



STATE OF ARKANSAS



Arkansas Natural Resources Commission and Arkansas Department of Health



SAFE DRINKING WATER FUND & STATE REVOLVING LOAN FUND PROGRAM SFY2014 ANNUAL REPORT



STATE FISCAL YEAR 2014
(July 1, 2013 - June 30, 2014)
September 2014

LIST OF ABBREVIATIONS

AASIS	Arkansas Administrative Statewide Information System
ADFA	Arkansas Development Finance Authority
ADH	Arkansas Department of Health/Division of Health
ANRC	Arkansas Natural Resources Commission
CAST	Center for Advanced Spatial Technology (University of Arkansas)
DWSRF	Drinking Water State Revolving Loan Fund
EPA	Environmental Protection Agency
FFATA	Federal Funding Accountability and Transparency Act Requirement
IUP	Intended Use Plan (for the SRF federal capitalization grant)
PWSSP	Public Water System Supervision Program (State)
SDWF	Safe Drinking Water Fund
SFY	State Fiscal Year (from July 1-June 30 of each year)
SRF	State Revolving Fund
SSAF	State Set-Aside Fund
SWAP	Source Water Assessment Program (State)
USGS	United States Geological Survey
WWAC	Water-Wastewater Advisory Committee



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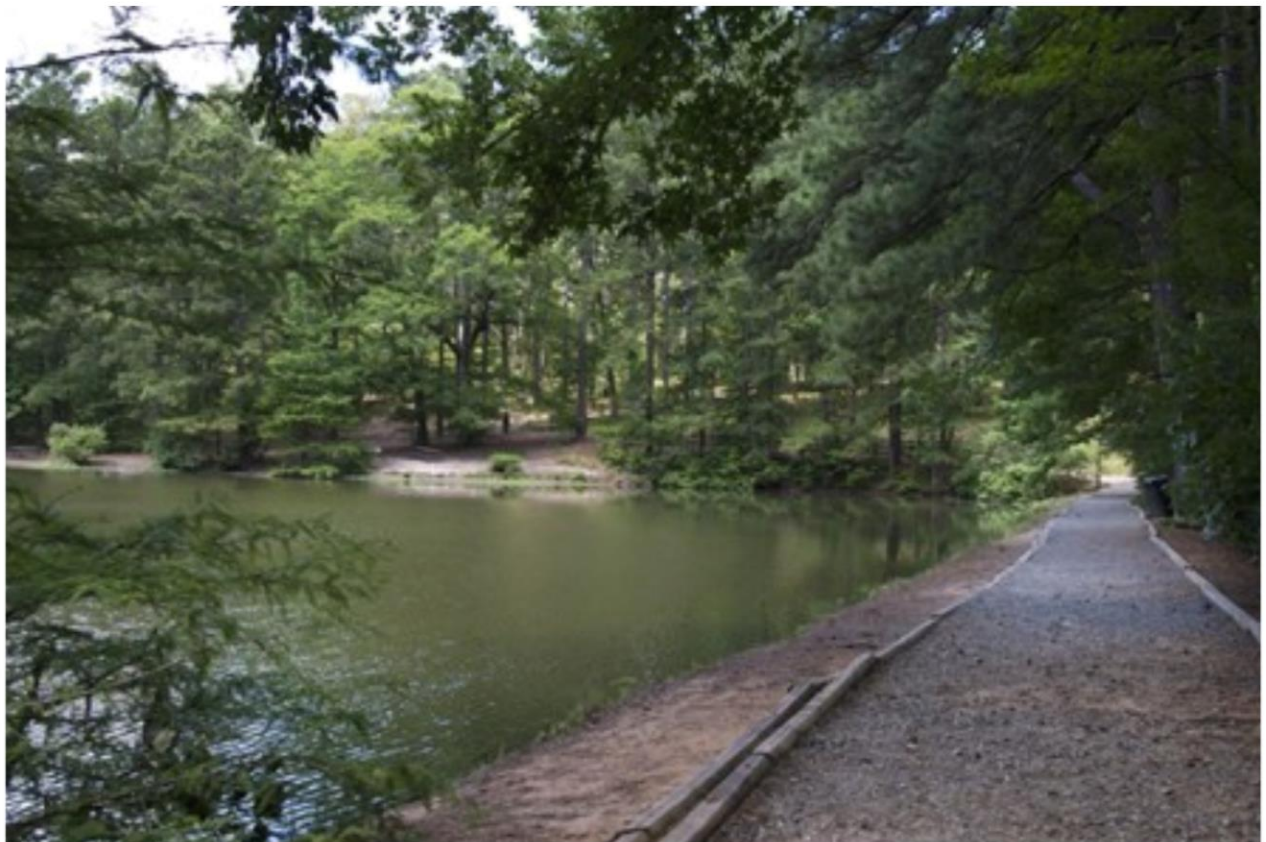
INTRODUCTION

The State of Arkansas hereby submits its Annual Report for the Drinking Water State Revolving Fund (DWSRF) program for State Fiscal Year (SFY) 2014, July 1, 2013 through June 30, 2014. This report addresses operation of the DWSRF, describes the progress made toward the long and short-term program goals, and details the activities undertaken to reach the goals and objectives set forth in the 2014 Intended Use Plan (IUP).

The State of Arkansas through Act 772 of 1997 established the Safe Drinking Water Fund (SDWF), to be administered by Arkansas Natural Resources Commission (ANRC). In April 1996, ANRC Title XV established the rules governing the Safe Drinking Water Fund.

Responsibilities for the DWSRF program are divided among the Arkansas Natural Resources Commission (ANRC), the Arkansas Department of Health/Division of Engineering (ADH), and the Arkansas Development Finance Authority (ADFA). Under the Interagency Memorandum of Understanding between ANRC and ADH, ANRC administers the loan fund and the administrative set-aside portion of the DWSRF. ADH, as primacy agent for the State's Public Water System Supervision Program (PWSS), has oversight of DWSRF, develops the comprehensive project priority list, and administers the remaining set-aside portions of the DWSRF. Fiscal responsibilities for the Program reside with ADFA.

Arkansas submitted its SFY 2014 IUP to the Environmental Protection Agency (EPA) in June 2014 and was approved by EPA.

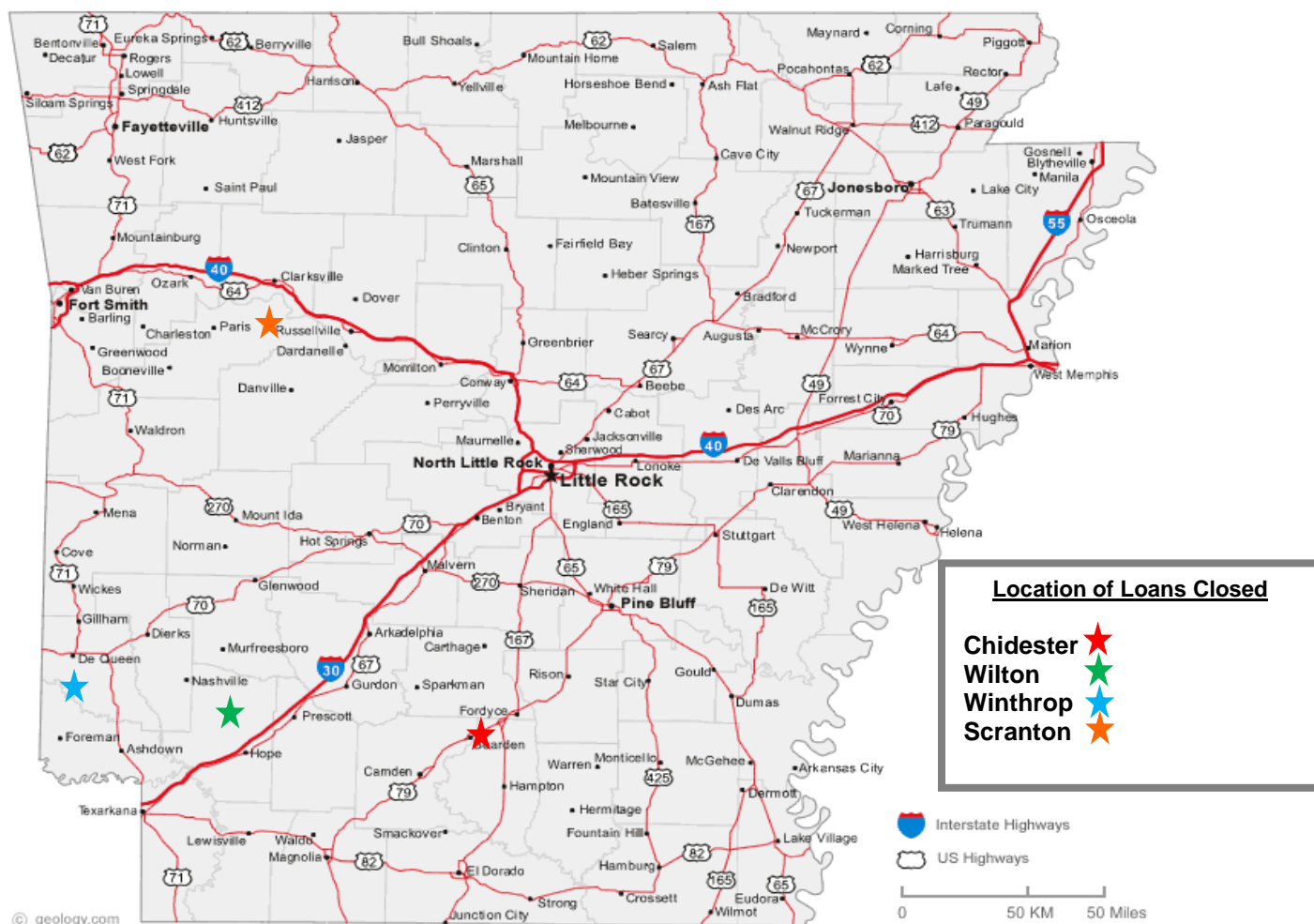


GOALS AND ACCOMPLISHMENTS

SDWF/DWSRF OVERVIEW:

During SFY 2014, four loans were closed totaling \$8,830,000. The proceeds from these four loans will be used for distribution system improvements and transmission facilities. Loan disbursements for the fiscal year totaled over \$17 million, \$4.7 million in additional subsidy and \$12.3 million in base loan disbursements. ANRC's administration disbursements were approximately \$458,253.

In the Intended Use Plan for SFY 2014, ANRC discussed its short-term and long-term goals as they relate to the four basic goals for the program. Those goals are to ensure public health protection (Goal 1), comply with the Safe Drinking Water Act (SWDA) (Goal 2), ensure affordable drinking water (Goal 3) and maintain the long-term financial health of the SDWF (Goal 4). The goals are further broken out into more definitive parts and accomplishments measured as described below.



A. PROGRESS TOWARD SHORT-TERM GOALS

- 1. Arkansas will continue to implement the State source water assessment/protection program (Goals 1 & 2).***

Achievements toward this goal are addressed and reported in the ADH Annual Report for SFY 2014, attached as Appendix D.

- 2. Arkansas will continue to implement the State capacity development program strategy at no cost to entities (Goals 1, 2 & 3).***

Achievements toward this goal are addressed and reported in the ADH Annual Report for SFY 2014, attached as Appendix D.

- 3. Arkansas will continue to screen projects using the Priority System to assure the SDWA overall goals are given priority in the DWSRF program (Goals 1, 2, and 3).***

This is both a short term and a long term goal. ADH conducts a needs survey and a new priority list is developed annually to assure that projects are identified. Entities listed ahead of the four binding commitments and four loan closings in this report were ineligible because of an inability to repay, were using a different loan source, or were not ready to proceed.

- 4. Arkansas will continue to implement the State Public Water Supply Supervision plan in conformance with all requirements of the SDWA.***

Progress toward achieving this goal is also detailed in the ADH Annual Report for SFY 2014, attached as Appendix D.

- 5. Arkansas will submit the Intended Use Plan by the deadline with approval expected to follow in SFY 2014.***

Arkansas submitted its SFY 2014 IUP to the EPA in June 2014 and was approved.

- 6. The Division agrees to comply with all requests for data related to the use of the funds under Section 1452 of the Safe Drinking Water Act (SDWA), and to report all uses of the funds no less than quarterly, as EPA specifies for the Drinking Water Project Benefits Reporting database.***

Arkansas reported all loans for projects that were closed in SFY2014 in the Drinking Water Project Benefits Reporting database.

- 7. The Division agrees to provide in its Annual Report information regarding key project characteristics, milestones, and environmental/public health***

protection results in the following areas: 1) achievement of the outputs and outcomes established in the Intended Use Plan; 2) the reasons for delays if established outputs or outcomes were not met; 3) any additional pertinent information on environmental results.

Arkansas is providing in this report all of the information regarding key project characteristics, milestones, and environmental/public health protection results in the following areas: 1) achievement of the outputs and outcomes established in the Intended Use Plan; 2) the reasons for delays if established outputs or outcomes were not met; 3) any additional pertinent information on environmental results

- 8. The Division agrees to make a timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities.***

Arkansas made timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities.

B. PROGRESS TOWARD LONG-TERM GOALS

Through their affiliation with the Water/Wastewater Advisory Committee (WWAC), the Division and the Arkansas Department of Health (ADH) continue to work together to address Safe Drinking Water Act compliance issues. The WWAC is a body composed of representatives from four State Agencies and United States Department Agriculture-Rural Development, and was established by the Governor of the State to coordinate state and federal funding, provide technical feasibility and regulatory reviews. WWAC members work together in coordinating funding and extending water quality standards and compliance. It also provides a forum to communicate the availability of RLF funding to cities and communities.

- 1. Arkansas will continue to implement the Public Water System Supervision Program in accordance with the requirements of the federal SDWA and in conformance with its Primacy commitments.***

As mentioned in Section A, progress toward these goals is described in the ADH Annual Report for SFY 2014, attached as Appendix D.

- 2. Arkansas will continue to implement the State source water assessment/protection program (Goals 1 & 2), and will continue to implement the State capacity development program strategy at no cost to entities (Goals 1, 2 & 3).***

As mentioned in Section A, progress toward these goals is described in the ADH Annual Report for SFY 2014, attached as Appendix D.

3. Arkansas will provide entities with a source of long-term low-interest financing that will allow them to protect their customers' health and comply with the SDWA (Goals 1, 2 & 3).

In SFY 2013 Arkansas made changes to how its Lending rates will be determined. The Lending Rate is composed of two parts: the interest rate and the servicing fee. The initial objective for ANRC is to establish a Lending Rate for DWSRF loans at 80% of the current bond market's taxable or tax-exempt rate depending on whether the project qualifies for a taxable or tax-exempt rate.

The Lending Rate will be determined at the time the Borrower is developing the Bond Purchase Agreement and the Bond Ordinance. The Lending Rate is determined using a formula that starts with a published list of daily market interest rates for a series of maturity dates for General Obligation Bonds. Those rates are modified to take into account the fact that the DWSRF is a Revenue Bond program and then the series is converted to an average weighted value. That single rate is then multiplied by 80% to get the Lending Rate. The servicing fee is currently set at 1.0%, and interest rate is the difference between the Lending Rate and the servicing fee. The servicing fee is the same for all projects, so the interest rate changes as the lending rate changes. Arkansas varies the lending rate in this manner to encourage repayment of the loans as quickly as possible in order for the DWSRF program to fund other projects. The 1% service fee is placed into an account which is outside the DWSRF fund.

On February 18, 2014, the Lending Rate was 1.75% for a ten year repayment period, 2.90% for a 20 year repayment period, and 3.30% for a thirty year repayment period.

4. Arkansas will continue to screen projects using the Priority System to assure the SDWA overall goals are given priority in the DWSRF program (Goals 1, 2 & 3).

ADH conducts a needs survey and a new priority list is developed annually to assure that projects are identified. The four binding commitments and four loan closings in SFY 2014 were made to projects listed on current and previous priority lists. Entities listed ahead of the projects on the current list were ineligible because of their inability to repay, use of a different loan source, or they were not ready to proceed.

5. Arkansas is committed to enhance the DWSRF program by continuing to streamline the application process for the benefit of future generations (Goal 3).

The DWSRF program is continually being reviewed in terms of future demand, changes in loan terms, lending rates, and whether or not demand is such that there is a need to leverage the program. The primary concern is always to provide low cost loans to entities in Arkansas while maximizing the funds available in order to meet the purpose of the SDWA through the improvement of the State's water infrastructure.

6. Arkansas will maximize the number of entities meeting the SDWA requirements by combining the DWSRF program with other State and Federal funding programs.

Arkansas continues to combine the DWSRF program with other State and Federal funding programs when beneficial. Unfortunately, Arkansas was only able to close four loans this year. None of those four loans required co-funding with other programs to meet their needs.

7. Arkansas plans to maintain the fiscal integrity of the DWSRF (Goal 3).

The DWSRF is managed on a day to day basis to assure that funds are available to make qualified loans. The Program undergoes an annual audit by independent auditors to further assure its integrity. The DWSRF is required to provide a minimum of \$6,161,700 as additional subsidization with the FFY2010 Cap Grant (which was met & expensed in FY13), a minimum of \$4,275,600 as additional subsidization with the FFY2011 Cap Grant (met & expensed in FY14), a minimum of \$2,716,400 as additional subsidization with the FFY2012 Cap Grant and a minimum of \$2,548,600 with the FFY2013 Cap Grant. The DWSRF program division has provided the additional subsidization in the form of principal forgiveness to the following eight entities:

Entity	Principal Forgiveness Amount	2010 Cap Grant Requirement	2011 Cap Grant Requirement	2012 Cap Grant Requirement	2013 Cap Grant Requirement
		(\$6,161,700)	(\$4,275,600)	(\$2,716,400)	(\$2,548,600)
McNeil	\$ 1,331,000	\$ 951,700	\$ 379,300	\$ -	\$ -
Winthrop	\$ 1,400,000	\$ -	\$ 1,400,000	\$ -	\$ -
Chidester	\$ 1,700,000	\$ -	\$ 1,700,000	\$ -	\$ -
Chidester	\$ 800,000	\$ -	\$ -	\$ 112,700	\$ 687,300
Bradley	\$ 1,700,000	\$ -	\$ 96,300	\$ 1,603,700	\$ -
Stephens	\$ 3,000,000	\$ 2,900,000	\$ 100,000	\$ -	\$ -
Norman	\$ 2,310,000	\$ 2,310,000	\$ -	\$ -	\$ -
Old Bella Vista	\$ 600,000	\$ -	\$ 600,000	\$ -	\$ -
Wilton Waterworks	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000	\$ -
Grand Total	\$ 13,041,000	\$ 6,161,700	\$ 4,275,600	\$ 2,716,400	\$ 687,300

The two terms that the Division modifies when it makes loans to entities are the Lending Rate and the Repayment Period.

