	1,331,000
Loan Closing Date :	12/07/2012	
Final :	<input type="checkbox"/>	

Loan Terms : Yrs
% Funded By DWSRF : 100.00
Disadvantaged Assistance ? : ☒
ARRA Funding : ☐
Phase # : 0
Original Tracking # :
Same Health Benefits ? : ☐

PROJECT INFORMATION

PWS Number : AR0000110

System Name : MC NEIL WATERWORKS

Country(s) Served :

System Type : Community
Ownership Type : Municipal
Age of the System : 33

Primary : Columbia
Other Country 1 :
Other Country 2 :

Project Description : Replace 26,500 feet of six (6) inch water main and 18,600 feet of two (2) inch water main along with associated appurtenances. The improvements will occur in the City of McNeil. When the improvements are complete the City expects to see significant reduction in their unaccounted for water which should result in increased savings and

Project Purpose : Assist Non-Compliant Systems to Achieve Compliance

Project Start Date : Project Completion Date :

Number of Projects Funded : 1

Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☐ Number of Systems Eliminated : 0

Population Served Current

By the Project : 0
By the System : 660

Number of Connections Current

By the Project : 0
By the System : 290

Project Nims Categories and Compliance objectives

Nims Categories :

☒ Percentage : Transmission & Distribution

% Of Assistance

☐ Amount : 100 1,331,000

100

Total % : 0

Public Health Impact Description : The goal of this project is to reduce the unaccounted for water which will allow the City of McNeil to lower their overall environmental footprint on the surrounding areas. When the existing distribution system is replaced numerous positive impacts on the environment will be realized. There will be a

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : Old Bella Vista POA Water Syste
Assistance Type : Grant
Funding Source : SRF

First Principal Date : 04/15/2015
Loan Interest Rate : 3.25 %
State Market Interest Rate : 3.25 %

Tracking # : WRD-003-801
Other # : 00894-DWSRF-F
Incremental Funding ? : ☐

	Initial Amount	Final Amount			
Total Assistance \$	600,000	600,000	Loan Terms :	Yrs	Phase # : 0
Principal Forgiveness \$	600,000	600,000	% Funded By DWSRF :	100.00	Original Tracking # :
			Disadvantaged Assistance ? :	<input checked="" type="checkbox"/>	Same Health Benefits ? : <input type="checkbox"/>
Loan Closing Date :	11/28/2012		ARRA Funding :	<input type="checkbox"/>	
Final :	<input type="checkbox"/>				

PROJECT INFORMATION

PWS Number : AR0000753
System Name : OLD BELLA VISTA POA
Country(s) Served :
System Type : Community
Ownership Type : Municipal
Age of the System : 24
Primary : Benton
Other Country 1 :
Other Country 2 :

Project Description : Improvements consist of a transmission main and associated appurtenances, with the intent of providing a new water supply source. In addition, approximately 1,100 linear feet of existing 2-inch and 3-inch line long Highway 71 will be replaced by a new 4-inch and 6-inch ductile iron main in order to improve distribution capabilities and reduce

Project Purpose : Assist Compliant Systems to Maintain Compliance

Project Start Date : 01/15/2013
Project Completion Date :

Number of Projects Funded : 1

Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☐ Number of Systems Eliminated : 0

Population Served Current

By the Project : 0
By the System : 120

Number of Connections Current

By the Project : 0
By the System : 39

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	Transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	100 600,000	100

Total % : 0

Public Health Impact Description :

Other Project Comments : The objective of this project is to significantly lower the water purchase price paid by the system in order to lower customer rates and provide additional operating revenue, which will improve the system's financial position.