The State has other funding options to assist communities unable to meet DWSRF loan requirements when necessary. Each prospective project undergoes a financial analysis to determine financial capability before a loan is granted. Arkansas had one loan that was delinquent. Bryant has since paid the June payment.

8. Arkansas is committed to maintaining the fund in perpetuity by protecting the principal that has been and continues to be deposited into the DWSRF program (Goal 4).

As required by EPA regulations to ensure perpetuity, principal repayments and interest are deposited into the Fund. The perpetuity of the program as evidenced in chart and graph form is presented in Appendix B-5.

The one percent (1%) financing fee is deposited outside the Fund to cover additional expenses. ANRC realizes these funds are restricted by the Drinking Water regulations and ANRC does and will continue to use these funds in compliance with those regulations.

9. Arkansas will meet the growing demand for the DWSRF program by leveraging the Fund when the demand exceeds the current revenue streams (Goals 3 & 4).

Arkansas leverages periodically to increase the funds available for assistance. Arkansas did not leverage the Drinking Water program in State Fiscal Year 2014. If it does, EPA Region 6 will be contacted prior to leveraging.

DWSRF LOAN AND SET-ASIDE ACTIVITIES

A. SOURCES OF DWSRF FUNDING

A total of \$12,743,000 became available for use through the EPA automated clearinghouse during SFY 2014. Additional funding made available for the DWSRF totaled \$10,582,151 and consisted of principal repayments of \$5,627,433, interest earnings on loans of \$3,260,235, interest on investments of \$34,647 and financing fees of \$1,659,836. Table 1 (Sources and Uses) itemizes the sources and uses of DWSRF funds available in SFY 2014:

Table 1

DWSRF SOURCES AND USES				
Sources of Federal Funds	Cumulative Total	SFY 14 Amount	Cumulative Total	% of Cum Total
**Cap Grants-Loan	131,585,217	9,047,530	140,632,747	73%
Administration	7,210,772	509,720	7,720,492	4%
Tech Assistance	2,228,766	-	2,228,766	1%
State Program Mngt.	14,597,630	1,274,300	15,871,930	8%
State Programs	24,646,915	1,911,450	26,558,365	14%
TOTAL CAP GRANTS	\$ 180,269,300	\$ 12,743,000	\$ 193,012,300	100%
OTHER SOURCES	Cumulative Total	SFY 14 Amount	Cumulative Total	% of Cum Total
State Match	38,499,440	2,548,600	41,048,040	26%
ADH In-Kind Match	17,812,909	2,633,506	20,446,415	13%
Prin. Loan Repayments	23,155,477	5,627,433	28,782,910	18%
Interest-Loans	20,531,431	3,260,235	23,791,666	15%
Interest-Investments	1,855,155	34,647	1,889,802	1%
Financing Fees	10,856,445	1,659,836	12,516,281	8%
Leveraged Amount	28,304,705	-	28,304,705	18%
TOTAL OTHER	141,015,562	15,764,257	156,779,819	100%
Uses of Federal Funds	Cumulative Total	SFY 14 Amount	Cumulative Total	% of Cum Total
Federal Loan Disbursement	\$ 118,729,971	\$ 15,398,152	\$ 134,128,123	55%
State Loan Disbursement	\$ 40,198,462	\$ 2,548,633	\$ 42,747,095	17%
Loan Repayments Fund	\$ 17,933,752	\$ -	\$ 17,933,752	7%
Administration	\$ 6,752,519	\$ 458,253	\$ 7,210,772	3%
Other Set-Asides	\$ 36,044,933	\$ 3,939,818	\$ 39,984,751	16%
Outside RLF Funds	\$ 3,062,948	\$ 680,000	\$ 3,742,948	2%
Totals	\$ 222,722,585	\$ 23,024,856	\$ 245,747,441	100%
Note:	Previous Total	SFY2014	Remaining Amt	
Cap Grant totals (-) Uses	\$ 12,855,246	\$ (6,350,622)	\$ 6,504,624	
Administration (-) Uses	\$ 458,253	\$ 51,467	\$ 509,720	
Set-Aside Totals (-) Uses	\$ 5,428,378	\$ (754,068)	\$ 4,674,310	

- **Capitalization Grants**

EPA has awarded Arkansas a total of \$193,012,300 in federal capitalization grants through the 2013 cap grant. ANRC started drawing construction funds from the 2012 cap grant in this fiscal year. However, remaining set asides on prior year grants are still available.

- **State Match**

Total State Match at the end of SFY 2014 was \$41,048,040 (Appendix B-2). ADH provided additional state matching funds for the State Program Management Set-Aside as discussed in the ADH Annual Report found in Appendix D.

- **Leveraging of the DWSRF**

The DWSRF was leveraged for the first time in November 2011. Arkansas leverages periodically to increase the funds available for assistance. Arkansas did not leverage the Drinking Water program in State Fiscal Year 2014. EPA Region 6 will be contacted if Arkansas considers leveraging in the future.

- **Cash Draw Ratio Proportionality**

Arkansas has received permission to vary from the normal proportionality ratio for federal fund disbursements. Arkansas received permission to spend State Match for a Cap Grant before requesting federal funds. This is because Arkansas is prohibited from disbursing State Match in any of the forms used for Additional Subsidization. As long as federal funds are required to be spent on Additional Subsidization, Arkansas will need this variance in each Cap Grant.

- **Principal Loan Repayments & Interest Earnings**

Principal loan repayments for DWSRF were \$5,627,433. Also, DWSRF received \$3,260,235 in interest earnings on outstanding loans and \$34,647 in interest earnings on investments.

- **Financing Fees**

On February 18, 2014, the Lending Rate was 1.75% for a ten year repayment period, 2.90% for a 20 year repayment period, and 3.30% for a thirty year repayment period. The lending rate consists of the interest rate and a 1% financing fee amortized over the life of a loan. The total amount of \$1,659,836 was received from financing fees for SFY 2014.

B. USES OF DWSRF FUNDS

ANRC used DWSRF funds to make loan disbursements of \$17,946,785 to water projects under construction. Federal funds of \$15,398,152 and state funds of \$2,548,633 were disbursed to loan recipients. Program administration and other set-asides expenditures submitted by the Department of Health amounted to \$4,398,071 for the fiscal year. A total of \$1,985,519 of ADH set-asides funds were re-budgeted back into the construction account during FY2014. Of this total \$456,359 was re-budgeted from the FY2012 State Program Management set-aside funds, \$1,274,300 from the FY2013 State Program Management set-aside funds and \$254,860 from the FY2013 Technical Assistance set-aside funds were re-budgeted.

1) Loan Assistance Status

In this reporting period, ANRC closed loans with the following: City of Chidester for \$1,450,000; the City of Wilton for \$1,200,000; the City of Winthrop for \$1,180,000 and the City of Scranton for \$5,000,000. The cumulative face amount of loans closed totals is \$218,217,347. (See Appendix A-1)

These loans were made to water systems collectively serving 2,443 in population. The four loans were made for distribution system improvements and a transmission facilities connection.

- **Binding Commitments**

During the year binding commitments totaling \$8,830,000 increased the adjusted cumulative total to \$218,217,347 (See Appendix B-1), and consequently, the percentage of binding commitments to required binding commitments increased to 124% by the end of the fiscal year. Of the four binding commitments made, four proceeded to loan closing during the fiscal year.

- **Projects Bypassed**

Entities listed ahead of the four projects funded during SFY 2014 were ineligible because of inability to repay, using a different loan source, or were not ready to proceed. Because of the purpose of the DWSRF and ANRC's stated goals, the Agency is very desirous of increasing funding to eligible projects. Sufficient funds are available so that there is no pressure to favor any applicant or project over another.

- **Small Systems**

Under Section 1452(a)(2) of the 1996 Amendments to the Safe Drinking Water Act (SDWA) 15 percent of state loan funds are to be available for loans to small water systems (<10,000 population). All of the loans closed were obligated as a small system. The cumulative amount of loans to small systems is \$75,276,000, which is 34.50% total binding commitments. (See Appendix B-1)

- **Disadvantaged Community Systems**

The State's DWSRF program for disadvantaged communities now provides additional subsidies for loans and also has a provision to extend the life of the loan from 20 to 30 years. Four of the loans closed qualified as disadvantaged systems. The cumulative amount of loans to disadvantaged systems is \$125,954,000, which is 57.72% of total binding commitments. (See Appendix B-1)

2) Set-Aside Activity

The four set-aside categories are split between Arkansas Natural Resources Commission and the Arkansas Department of Health. The set-aside for administration was delegated to ANRC with the remaining set-asides being delegated to the ADH for implementing the State Public Water System Supervision Program.

A total of \$458,253 in annual expenses was paid from the 4% set-aside for DWSRF administration during SFY 2014. Reimbursement for expenditures claimed by the ADH totaled approximately \$3,939,818 for a total set-aside expenditure of \$4,398,071. (See Appendix B-4 Part 1)

The annual report of the Arkansas Department of Health for SFY 2014 presents progress and expenditures for set-asides covering state program management, technical assistance, and local assistance/other state programs. That information is presented in Appendix D.

FINANCIAL SUMMARY

A. Discussion and Analysis

The overall financial position and operations results of the DWSRF continue to improve as indicated in the financial data in Appendix C. Total assets increased to \$235 million as of June 30, 2014, from \$220 million on June 30, 2013. Loans receivable increased 4% when compared to the prior fiscal year. The accounts receivable amount of \$130,710 reflects funds pending reimbursement by EPA. ADH program administration net assets increased from \$453,355 on June 30, 2013 to \$1,066,201 on June 30, 2014.

The total liabilities decreased from \$30,679,612 on June 30, 2013 to \$27,664,955 on June 30, 2014. (See Appendix C-1 and Appendix C-2)

The accounting firm of BKD, LLP is conducting an independent audit of the DWSRF for SFY 2014. GASB Statement No. 34 with its amendments, commonly referred to as the new reporting model, was implemented for year end June 30, 2002. Supplementary information, Management's Discussion and Analysis, will be included in the BKD report. An electronic copy of the audit will be provided to EPA when it becomes available.

B. Status of Loans

At fiscal year end, the DWSRF had funded a total of \$8,830,000 in loans (See Appendix A-1). Loans receivable, which constitute the major portion of DWSRF assets, had a year-end balance of \$167,792,253 (See Appendix C-1). Arkansas had one loan that was delinquent. Bryant has since paid the June payment.

C. Loan Disbursements/Cash Draw Proportionality

Arkansas disbursed a total of \$15,398,152 of Federal funds to borrowers during this reporting period. The cumulative amount of Federal funds disbursed for loans is \$134,128,123. Appendix B-4 Part 2 is a schedule of loan disbursements by project.

D. Set-Aside Disbursements

Set-aside expenses of \$4,398,071 were incurred during the state fiscal year. ANRC expenses were \$458,253 from program administration and ADH expenses were \$3,939,818. Appendix B-3 Parts 1 and 2 list Federal amounts of the set-aside disbursements by type and a breakdown of monthly administrative expenses. Outside the RLF funds are not included in this chart.

E. Annual Repayment/Aging of Accounts

Approximately \$5,627,433 in principal was repaid in SFY 2014. Arkansas had one loan that was delinquent. Bryant has since paid the June payment.

F. Loan Portfolio Analysis

Arkansas conducts an annual review of its loan portfolio. Loans that are potentially weak are identified and tracked closely to ensure that conditions are not deteriorating. The assessment process measures the level of funding given to systems by credit quality category as measured by bond rating. Currently our loan portfolio is rated AAA.

G. Investments

During the year, investments were made by the Arkansas Development Finance Authority and consisted of excess account balances invested in governmental funds.

H. Environmental Results

Arkansas' DWSRF Program will satisfy EPA's Strategic Goal 2 for Clean and Safe Water, Objective 2.1 for Protecting Human Health, Sub objective 2.1.1 for Water Safe to drink by relating the activities of the Program to quantifiable environmental results. The following table shows the environmental reviews that were conducted and the results of each review.

ENTITY	RESULT
Chidester	Categorical Exclusion
Winthrop	Categorical Exclusion
Wilton	Categorical Exclusion
Scranton	FONSI

COMPLIANCE WITH OPERATING AGREEMENT AND GRANT CONDITIONS

Arkansas revised its Operating Agreement for the DWSRF and was sent to EPA on October 13, 2010. We have met and continue to be in compliance with the following conditions as described in the grant conditions:

- Establish state instrumentality and authority.
- Comply with applicable state laws and procedures.
- Review technical, financial, and managerial capacity of assistance recipients.
- Establish DWSRF loan account, set-aside account, and SWSRLF administration account.
- Deposit all funds in appropriate accounts.
- Follow state accounting and auditing procedures.
- Require that DWSRF loan recipient accounting and auditing procedures be in accordance with generally accepted accounting principles and OMB Circular A-133.
- Submit IUP and use all funds in accordance with the plan.
- Comply with enforceable requirements of the Act.
- Establish capacity development authority.
- Implement/maintain system to minimize risk of waste, fraud, abuse, and corrective action.
- Develop and submit project priority ranking system.

We have met the following conditions as described below:

- **Take payments based on payment schedule**

We have received payments from EPA's payment system in accordance with the payment schedule included in the grant awards. Appendix B-2 includes a schedule of grant payments received.

- **Deposit state matching funds**

Arkansas provided state match in the amount of \$2,548,600 in SFY2014. ADH describes their state matching funds in the ADH Annual Report in Appendix D.

- **Submit biennial report and annual audit**

Arkansas chose to submit an annual report to the Environmental Protection Agency rather than a biennial report. This report is submitted to satisfy this condition. The SFY 2014 annual audit conducted by BKD, LLP, is in progress. A copy of the audit will be provided to EPA when it becomes available.

- **Assure that borrowers have a dedicated source of repayment**

ANRC staff conducts a credit review evaluation for all SRF loan applicants. This evaluation determines whether or not an applicant has the ability to repay a loan. For all applicants, the expected revenue stream from user fees must be sufficient to repay the loan, pay operation and maintenance costs, and pay for other necessary expenses.

- **Use funds in timely and expeditious manner**

Arkansas has committed 100 percent of all available loan funds through the FY2013 capitalization grant FS986001-16. Public water systems with binding commitments are moving in an expeditious and timely manner to start construction. ANRC monitors construction progress through monthly on-site inspections to ensure that operations are initiated according to schedule and funds are drawn in a timely and expeditious manner.

- **Ensure recipient compliance with applicable federal cross-cutting authorities**

Arkansas and all of its assistance recipients have complied with all applicable federal cross-cutting authorities. The State applied the six affirmative steps in an effort to achieve the negotiated fair share objectives.

ANRC's fair share objectives for SFY 2014 are:

	MBE	WBE
Construction	2.97%	2.59%
Services	1.74%	6.21%
Supplies	1.63%	3.88%
Equipment	3.15%	5.57%

A breakdown by quarter is shown below:

QTR	Contract Amount	MBE	%	WBE	%
1	\$ 4,758,630.35	\$ -	0.000%	\$ -	0.000%
2	\$ 3,844,723.81	\$ -	0.000%	\$ -	0.000%
3	\$ 7,296,433.42	\$ -	0.000%	\$ -	0.000%
4	\$ 3,896,436.36	\$ -	0.000%	\$ -	0.000%
Total	\$19,796,223.94	\$ -	0.000%	\$ -	0.000%

Our projected fair share objectives for SFY 2014 will remain the same as for SFY 2013. Documentation to support the six affirmative steps is closely reviewed by the MBE/WBE Coordinator. All construction project bid packages as well as procurement of professional services, supplies and equipment purchases are closely monitored to determine if the affirmative steps were followed. These documents are maintained in the project files.

- **Conduct environmental reviews**

The new public water systems receiving assistance during SFY 2014 were reviewed and approved using the state's environmental review procedures. It was determined that no environmental impact statements were necessary.

APPENDIX



LOANS CLOSED AND PROJECT PRIORITY LIST

APPENDIX A-1
TOTAL DWSRF LOAN CLOSINGS
SFY Ended June 30, 2014

NO.	PROJECT NAME COMMUNITIES SERVED	PROJ # WRD-003	LOAN CLOSING DATE	LOAN CLOSING AMOUNT	ADDITIONAL SUBSIDY	EST. COMP. DATE	LOAN MATURITY DATE	COMB. INTEREST RATE	POPULATION	NUMBER OF USERS	**SMALL SYSTEMS (<10,000) CLOSED	DISADV. COMMUNITY
1	Winthrop	816	11/06/13	\$ 1,180,000	\$ 900,000	8/29/15	10/15/35	2.25%	474	170	1,180,000	1,180,000
2	Wilton	814	11/20/13	\$ 1,200,000	\$ 900,000	11/30/15	10/15/35	1.90%	534	200	1,200,000	1,200,000
3	Chidester	813	08/14/13	\$ 1,450,000	\$ 1,450,000	12/3/14	10/15/35	2.40%	335	218	1,450,000	1,450,000
4	Scranton	823	04/08/14	\$ 5,000,000	\$ -	4/7/16	10/15/35	2.40%	1,100	538	5,000,000	5,000,000
5												-
SECTION 1452 LOANS CLOSED SFY 2014 TOTAL				\$ 8,830,000	\$ 3,250,000				2,443	1,126	8,830,000	8,830,000
TOTAL CUM SECTION 1452 LOANS BROUGHT FORWARD				\$ 209,387,347								
TOTAL CUM SECTION 1452 LOANS CLOSED				\$ 218,217,347								
**Small systems are defined as systems that population is 10,000 or fewer persons												

APPENDIX A-2

SFY2014 Drinking Water Project List Priority

No.	Year		Total Points	PWS ID.	Project Cost	Est. Binding Commitment Date	Population	Small System (Y/N)	MHI	Disadvantaged (Y/N)	Project Description	Status of Project
1	2000	North East Water Association	331	33	\$12,200,000	TBD	3540	YES	\$19,689	YES	System Expansion to serve Henderson & Gamaliel Areas	No Funding Application Received
2	2014	Clinton Waterworks	175	564	\$3,000,000	8/6/2014	5725	YES	\$30,125	YES	Water Treatment Plant Clarifier	ANRC Funding
3	2014	Mena Water Utilities	174	438	\$1,084,000		5590	YES	\$28,222	YES	Irons Fork Water Treatment Facility Improvements	
4	2012	Hozanna Heights Water Association	149	884	\$5,180	TBD	79	YES	\$18,682	YES	New Treatment Installation	No Funding Application Received
5	2014	Pleasant View Water PFB	127	202	\$1,287,098		1698	YES	\$34,819	YES	Royal Oak and Manitou Mountain Extensions	
6	2010	Siloam Springs Water Works	124	56	\$21,033,125	TBD	19022	NO	\$38,750	YES	Water Treatment Facility Improvements	No Funding Application Received
7	2012	Lockesburg	84	517	\$2,331,800		853	YES	\$31,213	YES	Replacement of Distribution System	ANRC Funding
8	2012	Scranton Waterworks **	73	330	\$5,000,000	4/8/2014	1100	YES	\$24,500	YES	Connection to the City of Clarksville - Transmission Facilities	ANRC Funding
9	2014	Central Arkansas Water	64	465	\$2,000,000		313588	NO	\$43,890	NO	Galvanized Pipe Replacement Project	
10	2014	City of Gould	62	316	\$789,312		1041	YES	\$34,107	YES	New 150,000 Gal. Elevated Storage Tank	
11	2014	Central Arkansas Water	58	465	\$675,000		313588	NO	\$43,890	NO	12" Asbestos Cement & Cast Iron Pipe Replacement, Roosevelt Road	
12	2014	Central Arkansas Water	58	465	\$1,750,000		313588	NO	\$43,890	NO	24" Suction Main to Pump Station/Tank No. 23 - Montgomery	
13	2014	Central Arkansas Water	55	465	\$10,000,000		313588	NO	\$43,890	NO	Ozard Point Water Treatment Plant - Reliability & Redundancy Improvements	
14	2010	Conway Corporation	53	189	\$8,000,000	TBD	52000	NO	\$41,917	NO	Water Quality Compliance Project	No Funding Application Received
15	2013	Jasper	47	397	\$1,108,260	TBD	466	YES	\$14,333	YES	Water Line Rehabilitation	No Funding Application Received
16	2013	Marshall	47	501	\$965,100	TBD	2760	YES	\$30,214	YES	Water Line Rehabilitation	No Funding Application Received
17	2014	Chidester	45	403	\$800,000	TBD	335	YES	\$25,500	YES	New Storage Tank & Pump Replacement Project	ANRC Funding
18	2004	Siloam Springs	45	56	\$9,600,000	TBD	14672	NO	\$38,750	YES	Rehab Tank, New RW Transmission Main, Rehab AC Dist Mains	No Funding Application Received
19	2012	Bradley Waterworks	37	294	\$1,533,711	5/10/2013	502	YES	\$24,632	YES	Distribution System Replacement	ANRC Funding
20	2009	Gilmore	36	154	\$1,288,000	TBD	292	YES	\$48,750	NO	New Water Treatment Plant and New Elevated Storage Tank	No Funding Application Received
21	2012	City of Winthrop **	35	642	\$1,633,098	8/29/2013	474	YES	\$32,250	YES	Distribution System Replacement	ANRC Funding
22	2010	Beebe	33	592	\$1,065,000	TBD	5000	YES	\$40,912	NO	LWPWA Connector Main	No Funding Application Received
23	2014	Central Arkansas Water	33	465	\$1,700,000		313588	NO	\$43,890	NO	24" and 16" Transmission Main from Tanks 14A and 14B	
24	2012	Chidester Waterworks **	32	403	\$1,643,716	6/14/2013	335	YES	\$28,000	YES	Distribution System Replacement	ANRC Funding
25	2013	City of Strong	32	556	\$1,650,000	5/1/2015	1220	YES	\$21,250	YES	Water Line Replacement	ANRC Funding
26	2014	City of Reed	32	603	\$612,256		141	YES	\$18,462	YES	Water Line Replacement	
27	2004	Bella Vista POA	31	039	\$4,300,500	TBD	24485	NO	\$57,929	NO	Distribution improvements	No Funding Application Received
28	2014	City of Eudora	30	083	\$1,999,943		3158	YES	\$18,438	YES	Water Line Replacement and System Improvements	
29	2006	Benton Washington RPWA	30	871	\$29,500,000	TBD	16300	NO	\$44,048	NO	Water treatment improvements	No Funding Application Received
30	2012	Lakeview Municipal Water	30	420	\$230,000	TBD	575	YES	\$15,536	YES	Rehab of Storage Tank	No Funding Application Received
31	2012	Wilton Waterworks **	29	321	\$1,163,082	8/14/2013	534	YES	\$30,625	YES	Distribution System Replacement	ANRC Funding
32	2012	Coy Waterworks	27	340	\$46,000	TBD	310	YES	\$36,250	YES	Rehab of Storage Tank	No Funding Application Received
33	2014	Saline County Waterworks PFB	27	491	\$165,800		491	YES	\$30,500	YES	Mountain Circle Standpipe	
34	2007	City of Flippin	25	351	\$2,000,000	TBD	3325	YES	\$28,942	YES	Storage/Distribution Improvements	Entity decided not to get funding
35	2009	Wabbaseka Waterworks	23	283	\$405,000	TBD	244	YES	\$22,206	YES	Water Treatment Rehab	No Funding Application Received
36	2006	Mountain View Water & Wastewater	21	542	\$675,000	TBD	5864	YES	\$19,302	YES	Distribution System Improvements	No Funding Application Received

** Loans Closed in SFY2014

APPENDIX

B

REPORTS ON BINDING COMMITMENTS,
GRANT PAYMENTS, SET-ASIDE SUMMARIES,
CASH DRAWS AND PERPETUITY

APPENDIX B-1
TOTAL DWSRF LOAN BINDING COMMITMENTS (BC)
SFY Ended June 30, 2014

	PROJECT NAME	PROJECT DESCRIPTION	PROJ WRD- 003	BINDING COMMITMENT DATE	BINDING COMMITMENT AMOUNT	DISADV. COMMUNITY	D/A COM. As % of CUM. TOTAL LOANS	POPULATION	SMALL SYSTEMS (<10,000) OBLIGATED	S/SYS as % of CUM. TOTAL LOANS
1	Winthrop	Distribution System Replacement	816	10/21/2013	\$ 1,180,000	\$ 1,180,000		474	\$ 1,180,000	
2	Wilton	Distribution System Replacement	814	10/14/2013	\$ 1,200,000	\$ 1,200,000		534	\$ 1,200,000	
3	Chidester	Distribution System Replacement	813	4/22/2013	\$ 1,450,000	\$ 1,450,000		335	\$ 1,450,000	
4	Scranton	Connection to the City of Clarksville - Transmission Facilities	823	4/8/2014	\$ 5,000,000	\$ 5,000,000		1,100	\$ 5,000,000	
1	Total Binding Commitments Section 1452 Loans				\$ 8,830,000	\$ 8,830,000		2,443	\$ 8,830,000	
2	Cumulative Disadvantaged Communities		(B'Frd)	\$ 125,954,000		\$ 125,954,000	57.72%			
3	Cumulative Small System Loans		(B'Frd)	\$ 75,276,000					\$ 75,276,000	34.50%
4	Total Cumulative B/C Sec.1452 Loans		(B'Frd)	\$ 209,387,347	\$ 218,217,347					
5	Required Binding Commitments*				\$ 8,697,099					
6	Cum. Required Binding Commitments*				\$ 176,336,178		71.43%			42.69%
	Cumulative Section 1452 Binding Commitments as a									
7	% of Cum. Required B/C Amounts (4 / 6)				123.75%					

Notes: Required B/C amount is the total of state match, Federal grant payments not allocated to set-asides, and any unspecified set-asides transferred back to the loan account, lagged by one year.

APPENDIX B-2

SDWF Comparison of Grant Payments, Required Binding Commitments, and Actual Binding Commitments (SFY)

Page 1 of 2

SFY	Quarter		State Match	2007 GRANT			2008 GRANT			2009 GRANT			2010 GRANT			Grant Payment - These Grants		
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans				Total Payment	Set-Asides	Loans
2009	Jul/Sep	1														0	0	0
	Oct/Dec	2	516,660	2,583,250	800,808	1,782,443										2,583,250	800,808	1,782,443
	Jan/Mar	3	516,660	2,583,250	800,808	1,782,443										2,583,250	800,808	1,782,443
	Apr/Jun	4	516,660	2,583,250	800,808	1,782,443										2,583,250	800,808	1,782,443
2010	Jul/Sep	1	516,660	2,583,250	800,808	1,782,443										2,583,250	800,808	1,782,443
	Oct/Dec	2	2,045,800				2,557,250	741,602	1,815,648							2,557,250	741,602	1,815,648
	Jan/Mar	3					2,557,250	741,602	1,815,648							2,557,250	741,602	1,815,648
	Apr/Jun	4					2,557,250	741,603	1,815,647							2,557,250	741,603	1,815,647
2011	Jul/Sep	1	2,045,800				2,557,250	741,603	1,815,647	2,557,250	641,602	1,915,647				5,114,500	1,383,205	3,731,294
	Oct/Dec	2								2,557,250	641,602	1,915,647				2,557,250	641,602	1,915,647
	Jan/Mar	3								2,557,250	641,603	1,915,648				2,557,250	641,603	1,915,648
	Apr/Jun	4	2,053,900							2,557,250	641,603	1,916,648	10,269,500	2,728,155	7,541,345	12,826,750	3,369,758	9,457,993
2012	Jul/Sep	1														0	0	0
	Oct/Dec	2	2,053,900										10,269,500	2,728,155	7,541,345	10,269,500	2,728,155	7,541,345
	Jan/Mar	3														0	0	0
	Apr/Jun	4														0	0	0
TOTALS			10,266,040	10,333,000	3,203,231	7,129,771	10,229,000	2,966,410	7,262,590	10,229,000	2,566,410	7,663,590	20,539,000	5,456,310	15,082,690	51,330,000	14,192,361	37,138,641

SFY	Quarter		State Match	2011 GRANT			2012 GRANT			2013 GRANT			2014 GRANT			Grant Payment - These Grants		
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans				Total Payment	Set-Asides	Loans
	Previous Grant Totals		32,932,640													152,435,300	44,279,123	108,156,179
2012	Apr/Jun	4	2,783,400	7,126,000	997,640	6,128,360	6,791,000	1,204,840	5,586,160							13,917,000	2,202,480	11,714,520
2013	Jul/Sep	1	2,070,800	3,563,000	498,820	3,064,180	6,791,000	1,204,840	5,586,160							10,354,000	1,703,660	8,650,340
	Oct/Dec	2	712,600	3,563,000	498,820	3,064,180										3,563,000	498,820	3,064,180
	Jan/Mar	3																
	Apr/Jun	4														0	0	0
2014	Jul/Sep	1	637,150							3,185,751	923,868	2,261,883				3,185,751	923,868	2,261,883
	Oct/Dec	2	637,150							3,185,751	923,868	2,261,883				3,185,751	923,868	2,261,883
	Jan/Mar	3	637,150							3,185,749	923,867	2,261,882				3,185,749	923,867	2,261,882
	Apr/Jun	4	637,150							3,185,749	923,867	2,261,882				3,185,749	923,867	2,261,882
TOTALS			41,048,040	14,252,000	1,995,280	12,256,720	13,582,000	2,409,680	11,172,320	12,743,000	3,695,470	9,047,530	0	0	0	193,012,300	52,379,553	140,632,749

APPENDIX B-2

SDWF Comparison of Grant Payments, Required Binding Commitments, and Actual Binding Commitments

Page 2 of 2

SFY	Quarter		State Match	Qtrly Grant Payment - All Grants			Cum. Grant Payment - All Grants			* Binding Commit. Required	Cum. Bind. Commit. Required	Binding Commit. Actual	Cum. Bind. Commit. Actual	% Actual/ Required
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans					
2010	Jul/Sep	1	516,660	2,583,250	800,808	1,782,443	111,438,300	33,289,993	78,148,307	2,299,093	100,435,967	500,000	125,269,011	124.70
	Oct/Dec	2	2,045,800	2,557,250	741,602	1,815,648	113,995,550	34,031,595	79,963,955	2,327,098	102,763,065	17,765,000	143,034,011	139.20
	Jan/Mar	3		2,557,250	741,602	1,815,648	116,552,800	34,773,197	81,779,603	2,327,098	105,090,163		143,034,011	136.10
	Apr/Jun	4		2,557,251	741,603	1,815,648	119,110,051	35,514,800	83,595,251	2,327,098	107,417,261	500,000	143,534,011	133.60
2011	Jul/Sep	1	2,045,800	5,114,501	1,383,205	3,731,295	124,224,552	36,898,005	87,326,546	4,754,195	112,171,456	14,250,000	157,784,011	140.70
	Oct/Dec	2	-	2,557,250	641,602	1,915,647	126,781,802	37,539,607	89,242,193	2,427,097	114,598,553		157,784,011	137.70
	Jan/Mar	3	-	2,557,250	641,603	1,915,648	129,339,052	38,181,210	91,157,841	2,427,098	117,025,651	6,500,000	164,284,011	140.40
	Apr/Jun	4	2,053,900	12,826,750	3,369,758	9,456,993	142,165,802	41,550,968	100,614,834	12,022,343	129,047,994		164,284,011	127.30
2012	Jul/Sep	1					142,165,802	41,550,968	100,614,834	0	129,047,994	4,000,000	168,284,011	130.40
	Oct/Dec	2	2,053,900	10,269,500	2,728,155	7,541,345	152,435,302	44,279,123	108,156,179	9,595,245	138,643,239	27,050,000	195,334,011	140.90
	Jan/Mar	3					152,435,302	44,279,123	108,156,179	0	138,643,239		195,334,011	140.90
	Apr/Jun	4	2,783,400	13,917,000	2,202,480	11,714,520	166,352,302	46,481,603	119,870,699	14,497,920	153,141,159	2,310,000	197,644,011	129.10
2013	Jul/Sep	1	2,070,800	10,354,000	1,703,660	8,650,340	176,706,302	48,185,263	128,521,039	10,721,140	163,862,299		197,644,011	120.60
	Oct/Dec	2	712,600	3,563,000	498,820	3,064,180	180,269,302	48,684,083	131,585,219	3,776,780	167,639,079	7,131,000	204,775,011	122.20
	Jan/Mar	3					180,269,302	48,684,083	131,585,219	0	167,639,079		204,775,011	122.20
	Apr/Jun	4					180,269,302	48,684,083	131,585,219	0	167,639,079	9,700,000	214,475,011	127.90
2014	Jul/Sep	1	637,150	3,185,751	923,868	2,261,883	183,455,053	49,607,951	133,847,102	2,899,033	170,538,113	1,450,000	215,925,011	126.60
	Oct/Dec	2	637,150	3,185,751	923,868	2,261,883	186,640,804	50,531,819	136,108,985	2,899,033	173,437,146	2,380,000	218,305,011	125.90
	Jan/Mar	3	637,150	3,185,749	923,867	2,261,882	189,826,553	51,455,686	138,370,867	2,899,032	176,336,178	5,000,000	223,305,011	126.60
	Apr/Jun	4	637,150	3,185,749	923,867	2,261,882	193,012,302	52,379,553	140,632,749	2,899,032	179,235,209		223,305,011	124.60
TOTALS			41,048,040	193,012,302	52,379,553	140,632,749	*Note: Required B/C amount is the total of state match, Federal grant payments not allocated to set-asides and any unspecified set-asides transferred back to the loan account lagged by one year.							