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : Paron and Owensville Water Aud First Principal Date : 10/15/2015 Tracking # : WRD-003-148
 Assistance Type : Loan Loan Interest Rate : 2.75 % Other # : 00917-DWSRF-L
 Funding Source : SRF State Market Interest Rate : 2.75 % Incremental Funding ? : ☐

	Initial Amount	Final Amount		
Total Assistance \$	2,200,000	2,200,000	Loan Terms : Yrs	Phase # : 0
Principal Forgiveness \$	0	0	% Funded By DWSRF : 100.00	Original Tracking # :
			Disadvantaged Assistance ? : <input checked="" type="checkbox"/>	Same Health Benefits ? : <input type="checkbox"/>
Loan Closing Date :	11/27/2012		ARRA Funding : <input type="checkbox"/>	
Final : <input type="checkbox"/>				

PROJECT INFORMATION

PWS Number : AR0000001 System Name : PARON-OWENSVILLE WATER /
 Country(s) Served :
 System Type : Community Primary : Union
 Ownership Type : Municipal Other Country 1 :
 Age of the System : 33 Other Country 2 :

Project Description : New water treatment plant located in Paron and supplied from Lake Winona raw water line owned by Central Arkansas Water (CAW) would enable the Paron-Owensville Water System to increase its capacity to meet the growing demand for water in the northern parts of the water service area, the savings in purchasing raw water from CAW and treating it

Project Purpose : Assist Compliant Systems to Meet Future Reqmnts
 Project Start Date : 01/01/2013 Project Completion Date :
 Number of Projects Funded : 1
 Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☐ Number of Systems Eliminated : 0

Population Served Current		Number of Connections Current	
By the Project :	0	By the Project :	0
By the System :	735	By the System :	300

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	Treatment	Transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	50 1,100,000	50 1,100,000	100
Total % :			0

Public Health Impact Description : A new treatment facility located in Paron would enable the system to better distribute water to the entire system and significantly reduce the distance water would be transported. Hydraulics would significantly be improved allowing more growth in all service areas. Due to the reduction in cost to

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : City of Stephens
 Assistance Type : Grant
 Funding Source : SRF

First Principal Date : 10/15/2015
 Loan Interest Rate : 3.25 %
 State Market Interest Rate : 3.25 %

Tracking # : WRD-003-783
 Other # : 00873-DWSRF-F
 Incremental Funding ? : ☐

	Initial Amount	Final Amount
Total Assistance \$	3,000,000	3,000,000
Principal Forgiveness \$	3,000,000	3,000,000
Loan Closing Date :	12/07/2012	
Final : <input type="checkbox"/>		

Loan Terms : Yrs
 % Funded By DWSRF : 100.00
 Disadvantaged Assistance ? : ☒
 ARRA Funding : ☐

Phase # : 0
 Original Tracking # :
 Same Health Benefits ? : ☐

PROJECT INFORMATION

PWS Number : AR0000405

System Name : STEPHENS WATERWORKS

System Type : Community
 Ownership Type : Municipal
 Age of the System : 33

Country(s) Served :
 Primary : Ouachita
 Other Country 1 :
 Other Country 2 :

Project Description : The city will replace 650 feet of twelve (12) inch water main, 12,405 feet of eight (8) inch water main, 35,945 feet of six (6) inch water main, 7,830 feet of four (4) inch water main, 4,830 feet of three (3) inch water main, 22,980 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see a

Project Purpose : Assist Non-Compliant Systems to Achieve Compliance

Project Start Date : 12/17/2012

Project Completion Date :

Number of Projects Funded : 1

Project Creates New Systems : ☐

Project Consolidates Systems (Yes) : ☐

Number of Systems Eliminated : 0

Population Served Current

By the Project : 0
 By the System : 1,360

Number of Connections Current

By the Project : 0
 By the System : 555

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	Transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	100 3,000,000	100

Total % : 0

Public Health Impact Description : The city will have safe and reliable drinking water. The replacement of the existing water distribution system will improve existing water pressure in certain areas of the service area. reduce the percent water loss which will increase the City's revenue. reduce the monthly operation and maintenance cost.