Note: State Match and Total Payment totals include funds for SFY2014

APPENDIX B-3 (Part 1) DWSRF Set-Asides

Federal Grant Year	Total Grant	Grant Approval Date	4-Year Deadline	State Program Management-PWSS					Capacity Development					WHP				
				Available	Specified	Expended 2014	Cum Expend	Amt Available	Available	Specified	Expended 2014	Cum Expend	Amt Available	Available	Specified	Expended 2014	Cum Expend	Amt Available
97	\$ 12,558,800	9/28/1998	9/28/2002	\$ 1,255,880	\$ 1,255,880	\$ -	\$ 1,255,880	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 627,940	\$ 627,940	\$ -	\$ 627,940	\$ -
98-99	\$ 20,751,700	9/29/1999	3/31/2005	\$ 2,075,170	\$ 2,075,170	\$ -	\$ 2,075,170	\$ -	\$ 1,771,204	\$ 1,771,204	\$ -	\$ 1,771,204	\$ -	\$ 1,341,551	\$ 1,341,551	\$ -	\$ 1,341,551	\$ -
2000	\$ 11,036,800	5/7/2001	5/7/2005	\$ 1,103,680	\$ 1,103,680	\$ -	\$ 1,103,680	\$ -	\$ 772,576	\$ 772,576	\$ -	\$ 772,576	\$ -	\$ 882,944	\$ 882,944	\$ -	\$ 882,944	\$ -
2001	\$ 11,082,400	9/20/2002	9/30/2006	\$ 1,108,240	\$ 1,108,240		\$ 1,108,240	\$ -	\$ 1,108,240	\$ 1,108,240	\$ -	\$ 1,108,240	\$ -	\$ 554,120	\$ 554,120	\$ -	\$ 554,120	\$ -
2002	\$ 8,717,800	5/28/2003	6/30/2007	\$ 871,780	\$ 871,780	\$ -	\$ 871,780	\$ -	\$ 610,246	\$ 610,246	\$ -	\$ 610,246	\$ -	\$ 697,424	\$ 697,424	\$ -	\$ 697,424	\$ -
2003	\$ 8,665,400	9/27/2004	9/27/2008	\$ 866,540	\$ 866,540	\$ -	\$ 866,540	\$ -	\$ 866,540	\$ 866,540	\$ -	\$ 866,540	\$ -	\$ 433,270	\$ 433,270	\$ -	\$ 433,270	\$ -
2004	\$ 8,989,100	8/18/2005	8/18/2009	\$ 898,910	\$ 898,910	\$ -	\$ 898,910	\$ -	\$ 848,365	\$ 848,365	\$ -	\$ 848,365	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000	\$ -
2005	\$ 8,970,100	9/18/2006	9/18/2012	\$ 897,010	\$ 897,010	\$ -	\$ 897,010	\$ -	\$ 845,515	\$ 845,515	\$ -	\$ 845,515	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000	\$ -
2006	\$ 10,333,200	9/18/2007	9/18/2012	\$ 1,033,320	\$ 1,033,320	\$ -	\$ 1,033,320	\$ -	\$ 929,988	\$ 929,988	\$ -	\$ 929,988	\$ -	\$ 619,992	\$ 619,992	\$ -	\$ 619,992	\$ -
2007	\$ 10,333,000	9/11/2008	9/11/2012	\$ 1,033,300	\$ 1,033,300	\$ -	\$ 1,033,300	\$ -	\$ 1,033,300	\$ 1,033,300	\$ -	\$ 1,033,300	\$ -	\$ 516,650	\$ 516,650		\$ 516,650	\$ -
2008	\$ 10,229,000	9/15/2009	9/15/2013	\$ 1,022,900	\$ 1,022,900	\$ -	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 1,022,900		\$ 1,022,900	\$ -	\$ 511,450	\$ 511,450	\$ -	\$ 511,450	\$ -
2009	\$ 10,229,000	8/30/2010	8/30/2014	\$ 1,022,900	\$ 622,900	\$ -	\$ 622,900	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -	\$ 1,022,900	\$ -	\$ 511,450	\$ 511,450	\$ 210,687	\$ 210,687	\$ -
2010	\$ 20,539,000	4/5/2011	4/5/2015	\$ 2,053,900	\$ 1,553,900	\$ 1,313,978	\$ 1,553,900	\$ -	\$ 2,053,900	\$ 2,053,900	\$ 834,960	\$ 2,053,900	\$ -	\$ 1,026,950	\$ 1,026,950	\$ 520,803	\$ 520,803	\$ 506,147
2011	\$ 14,252,000	3/14/2012	3/14/2016	\$ 1,425,200	\$ -	\$ -	\$ -	\$ -	\$ 1,425,200	\$ 1,425,200	\$ 1,007,549	\$ 1,007,549	\$ 417,651	\$ 712,600	\$ -	\$ -	\$ -	\$ -
2012	\$ 13,582,000	5/14/2012	5/14/2016	\$ 1,358,200	\$ 508,200	\$ 51,841	\$ 51,841	\$ 456,359	\$ 1,358,200	\$ 1,358,200	\$ -	\$ -	\$ 1,358,200	\$ 679,100	\$ -	\$ -	\$ -	\$ -
2013	\$ 12,743,000	9/12/2013	9/12/2017	\$ 1,274,300	\$ 1,274,300			\$ 1,274,300	\$ 1,274,300	\$ 1,274,300	\$ -	\$ -	\$ 1,274,300	\$ 637,150	\$ 637,150			\$ 637,150
Total Available	\$ 193,012,300			\$ 16,668,730	\$ 16,126,030	\$ 1,365,819	\$ 14,395,371	\$ 1,730,659	\$ 16,943,374	\$ 16,943,374	\$ 1,842,509	\$ 13,893,223	\$ 3,050,151	\$ 10,752,591	\$ 9,360,891	\$ 731,490	\$ 7,916,831	\$ 1,143,297

Federal Grant Year	Total Grant	Grant Approval Date	4-Year Deadline	SWAP					Small System TA					DWSRF Administration				
				Available	Specified	Expended 2013	Cum Expend	Amt Available	Available	Specified	Expended 2014	Cum Expend	Amt Available	Available	Specified	Expended 2014	Cum Expend	Amt Available
97	\$ 12,558,800	9/28/1998	9/28/2002	\$ 1,255,880	\$ 1,255,880		\$ 1,255,880	\$ -	\$ 251,176	\$ 251,176	\$ -	\$ 251,176	\$ -	\$ 502,352	\$ 502,352	\$ -	\$ 502,352	\$ -
98-99	\$ 20,751,700	9/29/1999	3/31/2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 415,034	\$ 415,034	\$ -	\$ 415,034	\$ -	\$ 830,068	\$ 830,068	\$ -	\$ 830,068	\$ -
2000	\$ 11,036,800	5/7/2001	5/7/2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 220,736	\$ 220,736	\$ -	\$ 220,736	\$ -	\$ 441,472	\$ 441,472	\$ -	\$ 441,472	\$ -
2001	\$ 11,082,400	9/20/2002	9/30/2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 221,648	\$ 221,648	\$ -	\$ 221,648	\$ -	\$ 443,296	\$ 443,296	\$ -	\$ 443,296	\$ -
2002	\$ 8,717,800	5/28/2003	6/30/2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 174,356	\$ 174,356	\$ -	\$ 174,356	\$ -	\$ 348,712	\$ 348,712	\$ -	\$ 348,712	\$ -
2003	\$ 8,665,400	9/27/2004	9/27/2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 173,308	\$ 173,308	\$ -	\$ 173,308	\$ -	\$ 346,616	\$ 346,616	\$ -	\$ 346,616	\$ -
2004	\$ 8,989,100	8/18/2005	8/18/2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 179,782	\$ 179,782	\$ -	\$ 179,782	\$ -	\$ 359,564	\$ 359,564	\$ -	\$ 359,564	\$ -
2005	\$ 8,970,100	9/18/2006	9/18/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 179,402	\$ 179,402	\$ -	\$ 179,402	\$ -	\$ 358,804	\$ 358,804	\$ -	\$ 358,804	\$ -
2006	\$ 10,333,200	9/18/2007	9/18/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 206,664	\$ 206,664	\$ -	\$ 206,664	\$ -	\$ 413,328	\$ 413,328	\$ -	\$ 413,328	\$ -
2007	\$ 10,333,000	9/11/2008	9/11/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 206,660	\$ 200,576	\$ -	\$ 200,576	\$ -	\$ 413,320	\$ 413,320	\$ -	\$ 413,320	
2008	\$ 10,229,000	9/15/2009	9/15/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 204,580	\$ -	\$ -	\$ -	\$ -	\$ 409,160	\$ 409,160	\$ -	\$ 409,160	\$ -
2009	\$ 10,229,000	8/30/2010	8/30/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 204,580	\$ -	\$ -	\$ -	\$ -	\$ 409,160	\$ 409,160	\$ -	\$ 409,160	\$ -
2010	\$ 20,539,000	4/5/2011	4/5/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 410,780	\$ -	\$ -	\$ -	\$ -	\$ 821,560	\$ 821,560		\$ 821,560	\$ -
2011	\$ 14,252,000	3/14/2012	3/14/2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 285,040	\$ -	\$ -	\$ -	\$ -	\$ 570,080	\$ 570,080	\$ -	\$ 570,080	\$ -
2012	\$ 13,582,000	5/14/2012	5/14/2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 271,640	\$ -	\$ -	\$ -	\$ -	\$ 543,280	\$ 543,280	\$ 458,253	\$ 543,280	\$ -
2013	\$ 12,743,000	9/12/2013	9/12/2017	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 254,860	\$ -	\$ -			\$ 509,720	\$ 509,720			\$ 590,720
Total Available	\$ 193,012,300			\$ 1,255,880	\$ 1,255,880	\$ -	\$ 1,255,880	\$ -	\$ 3,860,246	\$ 2,222,682	\$ -	\$ 2,222,682	\$ -	\$ 7,720,492	\$ 7,720,492	\$ 458,253	\$ 7,210,772	\$ 590,720

NOTE: These expenses are only from Federal dollars. Outside the RLF Funds are not included in these totals.

SFY2014 GRAND TOTAL OF SET-ASIDES EXPENDED	\$ 4,398,071
GRAND TOTAL OF SET-ASIDES EXPENDED	\$ 47,195,522

APPENDIX B-3 (Part 2) DWSRF Administrative Expenses

FFY GRANT DW12	DW12	DW12	DW12	DW12	DW12	DW12	DW12	DW12	DW12	DW12	DW12	DW12	Grand Total
	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14	SFY2014
ANRC - ADM - Personnel	\$ 22,256.76	\$ 29,373.11	\$ 22,459.47	\$ 7,404.24	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,374.71	\$ 23,198.38	\$ 130,066.67
ANRC - ADM - Fringe	\$ 7,229.00	\$ 9,540.39	\$ 7,294.84	\$ 2,404.89	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,322.90	\$ 7,609.07	\$ 42,401.09
ANRC - ADM - Indirect	\$ 16,921.88	\$ 22,332.46	\$ 17,076.00	\$ 5,629.47	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 19,888.33	\$ 18,182.56	\$ 100,030.70
ANRC - ADM - Travel	\$ 337.07	\$ 1,419.60	\$ 1,427.72	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,174.60	\$ 1,399.02	\$ 6,758.01
ANRC - ADM - Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
ANRC - ADM - Supplies	\$ 19,977.57	\$ 2,848.36	\$ 1,521.43	\$ 331.91	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,575.27	\$ 8,080.36	\$ 36,334.90
ANRC - ADM - Contracts	\$ -	\$ 142,661.86	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 142,661.86
Totals	\$ 66,722.28	\$ 208,175.78	\$ 49,779.46	\$ 15,770.51	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 59,335.81	\$ 58,469.39	\$ 458,253.23

APPENDIX B-4 - Part 1

Cash Draw & Disbursement Schedule

SDWF ACTUAL DISBURSEMENTS SFY 2014

LOAN DISBURSEMENTS							
	PREVIOUS DISB.	QTR 1 JULY-SEPT	QTR 2 OCT-DEC	QTR 3 JAN-MAR	QTR 4 APR-JUN	ANNUAL TOTALS	CUMULATIVE TOTALS
Cash Draws From EPA for Loans		4,061,754	2,578,033	6,186,896	2,571,469	15,398,152	
Cum Cash Draws from EPA	118,729,971	122,791,725	125,369,758	131,556,654	134,128,123		134,128,123
State Share of Loan Disbursements			388,755	1,366,412	793,466	2,548,633	
Cum. State Share of Ln. Disbursements	40,198,462	40,198,462	40,587,217	41,953,629	42,747,095		42,747,095
Loan Repayments Fund		-	-	-	-	-	
Cum Loan Repayments Fund	17,933,752	17,933,752	17,933,752	17,933,752	17,933,752		17,933,752
Total RLF Loan Disbursements		4,061,754	2,966,788	7,553,308	3,364,935	17,946,785	
Cum. RLF Loan Disbursements	176,862,185	180,923,939	183,890,727	191,444,035	194,808,970		194,808,970
Cum ACH Draws as a % of Cum. Disb.		68%	68%	69%	69%		
ADMINISTRATION AND SET-ASIDE DISBURSEMENTS							
State Share Program Administration							
Program Administration (4%)	6,752,519	117,805	340,448	-	-	458,253	7,210,772
State Program Management (10%)(PWSS)	14,056,656	251,962	295,312	255,630	562,914	1,365,818	15,422,474
Small Systems Technical Assistance (2%)	2,222,682	-	-	-	-	-	2,222,682
Local Assistance and Other (15%)						-	-
Source Water Protection D/A (10%)	1,255,880					-	1,255,880
Wellhead Protection	7,486,104	187,902	181,405	161,645	200,538	731,490	8,217,594
Capacity Development	12,050,714	389,464	441,248	450,283	561,515	1,842,510	13,893,224
Prog Admin. and Set-Aside Disbursements		947,133	1,258,413	867,558	1,324,967	4,398,071	
Cum Adm. and Set-Aside Disb.	42,797,451	43,744,584	45,002,997	45,870,555	47,195,522		47,195,522
Cum ACH Draw as a % of Cum. Disb.	19%	19%	20%	19%	20%		
OUTSIDE REVOLVING LOAN FUNDS							
Outside RLF Funds		170,000	170,000	170,000	170,000	680,000	680,000
Cum. Outside RLF	3,062,948	3,232,948	3,402,948	3,572,948	3,742,948		3,742,948
TOTAL DISBURSEMENTS:							
Cash Draw From EPA/ACH		4,179,559	2,918,481	6,186,896	2,571,469	15,856,405	
Cum Cash Draw From EPA/ACH	125,482,490	129,662,049	132,580,530	138,767,426	141,338,895		141,338,895
State Share of Disbursements		-	388,755	1,366,412	793,466	2,548,633	
Cum State Share of Disbursements	40,198,462	40,198,462	40,587,217	41,953,629	42,747,095		42,747,095
Loan Repayments Fund		-	-	-	-	-	
Cum Loan Repayments Fund	17,933,752	17,933,752	17,933,752	17,933,752	17,933,752		17,933,752
Outside RLF Funds		170,000	170,000	170,000	170,000	680,000	
Cum Outside RLF Share of Disbursements	3,062,948	3,232,948	3,402,948	3,572,948	3,742,948		3,742,948
Total Disbursements		4,349,559	3,477,236	7,723,308	3,534,935	19,085,038	
Cum Total Disbursements	161,383,691	165,733,250	169,210,486	176,933,794	180,468,729		180,468,729
Cum EPA/ACH Draw as a % of Total	78%	78%	78%	78%	78%		
Federal EPA/ACH Available:							
Cum Before ADM & RLF Disb.	180,269,300	183,455,051	186,640,802	189,826,551	193,012,300		
Cum After ADM & RLF Disb.	54,786,810	53,793,002	54,060,272	51,059,125	51,673,405		

Annual Report - Appendix B-4 - Part 2

LOAN DISBURSEMENTS BY PROJECT

Project Name	Project Number	Loan Number	Payment Number	Payment Date	Total Payment	Federal	State	RLF
BEEBE#4	WRD-003-829	00924-DWSRF-L	2	7/23/13	\$605,826.00	\$605,826.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	3	8/22/13	\$93,411.00	\$93,411.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	4	9/23/13	\$133,918.00	\$133,918.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	5	10/22/13	\$151,635.00	\$151,635.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	6	11/19/13	\$71,891.00	\$71,891.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	7	12/16/13	\$124,064.00	\$0.00	\$124,064.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	8	1/21/14	\$55,088.00	\$55,088.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	9	2/20/14	\$510,558.00	\$510,558.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	10	3/28/14	\$18,634.00	\$18,634.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	11	4/28/14	\$190,214.00	\$190,214.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	12	5/27/14	\$116,806.00	\$116,806.00	\$0.00	\$0.00
BEEBE#4	WRD-003-829	00924-DWSRF-L	13	6/26/14	\$160,023.00	\$160,023.00	\$0.00	\$0.00
		BEEBE#4		Total	\$2,232,068.00	\$2,108,004.00	\$124,064.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	2	8/22/2013	\$275,296.00	\$275,296.00	\$0.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	3	9/24/2013	\$242,216.00	\$242,216.00	\$0.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	4	10/22/2013	\$207,399.00	\$207,399.00	\$0.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	5	11/18/2013	\$50,374.00	\$50,374.00	\$0.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	6	12/16/2013	\$95,722.00	\$95,722.00	\$0.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	7	2/20/2014	\$230,329.00	\$230,329.00	\$0.00	\$0.00
BRADLEY	WRD-003-807	00900-DWSRF-F	8	4/28/2014	\$47,379.00	\$47,379.00	\$0.00	\$0.00
		BRADLEY		Total	\$1,148,715.00	\$1,148,715.00	\$0.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	CAP-I	10/23/2014	\$85,421.25	\$85,421.25	\$0.00	\$0.00
BRYANT	WRD-003-706	00706-DWSRF-L	CAP-I	4/28/2014	\$86,681.22	\$86,681.22	\$0.00	\$0.00
		BRYANT		Total	\$172,102.47	\$172,102.47	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	CAP-I	10/21/2013	\$36,193.43	\$36,193.43	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	15	2/20/2014	\$194,298.00	\$194,298.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	16	3/28/2014	\$445,949.00	\$0.00	\$445,949.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	17	4/28/2014	\$790,528.00	\$790,528.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	CAP-I	4/28/2014	\$38,255.32	\$38,255.32	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	18	5/27/2014	\$239,245.00	\$239,245.00	\$0.00	\$0.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	19	6/26/2014	\$28,237.93	\$28,237.93	\$0.00	\$0.00
		CENTRAL ARKANSAS WATER #2		Total	\$1,772,706.68	\$1,326,757.68	\$445,949.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	1-CLOSING	8/13/2013	\$137,077.00	\$137,077.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	2	9/24/2013	\$445,779.00	\$445,779.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	3	10/21/2013	\$194,801.00	\$194,801.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	4	11/18/2013	\$130,497.00	\$130,497.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	5	12/16/2013	\$113,880.00	\$113,880.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	6	1/22/2014	\$101,796.00	\$101,796.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	7	2/20/2014	\$109,149.00	\$109,149.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	8	4/28/2014	\$39,117.00	\$39,117.00	\$0.00	\$0.00
CHIDESTER	WRD-003-813	00907-DWSRF-F	9	6/26/2014	\$78,382.00	\$78,382.00	\$0.00	\$0.00
		CHIDESTER		Total	\$1,350,478.00	\$1,350,478.00	\$0.00	\$0.00
FRANKLIN SEBASTIAN PWA - LAVACA	WRD-003-720	00785-DWSRF-L	6	9/16/2013	\$3,500.00	\$3,500.00	\$0.00	\$0.00
		FRANKLIN SEB. PWA - LAVACA		Total	\$3,500.00	\$3,500.00	\$0.00	\$0.00
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	16	7/23/2013	\$13,249.00	\$13,249.00	\$0.00	\$0.00
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	17	8/22/2013	\$2,034.00	\$2,034.00	\$0.00	\$0.00
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	18	2/20/2014	\$38,119.00	\$38,119.00	\$0.00	\$0.00
		GLENWOOD #3		Total	\$53,402.00	\$53,402.00	\$0.00	\$0.00