Other Project Comments :

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600114

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):

Statewide, Arkansas 722013813

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 14252000.00

Is this information correct?: Yes

Obligation/Action Date: March 14, 2012

Is this information correct?: Yes

Report Month: Jul 2012

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS: 002909604 Subawardee Name: NORMAN, CITY OF Subawardee Doing Business As Name: NORMAN WATERWORKS Subawardee Address: 133 GOLF COURSE RD NORMAN, Arkansas 719608404 Amount of Subaward: 2310000.00 Subaward Obligation/Action Date: June 22, 2012 CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds Federal Agency ID: 6800 Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY Subaward Project Description: To replace its entire existing water distribution system using PVC pipe. The City will replace 42,328lf of eight(8)inch water main, 4,385lf of six(6) nch water main, 48,524lf of four(4) inch water main, 2,145lf of three(3)inch water main, 32,303lf of two(2)inch water main and 300lf of one(1) inch water main along with associated appurtenances. When the improvements are complete the City expects to see a significant reduction in their unaccounted for water which should result in increased energy and water savings along with decreased revenue losses for the water department. With the existing storage tank currently being modified and painted and with the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years.
Subawardee Principal Place of Performance:	Norman, Arkansas 719608404
Subaward Number:	00871-DWSRF-F
As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:	No

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600114

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):
Statewide, Arkansas 722013813

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 14252000.00

Is this information correct?: Yes

Obligation/Action Date: March 14, 2012

Is this information correct?: Yes

Report Month: Nov 2012

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS:	016503042
	Subawardee Name:	PARON OWENSVILLE WATER AUTHORITY
	Subawardee Address:	23968 HWY 9 PARON, Arkansas 721229308
	Amount of Subaward:	2000000.00
	Subaward Obligation/Action Date:	November 27, 2012
	CFDA Program Number(s):	66.468 Capitalization Grants for Drinking Water State Revolving Funds
	Federal Agency ID:	6800
	Federal Agency Name:	ENVIRONMENTAL PROTECTION AGENCY
	Subaward Project Description:	New water treatment plant located in Paron and supplied from Lake Winona raw water line owned by Central Arkansas Water (CAW) would enable the Paron-Owensville Water System to increase its capacity to meet the growing demand for water in the northern parts of the water service area, the savings in purchasing raw water from CAW and treating it as compared to purchasing treated water from North Garland County would enable the Paron System to implement these improvements without substantially increasing cost of service to its customers. A new treatment facility located more centrally to distribute water to the entire system would better serve growth in all areas the water system s service area and significantly reduce the distance water would be transported. A new treatment facility located in Paron would enable the system to better distribute water to the entire system and significantly reduce the distance water would be transported. Hydraulics would significantly be improved allowing more growth in all service areas. Due to the reduction in cost to purchase raw water from CAW, the new treatment plant can be constructed without a substantial increase in cost to its customers.
	Subawardee Principal Place of Performance:	Paron, Arkansas 720199124
	Subaward Number:	00600-DWSRF-L
	As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
	As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to	No

which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

Subawardee DUNS:	941641461
Subawardee Name:	Bella Vista Property Owners Association
Subawardee Address:	98 CLUBHOUSE DR Bella Vista, Arkansas 727158104
Amount of Subaward:	600000.00
Subaward Obligation/Action Date:	November 27, 2012
CFDA Program Number(s):	66.468 Capitalization Grants for Drinking Water State Revolving Funds
Federal Agency ID:	6800
Federal Agency Name:	ENVIRONMENTAL PROTECTION AGENCY
Subaward Project Description:	Improvements consist of a transmission main and associated appurtenances, with the intent of providing a new water supply source. In addition, approximately 1,100 linear feet of existing 2-inch and 3-inch line long Highway 71 will be replaced by a new 4-inch and 6-inch ductile iron main in order to improve distribution capabilities and reduce maintenance requirements. A section of 2-inch HDPE line will be installed in order to connect the AHTD Visitor's Center to the Old Bella Vista (OBV) water system. Finally, a flushing valve will be installed at the upper end of the Murphy Drive reach of the system, near the current supply point, to allow for two-way flushing of the distribution line. The objective of this project is to significantly lower the water purchase price paid by the system in order to lower customer rates and provide additional operating revenue, which will improve the system's financial position.
Subawardee Principal Place of Performance:	Bella Vista, Arkansas 727158104
Subaward Number:	00894-DWSRF-F
As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:	No

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600114

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):
Statewide, Arkansas 722013813