JACKSONVILLE	WRD-003-727	00798-DWSRF-L	19	7/23/2013	\$40,157.00	\$40,157.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	20	8/22/2013	\$269,302.00	\$269,302.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	21	9/23/2013	\$11,292.00	\$11,292.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	22	10/21/2013	\$113,408.00	\$113,408.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	23	11/18/2013	\$136,136.00	\$136,136.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	24	12/16/2013	\$180,934.00	\$153,229.25	\$27,704.75	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	25	1/21/2014	\$1,096,550.00	\$210,990.00	\$885,560.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	26	2/20/2014	\$932,133.00	\$932,133.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	27	3/28/2014	\$546,269.00	\$546,269.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	28	4/28/2014	\$322,372.00	\$322,372.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	29	5/27/2014	\$531,111.00	\$531,111.00	\$0.00	\$0.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	30	6/26/2014	\$137,393.00	\$0.00	\$137,393.00	\$0.00
JACKSONVILLE			Total		\$4,317,057.00	\$3,266,399.25	\$1,050,657.75	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	9	7/23/2013	\$114,710.00	\$114,710.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	10	8/22/2013	\$4,288.00	\$4,288.00	\$0.00	\$0.00
MCNEIL	WRD-003-780	00870-DWSRF-F	11	4/28/2014	\$9,115.00	\$9,115.00	\$0.00	\$0.00
MCNEIL			Total		\$128,113.00	\$128,113.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	13	8/22/2013	\$6,500.00	\$6,500.00	\$0.00	\$0.00
NORMAN	WRD-003-781	00871-DWSRF-F	14	4/28/2014	\$2,000.00	\$2,000.00	\$0.00	\$0.00
NORMAN			Total		\$8,500.00	\$8,500.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	8	7/23/2013	\$1,196.00	\$1,196.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	9	9/26/2013	\$17,112.00	\$17,112.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	10	11/18/2013	\$2,320.00	\$2,320.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	11	1/23/2014	\$6,680.00	\$6,680.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	12	2/20/2014	\$5,100.00	\$5,100.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	13	4/28/2014	\$1,275.00	\$1,275.00	\$0.00	\$0.00
OLD BELLA VISTA	WRD-003-801	00894-DWSRF-F	14	6/26/2014	\$1,671.00	\$1,671.00	\$0.00	\$0.00
OLD BELLA VISTA			Total		\$35,354.00	\$35,354.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	8	7/23/2013	\$198,043.00	\$198,043.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	9	8/22/2013	\$199,284.00	\$199,284.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	10	9/24/2013	\$151,675.00	\$151,675.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	CAP-I		\$9,310.75	\$9,310.75	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	11	10/21/2013	\$275,751.00	\$275,751.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	12	11/19/2013	\$347,402.00	\$347,402.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	13	12/16/2013	\$82,843.00	\$0.00	\$82,843.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	14	2/20/2014	\$29,125.00	\$29,125.00	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	15	3/28/2014	\$34,903.00		\$34,903.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	CAP-I		\$23,914.41	\$23,914.41	\$0.00	\$0.00
PARON-OWENSVILLE	WRD-003-148	00600-DWSRF-L	15	5/28/2014	\$62,112.00	\$62,112.00	\$0.00	\$0.00
PARON-OWENSVILLE			Total		\$1,414,363.16	\$1,296,617.16	\$117,746.00	\$0.00
SCRANTON	WRD-003-823	00917-DWSRF-L	1-CLOSING	4/8/2014	\$435,043.00	\$278,735.07	\$156,307.93	\$0.00
SCRANTON	WRD-003-823	00917-DWSRF-L	2	5/27/2014	\$834,410.00	\$834,410.00	\$0.00	\$0.00
SCRANTON	WRD-003-823	00917-DWSRF-L	3	6/26/2014	\$661,969.00	\$162,204.00	\$499,765.00	\$0.00
SCRANTON			Total		\$1,931,422.00	\$1,275,349.07	\$656,072.93	\$0.00

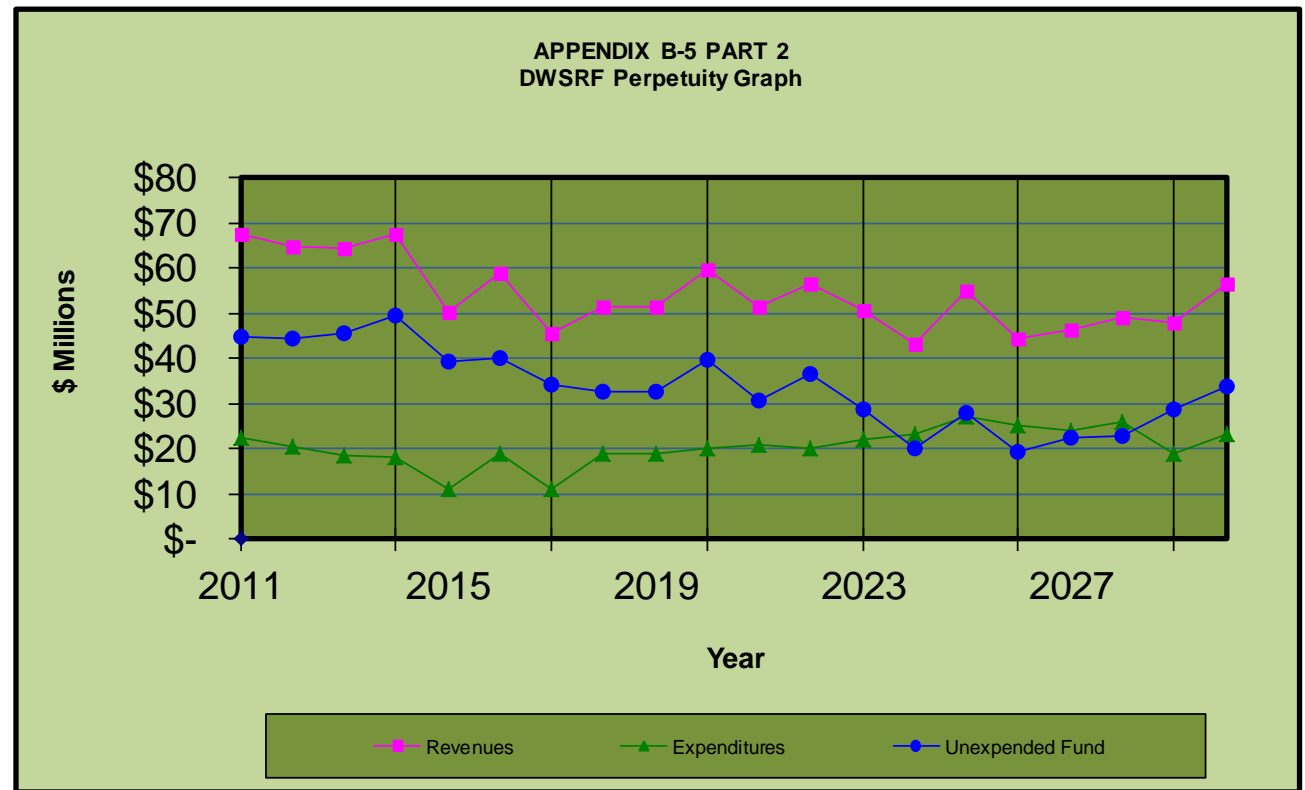
SEARCY	WRD-003-728	00799-DWSRF-L	27	7/23/2013	\$312,500.00	\$312,500.00	\$0.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	28	10/22/2013	\$15,065.00	\$15,065.00	\$0.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	29	1/21/2014	\$15,065.00	\$15,065.00	\$0.00	\$0.00
SEARCY	WRD-003-728	00799-DWSRF-L	30	4/28/2014	\$15,065.00	\$15,065.00	\$0.00	\$0.00
		SEARCY		Total	\$357,695.00	\$357,695.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	8	8/22/2013	\$198,907.00	\$198,907.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	9	9/24/2013	\$146,764.00	\$146,764.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	10	10/21/2013	\$37,522.00	\$37,522.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	11	11/13/2013	\$47,711.00	\$47,711.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	12	12/16/2013	\$42,046.00	\$42,046.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	13	1/22/2014	\$66,072.00	\$66,072.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	14	2/20/2014	\$39,013.00	\$39,013.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	15	3/28/2014	\$88,980.00	\$88,980.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	16	4/28/2014	\$174,242.00	\$174,242.00	\$0.00	\$0.00
STEPHENS	WRD-003-783	00873-DWSRF-F	17	5/27/2014	\$156,917.00	\$156,917.00	\$0.00	\$0.00
		STEPHENS		Total	\$998,174.00	\$998,174.00	\$0.00	\$0.00
WINTHROP #1	WRD-003-816	00910-DWSRF-L	1-CLOSING	10/30/2013	\$23,169.00	\$23,169.00	\$0.00	\$0.00
WINTHROP #1	WRD-003-816	00910-DWSRF-L	2	12/18/2013	\$154,143.00	\$0.00	\$154,143.00	\$0.00
WINTHROP #1	WRD-003-816	00910-DWSRF-L	3	1/22/2014	\$91,214.00	\$91,214.00	\$0.00	\$0.00
WINTHROP #1	WRD-003-816	00910-DWSRF-L	4	2/20/2014	\$11,474.00	\$11,474.00	\$0.00	\$0.00
		WINTHROP #1		Total	\$280,000.00	\$125,857.00	\$154,143.00	\$0.00
WINTHROP #2	WRD-003-816	00911-DWSRF-F	1-CLOSING	10/30/2013	\$115,845.00	\$115,845.00	\$0.00	\$0.00
WINTHROP #2	WRD-003-816	00911-DWSRF-F	2	2/20/2014	\$70,618.00	\$70,618.00	\$0.00	\$0.00
WINTHROP #2	WRD-003-816	00911-DWSRF-F	3	3/28/2014	\$76,683.00	\$76,683.00	\$0.00	\$0.00
WINTHROP #2	WRD-003-816	00911-DWSRF-F	4	4/28/2014	\$121,239.00	\$121,239.00	\$0.00	\$0.00
WINTHROP #2	WRD-003-816	00911-DWSRF-F	5	5/27/2014	\$41,769.00	\$41,769.00	\$0.00	\$0.00
WINTHROP #2	WRD-003-816	00911-DWSRF-F	6	6/26/2014	\$40,507.00	\$40,507.00	\$0.00	\$0.00
		WINTHROP #2		Total	\$466,661.00	\$466,661.00	\$0.00	\$0.00
WILTON #1	WRD-003-814	00908-DWSRF-L	1-CLOSING	11/12/2013	\$24,261.00	\$24,261.00	\$0.00	\$0.00
WILTON #1	WRD-003-814	00908-DWSRF-L	2	1/22/2014	\$175,739.00	\$175,739.00	\$0.00	\$0.00
		WILTON #1		Total	\$200,000.00	\$200,000.00	\$0.00	\$0.00
WILTON #2	WRD-003-814	00909-DWSRF-F	1-CLOSING	11/12/2013	\$97,043.00	\$97,043.00	\$0.00	\$0.00
WILTON #2	WRD-003-814	00909-DWSRF-F	2	1/22/2014	\$200,762.00	\$200,762.00	\$0.00	\$0.00
WILTON #2	WRD-003-814	00909-DWSRF-F	3	2/20/2014	\$65,905.00	\$65,905.00	\$0.00	\$0.00
WILTON #2	WRD-003-814	00909-DWSRF-F	4	3/28/2014	\$58,250.00	\$58,250.00	\$0.00	\$0.00
WILTON #2	WRD-003-814	00909-DWSRF-F	5	4/28/2014	\$98,721.00	\$98,721.00	\$0.00	\$0.00
WILTON #2	WRD-003-814	00909-DWSRF-F	6	5/27/2014	\$46,575.00	\$46,575.00	\$0.00	\$0.00
WILTON #2	WRD-003-814	00909-DWSRF-F	7	6/26/2014	\$71,499.00	\$71,499.00	\$0.00	\$0.00
		WILTON #2		Total	\$638,755.00	\$638,755.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	14	7/23/2013	\$112,242.00	\$112,242.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	15	8/22/2013	\$80,571.00	\$80,571.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	16	9/24/2013	\$244,905.00	\$244,905.00	\$0.00	\$0.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	CAP-I		\$0.70	\$0.70	\$0.00	\$0.00
		WYE MOUNTAIN WFB		Total	\$437,718.70	\$437,718.70	\$0.00	\$0.00
		SFY2014	GRAND TOTAL		\$17,946,785.01	\$15,398,152.33	\$2,548,632.68	\$0.00

APPENDIX B-5 PART 1

DWSRF Perpetuity Chart

Fiscal Year	Beginning Balance	Loan Repayments	Interest Earnings	Federal Grant	State Match	ADH Set-Asides	Total Revenues	Project Disbursements	Ending Balance
2011	\$ 47,010,430.06	\$ 3,228,878.78	\$2,100,115.95	\$14,252,000.00	\$2,850,400.00	\$1,995,280.00	\$ 67,446,544.79	\$ 22,523,356.17	\$ 44,923,188.62
2012	\$ 44,661,162.36	\$ 3,948,110.00	\$2,266,579.95	\$13,582,000.00	\$2,716,400.00	\$2,409,680.00	\$ 64,764,572.31	\$ 20,256,983.00	\$ 44,507,589.31
2013	\$ 44,273,920.52	\$ 4,513,647.00	\$2,156,488.38	\$12,743,000.00	\$2,548,600.00	\$1,911,450.00	\$ 64,324,205.90	\$ 18,643,735.24	\$ 45,680,470.66
2014	\$ 45,006,984.83	\$ 5,627,433.00	\$2,673,266.71	\$13,534,000.00	\$2,706,800.00	\$2,030,100.00	\$ 67,518,384.54	\$ 17,946,785.00	\$ 49,571,599.54
2015	\$ 27,684,062.22	\$ 5,554,380.87	\$2,836,213.35	\$13,582,000.00	\$2,716,400.00	\$2,037,300.00	\$ 50,335,756.44	\$ 11,190,163.92	\$ 39,145,592.52
2016	\$ 39,145,592.52	\$ 5,997,397.45	\$2,901,002.97	\$10,229,000.00	\$2,045,800.00	\$1,534,350.00	\$ 58,784,442.94	\$ 18,902,746.87	\$ 39,881,696.07
2017	\$ 21,383,511.90	\$ 6,315,905.62	\$2,842,096.14	\$14,252,000.00	\$2,850,400.00	\$2,137,800.00	\$ 45,506,113.67	\$ 11,190,163.92	\$ 34,315,949.75
2018	\$ 27,569,650.62	\$ 6,776,599.99	\$2,869,099.46	\$13,582,000.00	\$2,716,400.00	\$2,037,300.00	\$ 51,476,450.07	\$ 18,902,746.87	\$ 32,573,703.20
2019	\$ 30,361,981.12	\$ 7,370,190.98	\$2,974,440.91	\$10,229,000.00	\$2,045,800.00	\$1,534,350.00	\$ 51,447,063.00	\$ 19,000,000.00	\$ 32,447,063.00
2020	\$ 33,348,698.87	\$ 8,125,268.03	\$3,206,733.05	\$14,252,000.00	\$2,850,400.00	\$2,137,800.00	\$ 59,645,299.95	\$ 20,000,000.00	\$ 39,645,299.95
2021	\$ 25,199,347.95	\$ 8,721,969.05	\$3,297,253.39	\$13,582,000.00	\$2,716,400.00	\$2,037,300.00	\$ 51,479,670.39	\$ 21,000,000.00	\$ 30,479,670.39
2022	\$ 32,911,528.38	\$ 9,393,335.35	\$3,334,871.18	\$10,229,000.00	\$2,045,800.00	\$1,534,350.00	\$ 56,380,184.91	\$ 20,000,000.00	\$ 36,380,184.91
2023	\$ 23,226,306.92	\$ 9,946,013.35	\$3,250,887.36	\$15,390,000.00	\$3,078,000.00	\$4,155,300.00	\$ 50,735,907.63	\$ 22,000,000.00	\$ 28,735,907.63
2024	\$ 16,699,764.62	\$10,622,192.16	\$3,258,239.79	\$13,582,000.00	\$2,716,400.00	\$3,667,140.00	\$ 43,211,456.57	\$ 23,000,000.00	\$ 20,211,456.57
2025	\$ 31,367,483.57	\$11,004,704.83	\$3,134,097.66	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$ 55,019,256.06	\$ 27,000,000.00	\$ 28,019,256.06
2026	\$ 17,245,291.06	\$10,876,362.76	\$2,896,511.37	\$14,252,000.00	\$2,850,400.00	\$3,848,040.00	\$ 44,272,525.19	\$ 25,000,000.00	\$ 19,272,525.19
2027	\$ 23,236,598.19	\$10,879,203.02	\$2,673,059.71	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$ 46,301,830.92	\$ 24,000,000.00	\$ 22,301,830.92
2028	\$ 22,693,751.92	\$11,071,452.49	\$2,559,734.38	\$13,582,000.00	\$2,716,400.00	\$3,667,140.00	\$ 48,956,198.79	\$ 26,000,000.00	\$ 22,956,198.79
2029	\$ 24,553,798.79	\$11,146,885.29	\$2,517,204.94	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$ 47,730,859.01	\$ 19,000,000.00	\$ 28,730,859.01
2030	\$ 29,275,730.01	\$11,545,482.74	\$2,510,604.29	\$14,252,000.00	\$2,850,400.00	\$3,848,040.00	\$ 56,586,177.04	\$ 23,000,000.00	\$ 33,586,177.04
2031	\$ 32,091,827.04	\$10,871,885.76	\$2,537,104.31	\$13,582,000.00	\$2,716,400.00	\$3,667,140.00	\$ 58,132,077.11	\$ 20,000,000.00	\$ 38,132,077.11
2032	\$ 33,096,383.11	\$10,196,639.05	\$2,274,599.39	\$10,229,000.00	\$2,045,800.00	\$2,761,830.00	\$ 55,080,591.55	\$ 21,000,000.00	\$ 34,080,591.55
2033	\$ 34,080,591.55	\$ 9,649,737.89	\$2,028,711.16	\$15,390,000.00	\$3,078,000.00	\$4,155,300.00	\$ 60,071,740.59	\$ 24,000,000.00	\$ 36,071,740.59

Year	Revenues	Expenditures	Unexpended Fund
2011	67.45	22.52	44.92
2012	64.76	20.26	44.51
2013	64.32	18.64	45.68
2014	67.52	17.95	49.57
2015	50.34	11.19	39.15
2016	58.78	18.90	39.88
2017	45.51	11.19	34.32
2018	51.48	18.90	32.57
2019	51.45	19.00	32.45
2020	59.65	20.00	39.65
2021	51.48	21.00	30.48
2022	56.38	20.00	36.38
2023	50.74	22.00	28.74
2024	43.21	23.00	20.21
2025	55.02	27.00	28.02
2026	44.27	25.00	19.27
2027	46.30	24.00	22.30
2028	48.96	26.00	22.96
2029	47.73	19.00	28.73
2030	56.59	23.00	33.59



APPENDIX

C

FINANCIAL STATEMENT REPORTS

APPENDIX C-1

STATE OF ARKANSAS SAFE DRINKING WATER FUND STATEMENT OF NET ASSETS YEARS ENDING JUNE 30, 2013 AND JUNE 30, 2014

	REVOLVING LOAN FUND	DEPT OF HEALTH SET-ASIDE	FEES AND EXPENSE	2014 (UNAUDITED)	2013 (AUDITED)
ASSETS					
Current Assets					
Cash and cash equivalents	\$ 35,011,970	\$ -	\$ 6,119,149	\$ 41,131,119	\$ 48,640,538
Accrued interest receivable:	\$ -	\$ -	\$ -	\$ -	\$ -
Investment	\$ 11,306	\$ -	\$ 50	\$ 11,356	\$ 13,963
Loans	\$ 237,809	\$ -	\$ 3,325	\$ 241,134	\$ 265,671
Accounts receivable:	\$ -	\$ -	\$ -	\$ -	\$ -
Borrowers	\$ -	\$ -	\$ 130,710	\$ 130,710	\$ 151,484
EPA	\$ -	\$ 572,576	\$ 493,624	\$ 1,066,201	\$ 453,355
Investments - current portion	\$ -	\$ -	\$ -	\$ -	\$ 10,001,330
Total current assets	\$ 35,261,085	\$ 572,576	\$ 6,746,859	\$ 42,580,520	\$ 59,526,341
Noncurrent assets					
Investments - restricted (at fair value)	\$ 25,001,750	\$ -	\$ -	\$ 25,001,750	\$ -
Loans receivable- restricted	\$ 163,817,579	\$ -	\$ 3,974,675	\$ 167,792,253	\$ 160,335,668
Other assets	\$ -	\$ -	\$ -	\$ -	\$ 160,164
Total noncurrent assets	\$ 188,819,329	\$ -	\$ 3,974,675	\$ 192,794,003	\$ 160,495,832
TOTAL ASSETS	\$ 224,080,414	\$ 572,576	\$ 10,721,534	\$ 235,374,523	\$ 220,022,173
LIABILITIES					
Current Liabilities					
Accounts payable	\$ 143	\$ 572,576	\$ 137,744	\$ 710,463	\$ 464,328
Accrued interest payable	\$ 95,395	\$ -	\$ -	\$ 95,395	\$ 97,953
Bonds payable-current portion	\$ 1,265,000	\$ -	\$ -	\$ 1,265,000	\$ 1,000,000
Total current liabilities	\$ 1,360,538	\$ 572,576	\$ 137,744	\$ 2,070,858	\$ 1,562,281
Noncurrent Liabilities					
Bonds payable-net of unamortized premiums	\$ 25,594,097	\$ -	\$ -	\$ 25,594,097	\$ 26,677,109
Deferred fees	\$ -	\$ -	\$ -	\$ -	\$ 3,595,485
Amortization of deferred fees	\$ -	\$ -	\$ -	\$ -	\$ (1,155,263)
	\$ 25,594,097	\$ -	\$ -	\$ 25,594,097	\$ 29,117,331
TOTAL LIABILITIES	\$ 26,954,635	\$ 572,576	\$ 137,744	\$ 27,664,955	\$ 30,679,612
NET ASSETS					
Restricted for program administration	\$ 197,125,780	\$ -	\$ 10,583,789	\$ 207,709,569	\$ 189,342,561
TOTAL LIABILITIES AND NET ASSETS	\$ 224,080,415	\$ 572,576	\$ 10,721,533	\$ 235,374,524	\$ 220,022,173

APPENDIX C-2

STATE OF ARKANSAS SAFE DRINKING WATER FUND STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS YEARS ENDING JUNE 30, 2013 AND JUNE 30, 2014

	REVOLVING LOAN FUND	DEPT. OF HEALTH SET-ASIDE	FEES AND EXPENSE	2014 (UNAUDITED)	2013 (AUDITED)
<u>OPERATING REVENUES</u>					
Interest Income					
Investments	\$ 32,038	\$ -	\$ 665	\$ 32,703	\$ 38,953
Loans	\$ 3,235,772	\$ -	\$ 80,739	\$ 3,316,511	\$ 3,202,820
Financing fees	\$ -	\$ -	\$ 1,639,062	\$ 1,639,062	\$ 1,735,860
Net increase in Fair Value of Investments	\$ 14,611	\$ -	\$ -	\$ 14,611	\$ (2,619)
 Total Operating Revenues	 \$ 3,282,421	 \$ -	 \$ 1,720,466	 \$ 5,002,887	 \$ 4,975,014
<u>OPERATING EXPENSES</u>					
Federal financial assistance programs					
ARRA	\$ -	\$ -	\$ -	\$ -	\$ -
BASE	\$ 4,774,750	\$ -	\$ -	\$ 4,774,750	\$ 5,428,138
Program Administration	\$ 2,257		\$ 170,757	\$ 173,014	\$ 167,134
Bond Interest	\$ 1,187,242	\$ -	\$ -	\$ 1,187,242	\$ 1,175,438
Amortization of bond issuance costs	\$ -	\$ -	\$ -	\$ -	\$ 21,101
Amortization of bond premiums	\$ (392,543)	\$ -	\$ -	\$ (392,543)	\$ (435,040)
 Total Operating Revenues	 \$ 5,571,706	 \$ -	 \$ 170,757	 \$ 5,742,462	 \$ 6,356,771
<u>OPERATING INCOME</u>	 \$ (2,289,285)	 \$ -	 \$ 1,549,709	 \$ (739,575)	 \$ (1,381,757)
<u>NON OPERATING INCOME</u>					
Federal Grants	\$ 15,398,152	\$ 3,687,940	\$ 834,073	\$ 20,172,044	\$ 18,907,102
ARRA Federal Grants	\$ -	\$ -	\$ -	\$ -	\$ -
State Contributions	\$ -	\$ -	\$ -	\$ -	\$ -
<u>INCOME BEFORE TRANSFERS OUT</u>	 \$ 13,108,867	 \$ 3,687,940	 \$ 2,383,782	 \$ 19,432,468	 \$ 17,525,345
Transfers (Out) In	\$ 2,548,600	\$ (3,687,940)	\$ (1,954,300)	\$ (3,345,518)	\$ (1,062,709)
Change in Net Assets	\$ 15,657,467	\$ -	\$ 429,482	\$ 16,086,950	\$ 16,462,636
Change in Accounting Principal (Note #)			\$ -	\$ 2,280,058	
<u>NET ASSETS</u>					
Beginning of year	\$ 181,468,312	\$ -	\$ 10,154,307	\$ 191,622,619	\$ 172,879,925
End of year	\$ 197,125,779	\$ -	\$ 10,583,789	\$ 207,709,568	\$ 189,342,561

APPENDIX C-3

STATE OF ARKANSAS SAFE DRINKING WATER FUND STATEMENT OF CASH FLOWS YEARS ENDING JUNE 30, 2013 AND JUNE 30, 2014

	REVOLVING LOAN FUND	SET ASIDES	FEES AND EXPENSES	2014 (UNAUDITED)	2013 (AUDITED)
<u>OPERATING ACTIVITIES</u>					
Interest received on investments	\$ 34,647	\$ -	\$ 663	\$ 35,310	\$ 35,241
Interest received on loans	\$ 3,260,235	\$ -	\$ 80,812	\$ 3,341,048	\$ 3,167,040
Loan Repayments (Principal)	\$ 5,627,433	\$ -	\$ 88,016	\$ 5,715,449	\$ 4,513,647
Loan Disbursements	\$ (13,172,035)	\$ -	\$ -	\$ (13,172,035)	\$ (13,215,597)
ARRA Loan Disbursements		\$ -	\$ -	\$ -	\$ -
Financing fees	\$ -	\$ -	\$ 1,659,836	\$ 1,659,836	\$ 1,566,365
Principal forgiveness disbursements	\$ (4,774,750)	\$ -	\$ -	\$ (4,774,750)	\$ (5,428,138)
Cash paid for interest	\$ (1,189,800)	\$ -	\$ -	\$ (1,189,800)	\$ (1,175,438)
Cash paid for program administration	\$ (2,228)	\$ -	\$ (161,676)	\$ (163,904)	\$ (165,052)
Net cash provided by operating activities	\$ (10,216,498)	\$ -	\$ 1,667,652	\$ (8,548,846)	\$ (10,701,932)
<u>NONCAPITAL FINANCING ACTIVITIES</u>					
Repayment of long-term debt	\$ (430,000)	\$ -	\$ -	\$ (430,000)	\$ -
Proceeds from bond issuance	\$ 4,531	\$ -	\$ -	\$ 4,531	\$ -
Cash paid for cost of issuance of long-term debt	\$ -	\$ -	\$ -	\$ -	\$ -
Transfers in (Out)	\$ 2,548,600	\$ (3,727,255)	\$ (1,954,299)	\$ (3,108,492)	\$ (1,102,025)
Non operating grants received	\$ 15,398,152	\$ 3,727,255	\$ 458,252	\$ 19,559,198	\$ 18,828,613
Net cash provided by non capital financing activities	\$ 17,521,283	\$ -	\$ (1,496,047)	\$ 16,025,236	\$ 17,726,588
<u>INVESTING ACTIVITIES</u>					
Proceeds from sale of investments	\$ 10,000,000	\$ -	\$ -	\$ 10,000,000	\$ 10,000,000
Purchase of investments	\$ (24,985,809)	\$ -	\$ -	\$ (24,985,809)	\$ (2,000,000)
Net cash received (used) in investing activities	\$ (14,985,809)	\$ -	\$ -	\$ (14,985,809)	\$ 8,000,000
Net Increase in Cash and Cash Equivalents	\$ (7,681,023)	\$ -	\$ 171,605	\$ (7,509,419)	\$ 15,024,656
<u>CASH AND CASH EQUIVALENTS:</u>					
Beginning of year	\$ 42,692,994	\$ -	\$ 5,947,544	\$ 48,640,538	\$ 33,615,882
End of Year	\$ 35,011,971	\$ -	\$ 6,119,149	\$ 41,131,119	\$ 48,640,538
<u>RECONCILIATION OF OPERATING INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES:</u>					
Operating Income (Loss)	\$ (2,289,285)	\$ -	\$ 1,549,709	\$ (739,575)	\$ (1,381,757)
Items not providing operating activities cash flows:					
Amortization of bond issuance costs	\$ -	\$ -	\$ -	\$ -	\$ 21,101
Amortization of bond premiums	\$ (392,543)	\$ -	\$ -	\$ (392,543)	\$ (435,040)
Amortization of deferred financing fees	\$ -	\$ -	\$ -	\$ -	\$ (164,563)
Net appr/depr in investments	\$ (14,611)	\$ -	\$ -	\$ (14,611)	\$ 2,619
Changes in:					
Accounts receivable:					
Borrowers	\$ -	\$ -	\$ 20,774	\$ 20,774	\$ (4,932)
Accrued interest receivable:					
Investments	\$ 2,609	\$ -	\$ (2)	\$ 2,607	\$ (3,712)
Loans	\$ 24,464	\$ -	\$ 73	\$ 24,537	\$ (35,780)
Loans receivable	\$ (7,544,603)	\$ -	\$ 88,016	\$ (7,456,586)	\$ (8,701,950)
Accounts Payable	\$ 29	\$ -	\$ 9,081	\$ -	\$ -
Accrued interest payable	\$ (2,558)	\$ -	\$ -	\$ (2,558)	\$ -
Net cash used in operating activities	\$ (10,216,498)	\$ -	\$ 1,667,652	\$ (8,548,846)	\$ (10,701,932)

APPENDIX C-4

STATE OF ARKANSAS SAFE DRINKING WATER FUND PACE CALCULATIONS - Financial Indicators YEARS ENDING JUNE 30, 2013 AND JUNE 30, 2014

FEDERAL RETURN ON INVESTMENT

State Fiscal Year	Cumulative Assistance Disbursed	Cumulative Federal Cash Draws	Federal Return on Investment
2013	\$ 176,915,872	\$ 158,857,141	111.37%
2014	\$ 194,933,949	\$ 176,875,218	110.21%

EXECUTED LOANS AS A PERCENTAGE OF FUNDS AVAILABLE

State Fiscal Year	Total RLF Assistance Provided (Loans)	Cumulative Funds Available for Loans	Executed Loans as a % of Funds Available
2013	\$ 235,660,011	\$ 266,600,670	88.39%
2014	\$ 244,490,011	\$ 287,749,246	84.97%

LOAN DISBURSEMENTS AS A PERCENTAGE OF EXECUTED LOANS

State Fiscal Year	Cumulative Assistance Disbursed	Total RLF Assistance Provided (Loans)	Loan Disbursements as a % of Executed Loans
2013	\$ 176,915,872	\$ 235,660,011	75.07%
2014	\$ 194,933,949	\$ 244,490,011	79.73%

***Note: Information from NIMS and includes ARRA totals

APPENDIX

D

ARKANSAS DEPARTMENT OF HEALTH
Center for Local Public Health
Environmental Health Branch
Engineering Section

ANNUAL DWSRF REPORT
STATE FISCAL YEAR 2014
(JULY 1, 2013 through JUNE 30, 2014)
August 2014



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INTRODUCTION

The Arkansas Department of Health (ADH), Engineering Section (Engineering) is the primacy agency for administering the provisions of the Federal Safe Drinking Water Act (SDWA) in the State of Arkansas. Engineering is responsible for administering the State Public Water Supply Supervision Program within the ADH.

The Drinking Water State Revolving Fund (DWSRF) program came about as a part of the 1996 Amendments to the SDWA. The Arkansas Natural Resources Commission (ANRC) and the ADH signed an Interagency Memorandum of Agreement on administration of the DWSRF grant. Under this MOA, the ANRC administers the Loan Fund and the Administrative (4%) Set-aside portions of the DWSRF. ADH Engineering administers the State Program Management (10%), Small System Technical Assistance (2%), and Local Assistance & Other State Programs (15%) Set-asides portions of the DWSRF.

The purpose of this document is to present program activity progress and expenditures during State Fiscal Year 2014. A table is included in Attachment A which shows expenditures by set-aside and grant year. One notable departure from standard federal character definitions is that the federal definition of Equipment includes purchases of equipment which exceed \$5,000.00 and the state definition includes purchases which exceed \$2,500.00. The state definition is used in this report for identifying Equipment.

II. STATE PROGRAM MANAGEMENT SET-ASIDE

Section 1452(g)(2) authorizes the State to use up to 10% of its capitalization grant in the form of the State Program Management Set-Aside (SPM) for implementing drinking water program related activities. Engineering requested the full amount of State Program Management Set-Aside funds in its SRF-07, SRF-08 and SRF-13 work plans and a portion of the State Program Management Set-Aside in the SRF-09, SRF-10 and SRF12 work plans. The summary table in Attachment A shows expenditures during SFY14.

Under this set-aside, Engineering must provide a dollar-for-dollar match to use these funds. Half of the State match is to be in addition to the amount the State expended for public water supply supervision in FY93 and not include any funds used to match other federal grants. The state used unmatched funds from its annual public water system fee program to match the set-aside funds. In FY93 the State expended \$1,279,113 of State funds in its Public Water System Supervision Program (PWSSP).

For SFY14 Engineering budgeted \$2,280,687 and expended \$1,657,049 from the Public Water System program (State funds). Of this amount, \$1,345,393 was available for match against the SPM set-aside once PWSS (Water Grant) match funds were taken out. The FY93 credit plus the available State funds total \$2,663,506. For SFY14 Engineering expended \$1,365,820 from the SPM set-aside. This should demonstrate that sufficient unmatched state funding was available to cover the 1:1 match requirement for this set-aside.

The matching funds were supplied in the form of in-kind services for work performed using PWS Fees. In-kind services included such activities as sanitary surveys, plan reviews, technical assistance, enforcement/compliance issues, operator training and certification activities, staff training, associated travel, office support, etc. performed by staff members. The percentages for O&M and travel worked out to be about the same as for the set-aside funded positions. The match-positions and job duties are discussed in a later portion of this section.

Other expenditures from this set-aside includes such items as office equipment and supplies, staff training and travel, PCs, upgrades to the Section's LAN system, routine O&M, etc. Refer to Attachment B for a more detailed listing of items.

The Goals and Objectives, Proposed Outputs and Deliverables as outline in the work plan and the SFY14 Outputs applied to the State Program Management Set-Aside category are summarized below:

Sub-Element/Category: Assistance to Public Water System Supervision (PWSS)

Goal: Provide PWSS programs that will bring PWSs into or keep them in compliance.