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 14252000.00

Is this information correct?: Yes

Obligation/Action Date: March 14, 2012

Is this information correct?: Yes

Report Month: Dec 2012

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS: 807561290 Subawardee Name: MCNEIL, CITY OF Subawardee Doing Business As Name: MCNEIL WATER DEPARTMENT Subawardee Address: 106 OLIVES ST MC NEIL, Arkansas 717528800 Amount of Subaward: 1331000.00 Subaward Obligation/Action Date: December 7, 2012 CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds Federal Agency ID: 6800 Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY
Subaward Project Description:	Replace 26,500 feet of six (6) inch water main and 18,600 feet of two (2) inch water main along with associated appurtenances. The improvements will occur in the City of McNeil. When the improvements are complete the City expects to see significant reduction in their unaccounted doe water which should result in increased savings and revenues for the water department. With the replacement of their distribution mains and appurtenances the city s water system will be up to standards for the next 20-30 years. The goal of this project is to reduce the unaccounted for water which will allow the City of McNeil to lower their overall environmental foot print on the surrounding areas. When the existing distribution system is replaced numerous positive impacts on the environment will be realized. There will be a reduction in the energy used to produce the potable water. With the reduction in the unaccounted for water not only will there be a reduction in energy used by the pumping facilities but also less frequent trips used which contributes to greenhouse gases.
Subawardee Principal Place of Performance:	McNeil, Arkansas 717528800
Subaward Number:	00870-DWSRF-F
As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports	No

filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

Subawardee DUNS:	141582689
Subawardee Name:	City Of Stephens
Subawardee Address:	103 N 1ST ST Stephens, Arkansas 717649208
Subawardee Parent DUNS:	141582689
Amount of Subaward:	3000000.00
Subaward Obligation/Action Date:	December 7, 2012
CFDA Program Number(s):	66.468 Capitalization Grants for Drinking Water State Revolving Funds
Federal Agency ID:	6800
Federal Agency Name:	ENVIRONMENTAL PROTECTION AGENCY
Subaward Project Description:	The city will replace 650 feet of twelve (12) inch water main, 12,405 feet of eight (8) inch water main, 35,945 feet of six (6) inch water main, 7,830 feet of four (4) inch water main, 4,830 feet of three (3) inch water main, 22,980 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see a significant reduction in their unaccounted for water department. With the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years. The city will have safe and reliable drinking water. The replacement of the existing water distribution system will improve existing water pressure in certain areas of the service area. reduce the percent water loss which will increase the City's revenue. reduce the monthly operation and maintenance cost.
Subawardee Principal Place of Performance:	Stephens, Arkansas 717649208
Subaward Number:	00873-DWSRF-F
As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:	No

DRAFT

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600114

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):
Statewide, Arkansas 722013813

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 14252000.00

Is this information correct?: Yes

Obligation/Action Date: March 14, 2012

Is this information correct?: Yes

Report Month: Nov 2012

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements? No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986? No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS:	016503042
	Subawardee Name:	PARON OWENSVILLE WATER AUTHORITY
	Subawardee Address:	23968 HWY 9 PARON, Arkansas 721229308
	Amount of Subaward:	2200000.00
	Subaward Obligation/Action Date:	November 27, 2012
	CFDA Program Number(s):	66.468 Capitalization Grants for Drinking Water State Revolving Funds
	Federal Agency ID:	6800
	Federal Agency Name:	ENVIRONMENTAL PROTECTION AGENCY
	Subaward Project Description:	New water treatment plant located in Paron and supplied from Lake Winona raw water line owned by Central Arkansas Water (CAW) would enable the Paron-Owensville Water System to increase its capacity to meet the growing demand for water in the northern parts of the water service area, the savings in purchasing raw water from CAW and treating it as compared to purchasing treated water from North Garland County would enable the Paron System to implement these improvements without substantially increasing cost of service to its customers. A new treatment facility located more centrally to distribute water to the entire system would better serve growth in all areas the water system's service area and significantly reduce the distance water would be transported. A new treatment facility located in Paron would enable the system to better distribute water to the entire system and significantly reduce the distance water would be transported. Hydraulics would significantly be improved allowing more growth in all service areas. Due to the reduction in cost to purchase raw water from CAW, the new treatment plant can be constructed without a substantial increase in cost to its customers.
	Subawardee Principal Place of Performance:	Paron, Arkansas 720199124
	Subaward Number:	00600-DWSRF-L
	As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
	As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to	No

which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

Subawardee DUNS:

941641461

Subawardee Name:

Bella Vista Property Owners Association

Subawardee Address:

**98 CLUBHOUSE DR
Bella Vista, Arkansas 727158104**

Amount of Subaward:

600000.00

Subaward Obligation/Action Date:

November 27, 2012

CFDA Program Number(s):

66.468 Capitalization Grants for Drinking Water State Revolving Funds

Federal Agency ID:

6800

Federal Agency Name:

ENVIRONMENTAL PROTECTION AGENCY

Subaward Project Description:

Improvements consist of a transmission main and associated appurtenances, with the intent of providing a new water supply source. In addition, approximately 1,100 linear feet of existing 2-inch and 3-inch line long Highway 71 will be replaced by a new 4-inch and 6-inch ductile iron main in order to improve distribution capabilities and reduce maintenance requirements. A section of 2-inch HDPE line will be installed in order to connect the AHTD Visitor's Center to the Old Bella Vista (OBV) water system. Finally, a flushing valve will be installed at the upper end of the Murphy Drive reach of the system, near the current supply point, to allow for two-way flushing of the distribution line. The objective of this project is to significantly lower the water purchase price paid by the system in order to lower customer rates and provide additional operating revenue, which will improve the system's financial position.

Subawardee Principal Place of Performance:

Bella Vista, Arkansas 727158104

Subaward Number:

00894-DWSRF-F

As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:

No

As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

No

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600114

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):
Statewide, Arkansas 722013813

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 14252000.00

Is this information correct?: Yes

Obligation/Action Date: March 14, 2012

Is this information correct?: Yes

Report Month: May 2013

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements? No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986? No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS: 177844065 Subawardee Name: Beebe Water & Sewer Subawardee Address: 201 W ILLINOIS ST Beebe, Arkansas 720123247 Subawardee Parent DUNS: 177844065 Amount of Subaward: 3000000.00 Subaward Obligation/Action Date: May 29, 2013 CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds Federal Agency ID: 6800 Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY Subaward Project Description: To develop the necessary Lonoke White PWA water connector main. Replace the cast iron and galvanized pipe segments in the system (Water distribution System Improvements - 2013) and eliminate dead end lines where practical.
	Subawardee Principal Place of Performance: Beebe, Arkansas 720123247 Subaward Number: 00924-DWSRF-L As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600114

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):
Statewide, Arkansas 722013813

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 14252000.00

Is this information correct?: Yes

Obligation/Action Date: March 14, 2012

Is this information correct?: Yes

Report Month: Jun 2013

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS: 196420280 Subawardee Name: BRADLEY, CITY OF Subawardee Address: 410 PULLMAN ST BRADLEY, Arkansas 718260729 Subawardee Parent DUNS: 196420280 Amount of Subaward: 1700000.00 Subaward Obligation/Action Date: June 13, 2013 CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds Federal Agency ID: 6800 Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY Subaward Project Description: The existing water distribution system consists primarily of polyvinylchloride (PVC), galvanized and transite pipe. Recent studies have shown that the existing water distribution system is incurring a 20% water loss. The City proposes to replace 10,750 feet of six (6) inch water main, 8,500 feet of four (4) inch water main, 14,750 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see a significant reduction in their unaccounted for water which should result in increased savings and revenues for the water department. With the replacement of their appurtenances the city's water distribution system will be up to standards for the next 20-30 years. The City will have safe and reliable drinking water. The replacement of the existing water distribution system will improve existing water pressure in certain areas of the service area. Reduce the percent water loss which will increase the City's revenue. Reduce the monthly operation and maintenance cost. Subawardee Principal Place of Performance: Bradley, Arkansas 718260729 Subaward Number: 00900-DWSRF-F As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No
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