OBJECTIVE 1: Provide public water system supervision to ensure compliance with the SDWA .	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)(A)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Provide administrative support for the ADH staff to supervise PWSs such as maintaining files, developing standard operating procedures and/or guidance, travel coordination, and other administrative tasks to maintain primacy delegation and meet reporting requirements of SDWA.	ADH	On-going
2. Perform Sanitary Surveys and prepare report of sanitary and regulatory deficiencies. Respond to regulatory needs and deficiencies as needed.	ADH, PWS	On-going
3. Implement new and enforce existing EPA and ADH Water Regulations.	ADH	On-going
4. Provide technical assistance and training to water operators for existing and upcoming regulations	ADH, PWS	As Needed
5. Review compliance data for compliance with state and federal drinking water regulations. Identify violations and notify PWSs of all violations.	ADH	On-going
6. Review of plans and specifications as required under State regulations	ADH	On-going
7. Administer EPA grants and track/facilitate expenditures.	ADH	On-going
8. Conversion of Engineering's legacy system to SDWIS/State. (Operator Certification, Bacteriological Sample tracking, Sanitary Survey Tracking)	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 14 Outputs
Programmatic	Maintenance of state primacy delegation.	ADH retains primacy.	Primacy has been maintained
	Staff to administer EPA grants and track/facilitate expenditures and ACH reimbursements.	Document and track grant expenditures	See Appendix A
	Meeting EPA reporting requirements under the SDWA.	Document and track PWS compliance and enforcement actions	The follow enforcement actions were taken and tracked: Violation Determined - 869 and PN requested – 613, PN verification received 400, WAOs – 50; AOs – 30 , CO – 18
	Conversion of Engineering's legacy data systems to SDWIS/State. These databases will include: Water Operator Certification tracking, Bacteriological Monitoring Bottle Mailings, Sanitary Survey tracking, Boil Water Order Notification tracking, and SWAP applications.	SDWIS/Fed reporting of all Inventory, Violations, Enforcement, Monitoring and Milestone data within 45 days of end of each quarter	SDWIS / Fed reporting completed quarterly as required. Deadlines for submittal: 8/15/13, 11/15/13, 2/15/14 & 5/15/14 Dates submitted: 8/06/13, 10/31/13, 2/04/14 & 5/09/14 all on time Conversion of legacy data is on-going.
		All State reports required under the SDWA will be submitted and within the required timeframes.	Annual and miscellaneous reports submitted as requested.
Environmental	Plan and specification reviews as required under the state regulations. Complete more than 90% of plan reviews within required timeframe (est. 225 plans)	Log and track an estimated 1500 plans and specifications annually	1069 total plans received, logged and tracked resulting in 1636 total reviews.
		Field Surveillance Staff - Review an estimated 200 plans and specifications	Field Surveillance Staff: <ul style="list-style-type: none"> SPM funded positions conducted 411 review assignments on 251 plans SPM state match positions –conducted 103 review assignments on 57 plans
		Technical Support Staff – Review and an estimated 25 plans and specifications	Technical Support Staff -- SPM funded Positions <ul style="list-style-type: none"> Reviewed 10 corrosion control plans Reviewed and prepared 10 Disinfection Profile reports, Conducted evaluations and made 7 GWUDI determinations
Environmental	Conducting sanitary surveys and other inspections at PWSs	Complete more than 90% of sanitary surveys that are due in the scheduled year. (An estimated 100 surveys to be completed by Field Surveillance staff.)	109 Sanitary surveys completed by SPM funded Field Surveillance staff positions; 72 Sanitary surveys completed by SPM state match Field Surveillance staff positions;
		Complete more than 90% of sanitary surveys that are due in the scheduled year. (An estimated 50 surveys to be completed by Technical Support staff.)	SPM funded Technical Support Staff <ul style="list-style-type: none"> 68 Sanitary surveys completed by SPM funded Technical Support Staff. SPM funded Technical Support Staff also participated in 0 CPEs & 1 Quarterly AWOP meeting.
		Other Inspections / Investigations at PWSs	<ul style="list-style-type: none"> SPM funded Field Surveillance Staff provided direct one-on-one technical assistance on 142 occasions SPM state match positions – conducted 112 technical assistance visits.

Environmental	Pre-planning for and implementation of new regulations associated with the SDWA.	New EPA/SDWA regulations will be implemented within the prescribed timeframes.	All new rules / requirements are implementation on schedule and generally ahead of schedule. <ul style="list-style-type: none"> • LT2 Bin compliance began for 2 systems in October 2013. Sent revised monthly operating report forms to 3 systems in early 2014 to prepare them for compliance in October 2014. • Assistance provided to 2 systems regarding Stage 2 DBP extension request. • Stage 1 & 2 DBP -- 134 monitoring plans completed / approved, letters advising systems of DPB results sent to PWSs -- 1545 Stage 1 & 1668 Stage 2 compliance status letters. Tracked 15 OEL events.
Environmental	Training and technical assistance for water system operators and managers specific to new/upcoming SDWA requirements. Assistance will be provided in classroom and one-on-one settings, as well as by mail outs.	ADH sponsored water operator training for new/upcoming SDWA regulations.	SPM funded Field Surveillance Staff provided direct one-on-one technical assistance on 142 occasions
	Technical assistance to water operators.	Provide technical assistance to operators	Combined Man-hours of Technical Assistance, Complaint Investigation; and Operator Training: SPM funded Field Surveillance Staff -- 4303 man-hours SPM state match positions -- 2250 man-hours
		Document technical assistance and ADH sponsored training sessions (est. 24 training session per year)	ADH sponsored 13 compliance schools
		Oversight and coordination of an estimated two (2) fluoride schools/year	One (1) fluoride training session conducted and conducted one (1) fluoride start-up inspections with associated technical assistance.

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 14
Programmatic	ADH retains primacy of SDWA and completes all required reports	Primacy maintained and all required reports were completed.
Environmental	85% of the community PWSs in the State will meet all applicable health-based drinking water standards.	90.8% of Community Water Systems met all health based standards during SFY 14.
Programmatic	Maintain certified operators at 90% of community PWSs.	98% of Community PWSs maintained operator certification during SFY 14.

¹The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

SUMMARY

As can be seen from the activities and measurable reported in this section, substantial progress was made in the State's drinking water program. The activities conducted using the SPM set-aside funds are an integral part of the State's overall drinking water Public Water System Supervision Program. Thanks in part to the SPM set-aside funds, the Engineering Section was effectively implementing all required state and federal regulations promulgated to date and has been successful in retaining its Primacy status with EPA.

III. SMALL SYSTEM TECHNICAL ASSISTANCE

Section 1452(g)(2) allows the State to use up to 2% of its capitalization grant for implementing technical assistance activities of Section 1442(e). Engineering requested the full amount of Small System Technical Assistance (SSTA) Set-Aside funds in its SRF-07 work plan. Engineering reserved or banked 2% of the funds from the SRF-08, SRF-09, SRF-10, SRF-11, SRF-12 & SFY-13 capitalization grants for future use. The SRF-07 funds were fully expended during SFY13. For the balance of SFY13, funding for the SSTA contracts was transferred to the Local Assistance – Capacity Development set-aside.

This assistance will be accomplished through contract services. As required under State procedures, Requests for Proposals were prepared to solicit interested contractors to provide the necessary assistance under the oversight of Engineering.

Two technical assistance contracts, both which included provisions for six one-year extensions, were put into place for SFY12. These contracts became effective on July 1, 2011. The technical assistance contracts were funded for evaluating and improving the “capacity development” adequacy of some small water systems using these set-aside funds. One contract, aimed at Technical and Operational aspects, was awarded to the Arkansas Rural Water Association. The second contract, aimed at Financial and Managerial aspects, was also awarded to Arkansas Rural Water Association. Both contracts operate in the same manner. The contracts are implemented in a “circuit rider” format with on-site visits to assess individual water system needs, provide corrective action plans addressing identified deficiencies, and provide technical assistance in correcting these deficiencies. The Contractor is given a prioritized list of water systems to visit. The Contractor visits systems to improve their financial and managerial capacity by assisting with rate studies, budget analysis, board member training, asset management training, long range plans, and emergency response plans. The Contractor also provides on-site technical assistance hours to water operators to improve the technical and operational capacity of water systems based on assessments provided by the State.

The Goals and Objectives, Proposed Outputs and Deliverables as outlined in the work plan and the SFY14 Outputs applied to the Small System Technical Assistance Set-Aside category are summarized below:

Sub-Element/Category: Small Systems Technical Assistance

Goal: Provide technical assistance to small water systems to improve system capacity for compliance with drinking water regulations

OBJECTIVE 1: To insure adequate capacity development at each water system for the future.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Perform assessment of each new potential water system to determine if it meets technical, managerial, and financial (TMF) capacity.	ADH, PWS	On-going
2. Perform a TMF assessment of each existing community water system and non-transient non-community water system and rank them based on their TMF assessment.	ADH	On-going
3. Provided assistance to PWSs to improve their TMF capacity. Priority will be given to the systems with the most need.	ADH, Contractors	On-going
4. Track PWSS Progress towards meeting TMF capacity.	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 14 Outputs
Environmental	Assess individual water system needs, provide corrective action plans addressing identified deficiencies, and provide technical assistance in correcting these deficiencies; systems that are chronic violators and/or have other demonstrated problems	A corrective action plan will be developed with appropriate milestones and delivered to the water system for consideration and implementation. (Re-portable's includes: names of systems contacted & number of on-site visits made, assessment reports completed, strategies developed, man-hours on-site technical assistance rendered, participation in CPEs, and the status of systems following through in implementing the corrective action plans.)	<p>The Contractor submitted a database each month detailing man-hours claimed to the Section along with requests for payment based upon the contract unit prices. During SFY14 the contractor contributed the following:</p> <p>Financial & Managerial (F&M) Total Hours - 483; Technical & Operational (T&O) Total Hours – 578. Details below.</p> <ul style="list-style-type: none"> On-site Technical Assistance (man-hours) F&M - 187 T&O - 206 Technical Assistance Office Hours F&M - 120 T&O - 187 Travel Hours F&M - 176 T&O – 197 CPE Participation F&M - 2 T&O - 1

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Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 14
Environmental	Improve TMF capacity.	ADH has 3 contracts in place with TA providers to assist small water systems with improving TMF capacity through technical assistance and GIS mapping of infrastructure.

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IV. LOCAL ASSISTANCE AND OTHER STATE PROGRAMS SET-ASIDE

Section 1452(k) allows the State to use up to 15% of its capitalization grant for implementing activities under this set-aside. The State plans to use the funds in two primary program areas: Wellhead Protection (WHP) Program implementation (1452(k)(D)) and Capacity Development Strategy assistance (1452(k)(B)). The State requested the full amount of the Local Assistance and Other State Programs Set-Aside (Wellhead Protection Program & Capacity Development) funds in the EPA approved work plans for SRF07, SRF08, SRF09, SRF10 and SRF13. The State did not elect to take any set-aside funds for the Wellhead Protection Program in the SRF11 or SRF12 work plan, however, did requested 10% for Capacity Development in each of these work plans. The table in Attachment A shows the expenditures for SFY14.

1. Wellhead Protection Program Implementation

Wellhead Protection program activities implemented benefited the State's Community Water Supply systems by moving them closer to having a substantially implemented Source Water Protection program as defined by the Arkansas Department of Health.

Sub-Element/Category: Wellhead Protection Program

Goal: Promote and continue to implement wellhead protection program efforts

OBJECTIVE 1: Obtain meaningful information on groundwater aquifer characteristics and vulnerabilities and maintain a well operated GIS data system.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1428 & 1452(k)(1)(D)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Collection and updating of GIS field data for PWSs.	ADH, PWS, UofA, USGS	On-going
2. Conversion of GIS data from NAD27 to NAD83.	ADH	On-going
3. Obtain or develop electronic data for improving the GIS system and Source Water Assessment Model.	ADH, UofA, USGS	On-going
4. Maintain and update the Source Water Assessment Model for providing up to date meaningful protection area reports	ADH, USGS	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

OBJECTIVE 2: Delineate wellhead protection areas and provide public outreach regarding wellhead and groundwater protection efforts.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1428 & 1452(k)(1)(D)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Provide technical assistance to PWSs development of wellhead protection programs.	ADH, PWS	As Needed
2. Conduct public outreach activities and training sessions to promote wellhead and groundwater protection.	ADH, PWS	As Needed
3. Delineation of wellhead protection areas for new wells and refinement/updates for existing wells.	ADH, U of A	On-going
4. Review of proposed point and non-point discharge permit applications for impacts on PWS sources.	ADH	On-going
5. Maintain files and ensure organized approach to source water protection efforts	ADH	On-going

6. Monitoring and review of new wells and vulnerable existing wells for Ground Water Under Direct Influence of surface water	ADH	As Needed
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs1 – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 14 Outputs
Environmental	Technical assistance to PWSs in public outreach efforts and assistance to the PWS in drafting needed documents, such as ordinances and emergency plans, as requested	Formal and informal technical assistance activities targeted towards ground water protection efforts, as needed or requested.	WHPP staff provided technical assistance activities on approximately 392 occasions. Such activities include: direct one-on-one assistance, public and individual outreach activities, mailing of information and reports as requested.
		<ul style="list-style-type: none"> Assistance, as requested, to PWSs in well siting, aquifer identification, and recommended construction features. Estimate: well siting ~ 5 events/year construction recommendations (casing and grout depth, drill cuttings analysis, other) ~ 5 events/year wellhead deficiency surveys ~ 10 events/year 	WHPP staff provided recommendations on construction of sixteen (16) wells. Recommendations include: aquifer determination, construction depth, casing and grouting depths, etc. Staff reviewed drill cutting on seven (7) wells in order to confirm / refine recommendations. Eighteen (18) wellhead deficiency surveys conduct specifically for SWAP purposes.
Environmental	WHP protection area delineations for new wells and refinement/update of previous delineations	The ADH staff will delineate 20 wellhead protection areas for new or existing sources (# includes revised delineations where new or better data has become available.	Thirty (30) WHPAs were delineated for ten (10) different PWSs and seven (7) Phase 1 reports (for 22 wells) were completed and mailed.
Environmental	Collection of field data (e.g.; PSOC locations, wellhead locations, well construction features, etc.) for input into the GIS system	The ADH staff will assist PWSs in conducting and/or updating 30 contaminant source surveys and complete Phase II WHP reports. An estimated 4 PSOC inventories will be conducted per year.	ADH conducted PSOC inventories at nine (9) PWSs covering 40 WHPAs. Two (2) Phase II (inventory) Reports including 39 PSOC maps were completed.
Environmental	The ADH staff will assist all interested PWSs in preparing and implementing Source Water Protection Plans.	Provision of assistance to surface water systems in developing source water protection plans, upon request.	Created 45 PSOC maps for ARWA to use during field PSOC inventory collection / verification. Produced 22 PSOC inventory maps for ARWAs WHPP / SWAP reports for 3 public water systems and for 1 WHPP Phase II report.
Programmatic	Conversion of GIS spatial data from USGS North American Datum 1927 (NAD27) to North American Datum 1983 as time permits		All major projects and data layers are now using the NAD 83 datum. This is consistent with the Arkansas State Land Board which is the state store house for GIS data. Source locations and supporting data layers have been maintained in NAD 27 to allow continued use of the States SWAP model, which was designed for use in NAD 27. Final conversion of all data will be completed upon SWAP update completion in FY 2015.

Programmatic	A routinely updated and well-operated GIS system	Routine updates of the GIS system from the databases of other agencies on a monthly basis.	Official and unofficial data sharing agreements are in place with the CWA agency to obtain and share location data on an as needed basis. GeoStor, the Statewide GIS data storehouse is available, also on an as need basis.
		Collect GPS and construction data on newly constructed wells (est. 5/year).	Seven (7) new wells were added to the inventory in SFY year. GPS locations obtained for each. Gathered construction information during plan review process, sanitary surveys, and prep work for WHPA delineations.

¹The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 14
Environmental	50% of community PWSs will have substantially implemented Source Water Protection programs.	375 community PWSs (53.5%) with a population of 1,765,002, have substantially implemented SWA programs under the State definition.
Environmental	95% of GWUDI evaluations will be conducted and an initial determination made within 30 days of the evaluation.	8 wells added to inventory and 7 GWUDI determinations completed; All the determinations were finalized within 30 days of evaluation being completed.
Environmental	50 Source Water Protection Plans prepared or updated.	114 Source Water Protection Plans developed.

¹The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

SUMMARY

The State's Wellhead Protection Program plan, as approved by EPA, has a stated two-fold purpose: 1) for the fulfillment of the wellhead protection requirements of the Safe Drinking Water Act; and 2) to provide another means to enhance the agency's continuing efforts to protect public drinking water supply sources under the State's Public Water Supply Supervision Program. Both goals were accomplished.

Overall, the principal aims of the WHPP, Phase I (delineation) and Phase II (PSOC inventory) report production, continued to be the main focus of the WHPP, although considerable time was expended on hydrologic analyses and technical assistance for location of well sites and sanitary construction of proposed new wells. Technical assistance to the water systems as needed was also a focus for the program, as was hydrologic analysis and technical assistance frequently requested by consultants, well drillers, and members of the public. Significant time was also devoted to offsite cooperation with other organizations and agencies interested in wellhead/source water protection, especially the Arkansas Rural Water Association on groundwater protection issues.

Most of the effort in producing WHP delineation reports was spent on collection and verification of the well construction data and hydrologic data included in the reports, followed by calculation of WHPA radii and compilation of the GIS layers for the accompanying maps. There were 30 delineations made or revised at ten (10) public water systems. A roughly equal amount of time was devoted to assistance with inventories of Potential Sources of Contamination (PSOC) within the delineated WHPA and the follow-up work such as creating GIS layers representing the PSOCs identified within each WHPA. PSOC inventories were conducted at nine (9) water systems covering 30 wells PSOC inventory reports drafted for each.

2. Capacity Development Strategy Assistance

Capacity Development program activities implemented benefited the State's Community Water Supply systems by moving them closer to having adequate capacity or maintaining adequate capacity.

Sub-Element/Category: Capacity Development Program

Goal: Provide technical assistance to water systems to improve system capacity for compliance with drinking water regulations

OBJECTIVE 1: To provide assistance to enable small water systems serving a population <10,000 to achieve and maintain compliance with the Safe Drinking Water Act (SDWA) and State Drinking Water Regulations, work on specific water systems with the Capacity Development Program and produce high-quality drinking water for the long term.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Assistance and training to PWSs with completed capacity assessments that were identified as requiring capacity assistance.	ADH, PWS, Contractors	As Needed
2. Assistance and training to PWSs identified by the ETT.	ADH, PWS, Contractors	As Needed
3. Assistance and training to PWSs to bring about regionalization.	ADH, PWS, Contractors	On-going
4. Assistance and training to PWSs with obtaining a certified operator.	ADH, PWS, Contractors	On-going

5. Board member training to PWS water boards and city councils.	ADH, Contractors	On-going
6. Complete Comprehensive Performance Evaluations on surface water systems that are unable to meet the requirements of the surface water treatment rules, the requirements of the disinfection by-product rules, or request assistance with optimization.	ADH, Contractors	On-going
7. Provide PWSs with infrastructure location and mapping services.	Contractors	On-going
8. Provide monthly reports to Engineering containing the names of PWSs assisted and the type and quantity of assistance provided.	Contractors	Monthly
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

OBJECTIVE 2: Implement the Capacity Development Program to assist new and existing PWSs to meet the requirements of the SDWA and State Drinking Water Regulations at present and in the future.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(k)(1)(B)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Draft CCRs for PWSs as requested. Ensure that all public water systems are provided with the technical assistance and training necessary to comply with the CCR regulations.	ADH, PWS	On-going
2. Provide necessary training for water operators seeking to obtain certification under the State's operator certification program and provide specialized training to further the skills of these and other operators.	ADH	On-going
3. Assist local PWSs in establishing cross connection control to help maintain the water quality in the PWS distribution mains.	ADH	On-going
4. Implement AWOP including the conducting of CPEs and PBT training sessions primarily for surface water systems that are unable to meet the requirements of the surface water treatment rules, the disinfection by-product rules, or for systems requesting assistance with optimization.	ADH	On-going
5. Provide systems a reliable overnight delivery service of bacteriological sample to the ADH laboratory.	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY-14 Outputs
Environmental	Provide on-site technical assistance and training to PWS operators.	Provide an estimated twelve (12) 8-hour training sessions for PWS operators. Provide approximately 24 on-site training/ technical assistance visits	<ul style="list-style-type: none"> Technical assistance and/or training concerning DBP issues provided to 40 systems. Assistance provided to 2 systems regarding Stage 2 DBP extension request ADH staff presented sessions at 2 3-day conferences and District Meeting as requested. System / plant start-up assistance provide to one new surface water system. 9 training sessions were held on cross-connection control policies and procedures.
Environmental	Conduct CCR training.	Conduct five (5) CCR training sessions for an anticipated 70 PWSs	Three (3) training classes were conducted to assist water system operators with the CCR process (~ 6 operators were in attendance at first session and ~ 30 were in attendance at each of the other sessions.)

Environmental	Draft CCRs for PWSs as requested and provide direct assistance to PWSs developing their own.	Draft CCRs for an anticipated 600 PWSs; Assistance to PWSs drafting their own Newsletter on as needed basis.	Drafted 703 CCR's for community water systems.
Programmatic	Review, evaluated, and comment, as appropriate, on all CCRs submitted to Engineering	Anticipate 730 systems will be received and reviewed.	Reviewed approximately 703 system's CCR reports.
Environmental	Maintain the current overall compliance with the CCR		94% of systems met reporting guideline
Programmatic	Coordinate AWOP efforts	Provide one FTE to coordinate / conduct 4 CPEs and one PBT training event.	<ul style="list-style-type: none"> • 3 CPEs were conducted and one PBT on Distribution Disinfection Byproduct issues. • Data Audits were conducted at two (2) surface water treatment plants. • Calibration check made at 55 surface water treatment plants on 480 turbidimeters, 61 pH meters and 120 chlorine analyzers. • ADH Staff participated in AWOP events: 4 attended the National AWOP meeting in Cincinnati, Ohio, 4 attended the AWOP Quarterly Meeting in Oklahoma City, Oklahoma, 5 participated in the AWOP Quarterly Meeting by teleconference, and 7 attended the AWOP Quarterly Meeting in Texarkana, Arkansas.
Programmatic	Provide operator compliance training courses	Provided 45 operator certification courses for 351 operators or operators-in-training.	47 courses were offered to operators at various locations statewide, including 13 compliance courses (for ~385 operators or operators-in-training)
Programmatic	Publish Quarterly Newsletter	Publish four (4) newsletters and distribute approximately 3600 per quarter	Four (4) newsletters were published with over 3700 mailings per issue. Copies were sent to water system operators and managers, local utility officials (i.e.; mayor, association president, owner, etc.), environmental groups and other organizations / persons requesting to be on the distribution list.
Environmental	Coordinate / track mapping contract	Provide infrastructure location and mapping services for 40 water systems.	Assessment reports completed – 30; Man-hours GPS work – 1027; Man- hours Mapping – 459, Man-hours training – 97.
Programmatic		Review and approve any contract invoices and/or deliverables within 30 days.	Received invoices covers mapping work on 30 systems. Invoice generally review and payment authorized within 7 days of receipt of final invoice.

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY14
Environmental	To educate/reassure local PWS customers on the quality/safety of their drinking water.	702 water systems distributed CCRs to local PWS customers. 94% met reporting requirements on or before schedule. The remaining 6% met the requirements within 3 months, with the exception of one (1) system.
Environmental	Improved distribution water quality provided to the public through cross-connection control program.	ADH performed cross-connection surveys and offered technical assistance at approximately 73 PWSs.
Environmental	Improve water quality through treatment plant optimization efforts.	Contractors (2 individuals) participated in 3 CPEs on the Financial and the Technical side to optimize plant efforts.
Environmental	99% of Community and Non-Transient Non-Community water systems will have licensed operators. 50 courses were offered to operators and operators in training. ADH staff presented sessions at 2 3-day conferences. In addition, classes were held on fluoride training, CCR's, and cross-connection control. TA providers went on-site to help with peer-to-peer operator training when necessary.	98% licensed. Conducted 56 exam sessions, processed 546 exams, issued 178 Operator in Training certificates, issued 253 new licenses and tracked the renewal of 2522 operators holding 3416 active water operator licenses or Operator in Training Certificates.

"The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

This set-aside also contributed toward the Agency's state courier system to assist water systems in submitting samples to the laboratory. This service provides assistance to water systems by providing a reliable overnight delivery service at no cost to them. The systems also benefit by having significant reductions in the number of samples rejected due to being too old or being lost in transit. The systems do not have to coordinate individual arrangements with other means of sample delivery.

This set-aside also funded the first round of cryptosporidium monitoring and analysis for small systems as required by the LT2ESWTR. Seven water systems were monitored with a total of 30 samples. Six systems completed 1st Round monitoring.

ATTACHMENT



Overall Summary of Travel Expenditures
ADH Expenditure Summary
Position Funding Summary
ADH Set-Aside Summary
Salary Distribution

ADH Expenditure Summary

GRANT ID			Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14	Total Expenses
FS986001-12	PWSS	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DWSRF09		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
FHD 2105	ASRC0009	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
610352		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRD0009	Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP	Salaries	\$ 28,154.98	\$ 25,633.17	\$ 23,411.39	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 77,199.54
		Fringe	\$ 9,622.99	\$ 8,644.80	\$ 8,144.27	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26,412.06
	ASRB0009	Travel - 09	\$ -	\$ 525.00	\$ 290.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 815.00
		Supplies	\$ 1,282.22	\$ 49,126.39	\$ 18,576.68	\$ 22,785.06	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 91,770.35
		Travel - 02	\$ 914.26	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 914.26
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ 4,951.43	\$ 4,490.41	\$ 4,133.79	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,575.63
		Subtotal	\$ 44,925.88	\$ 88,419.77	\$ 54,556.13	\$ 22,785.06	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 210,686.84
	CD	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRF0009	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		TOTAL	\$ 44,925.88	\$ 88,419.77	\$ 54,556.13	\$ 22,785.06	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 210,686.84

FS986001-13	PWSS	Salaries	\$ 49,917.99	\$ 55,936.29	\$ 53,148.28	\$ 51,051.88	\$ 78,672.49	\$ 53,248.27	\$ 53,848.31	\$ 53,348.31	\$ 53,648.34	\$ 52,448.27	\$ 80,956.89	\$ 71,333.41	\$ 707,558.73
DWSRF10		Fringe	\$ 17,395.49	\$ 19,244.92	\$ 18,635.84	\$ 20,986.66	\$ 24,283.49	\$ 18,863.41	\$ 22,363.10	\$ 18,883.61	\$ 19,219.44	\$ 21,640.38	\$ 25,102.10	\$ 26,655.28	\$ 253,273.72
FHD 2105	ASRC0010	Travel - 09	\$ -	\$ 1,677.20	\$ 725.00	\$ -	\$ 1,952.16	\$ -	\$ 839.00	\$ -	\$ 1,025.36	\$ -	\$ 1,395.00	\$ -	\$ 7,613.72
610352		Supplies	\$ 163.56	\$ 1,989.83	\$ 3,602.31	\$ 3,139.42	\$ 1,372.93	\$ 7,724.42	\$ 1,423.40	\$ 1,712.98	\$ 87.31	\$ 9,614.89	\$ 3,866.05	\$ 5,199.07	\$ 39,896.17
		Travel - 02	\$ 77.07	\$ 572.06	\$ 805.73	\$ 683.99	\$ 580.14	\$ 382.19	\$ 126.20	\$ -	\$ 113.07	\$ 118.34	\$ 153.00	\$ 393.13	\$ 4,004.92
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25,987.98	\$ 1,652.27	\$ 148,121.48	\$ 175,761.73
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ 8,818.07	\$ 9,848.74	\$ 9,403.72	\$ 9,437.05	\$ 13,487.23	\$ 9,446.63	\$ 9,983.69	\$ 9,462.38	\$ 9,545.68	\$ 9,705.61	\$ 13,893.73	\$ 12,836.52	\$ 125,869.05
		Subtotal	\$ 76,372.18	\$ 89,269.04	\$ 86,320.88	\$ 85,299.00	\$ 120,348.44	\$ 89,664.92	\$ 88,583.70	\$ 83,407.28	\$ 83,639.20	\$ 119,515.47	\$ 127,019.04	\$ 264,538.89	\$ 1,313,978.04
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRD0010	Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP	Salaries	\$ -	\$ -	\$ -	\$ 24,453.55	\$ 34,074.89	\$ 23,861.41	\$ 23,411.44	\$ 23,411.38	\$ 24,011.42	\$ 23,411.33	\$ 35,717.07	\$ 33,081.53	\$ 245,434.02
		Fringe	\$ -	\$ -	\$ -	\$ 10,005.11	\$ 10,544.89	\$ 8,244.93	\$ 9,659.46	\$ 8,143.56	\$ 8,419.58	\$ 9,446.83	\$ 10,915.50	\$ 12,018.91	\$ 87,398.77
	ASRB0010	Travel - 09	\$ -	\$ -	\$ -	\$ 128.76	\$ 300.00	\$ 1,134.46	\$ 893.00	\$ 340.00	\$ 1,789.95	\$ -	\$ 500.00	\$ -	\$ 5,086.17
		Supplies	\$ -	\$ -	\$ -	\$ 5,984.83	\$ 1,584.87	\$ 4,668.75	\$ 27,720.75	\$ 3,101.49	\$ 6,840.27	\$ 27,961.74	\$ 2,516.07	\$ 1,007.07	\$ 81,385.84
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,354.18	\$ -	\$ -	\$ -	\$ 25,987.98	\$ 1,652.27	\$ -	\$ 32,994.43
		Contractual	\$ -	\$ -	\$ -	\$ 13,714.08	\$ -	\$ -	\$ -	\$ 11,188.65	\$ -	\$ -	\$ -	\$ -	\$ 24,902.73
		Indirect	\$ -	\$ -	\$ -	\$ 4,514.08	\$ 5,845.19	\$ 4,205.93	\$ 4,332.29	\$ 4,133.70	\$ 4,248.46	\$ 4,304.42	\$ 6,108.87	\$ 5,908.16	\$ 43,601.10
		Subtotal	\$ -	\$ -	\$ -	\$ 58,800.41	\$ 52,349.84	\$ 47,469.66	\$ 66,016.94	\$ 50,318.78	\$ 45,309.68	\$ 91,112.30	\$ 57,409.78	\$ 52,015.67	\$ 520,803.06
	CD	Salaries	\$ 56,739.51	\$ 61,202.03	\$ 56,988.69	\$ 57,614.98	\$ 85,364.12	\$ 57,923.53	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 375,832.86
		Fringe	\$ 18,699.74	\$ 19,865.18	\$ 19,542.89	\$ 22,902.81	\$ 25,935.78	\$ 19,753.64	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 126,700.04
	ASRF0010	Travel - 09	\$ 1,161.93	\$ 2,172.59	\$ 1,802.00	\$ -	\$ 688.00	\$ 383.60	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,208.12
		Supplies	\$ 51,876.40	\$ 34,894.43	\$ 20,061.88	\$ 59,074.36	\$ 21,120.77	\$ 14,557.60	\$ 4,248.95	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 205,834.39
		Travel - 02	\$ 2,118.73	\$ 1,768.41	\$ 958.46	\$ 3,526.02	\$ 3,243.42	\$ 1,877.90	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,492.94
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ 9,082.99	\$ -	\$ -	\$ 6,553.25	\$ 25,251.45	\$ 172.45	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 41,060.14
		Indirect	\$ 9,882.54	\$ 10,619.80	\$ 10,025.64	\$ 10,547.83	\$ 14,580.29	\$ 10,175.71	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 65,831.81
		Subtotal	\$ 149,561.84	\$ 130,522.44	\$ 109,379.56	\$ 160,219.25	\$ 176,183.83	\$ 104,844.43	\$ 4,248.95	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 834,960.30
		TOTAL	\$ 225,934.02	\$ 219,791.48	\$ 195,700.44	\$ 304,318.66	\$ 348,882.11	\$ 241,979.01	\$ 158,849.59	\$ 133,726.06	\$ 128,948.88	\$ 210,627.77	\$ 184,428.82	\$ 316,554.56	\$ 2,669,741.40

FS986001-14	PWSS	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DWSRF11		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
610352	ASRC0011	Travel- 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel- 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRD011	Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRB011	Travel- 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies**	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel- 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	CD	Salaries		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 58,115.53	\$ 57,378.59	\$ 57,266.51	\$ 55,866.16	\$ 84,368.32	\$ 80,957.38	\$ 393,952.49
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 23,350.30	\$ 19,631.08	\$ 19,894.30	\$ 22,256.36	\$ 25,499.47	\$ 28,782.91	\$ 139,414.42
	ASRF0011	Travel- 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,638.01	\$ 1,941.00	\$ -	\$ 2,214.60	\$ 1,576.00	\$ 7,369.61
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 17,989.21	\$ 36,726.02	\$ 31,495.86	\$ 86,508.64	\$ 23,237.20	\$ 53,072.23	\$ 249,029.16
		Travel- 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,327.59	\$ 4,270.35	\$ 3,806.91	\$ 1,146.95	\$ 4,889.27	\$ 1,327.99	\$ 16,769.06
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 46,387.61	\$ 2,339.53	\$ 31,607.10	\$ 26,721.24	\$ -	\$ 24,087.93	\$ 131,143.41
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,672.02	\$ 10,088.27	\$ 10,108.07	\$ 10,234.05	\$ 14,392.68	\$ 14,375.98	\$ 69,871.07
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 157,842.26	\$ 132,071.85	\$ 156,119.75	\$ 202,733.40	\$ 154,601.54	\$ 204,180.42	\$ 1,007,549.22
		TOTAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 157,842.26	\$ 132,071.85	\$ 156,119.75	\$ 202,733.40	\$ 154,601.54	\$ 204,180.42	\$ 1,007,549.22

FS986001-15	PWSS	Salaries		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DWSRF12	ASRC0012	Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
610352		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 51,840.92	\$ 51,840.92
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 51,840.92	\$ 51,840.92
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRD0012	Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRB0012	Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	CD	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	ASRF0012	Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		TOTAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 51,840.92	\$ 51,840.92
		TOTAL	\$ 270,859.90	\$ 308,211.25	\$ 250,256.57	\$ 327,103.72	\$ 348,882.11	\$ 241,979.01	\$ 316,691.85	\$ 265,797.91	\$ 285,068.63	\$ 413,361.17	\$339,030.36	\$572,575.90	\$3,939,818.38

Position Effort - Funding Summary

				Effort			Funding								
				SRF Set-Asides			Federal						State		
Technical Staff							DWSRF Set-Asides			Other Federal Grants					
Position #	Position Title	Total FTE	Salary (Total)	ASRC Program Administration (FTE)	ASRF Capacity Development (FTE)	ASRB WHP (FTE)	ASRC SPM (FTE)	ASRF Cap Dev (FTE)	ASRB WHP (FTE)	AWPH ADEQ - WHP (FTE)	AHLS Security (FTE)	AWAT PWSS (FTE)	ASGR General Revenue (FTE)	APWF PWS Service Fee (FTE)	AWHF Licensing Fees (FTE)
Technical Staff															
22105223	Environmental Health Specialist	1	\$38,773	0.03	0.97			1.00							
22106108	Engineer Supervisor	1	\$60,476	0.83	0.16	0.01		1.00							
22105470	Environmental Health Specialist	1	\$38,674	0.66	0.33	0.01						1.00			
22105425	ADH Environmental Supv	1	\$43,772	0.35		0.65			1.00						
22105843	Environmental Health Specialist	0.95	\$32,578	0.82	0.13		0.95								
22105532	Geologist	1	\$49,674	0.02		0.98			1.00						
22104604	Engineer Supervisor	1	\$57,634	0.08	0.90	0.02						1.00			
22107010	Engineer, P.E.	1	\$50,618	0.29	0.04	0.67	1.00								
22109829	Environmental Health Specialist	1	\$33,552	0.03		0.97			1.00						
22076783	Engineer, P.E.	1	\$78,893	0.96	0.04			1.00							
22106127	Engineer Supervisor	1	\$88,147	0.60	0.40			0.50						0.50	
22104790	ADH Environmental Supv	1	\$61,165	0.87	0.13									1.00	
22104518	Environmental Health Specialist	1	\$33,881	0.87	0.11	0.01		1.00							
22106157	Engineer Technician	1	\$34,676	0.90	0.10			0.14						0.86	
22010663	Engineer, P.E.	1	\$63,547	0.33	0.23	0.45	1.00								
22106177	Environmental Health Specialist	1	\$52,365	0.68	0.32			0.89	0.11						
22104664	Engineer Technician	1	\$33,826	0.89	0.11			0.13						0.87	
22105879	ADH Chief Engineer	1	\$91,961	0.61	0.18	0.21						0.41		0.59	
22105701	Engineer Supervisor	1	\$64,880	0.67	0.33		1.00								
22104936	Environmental Health Specialist	1	\$38,227	0.77	0.07	0.15							0.83	0.17	
22076817	Engineer Technician	1	\$31,159	0.92	0.08			0.13						0.87	
22104997	Engineer, P.E.	1	\$47,210	0.64	0.24	0.12		1.00							
22109218	Engineer, P.E.	1	\$60,757	0.81	0.18							1.00			
22105676	Engineer	1	\$41,598	0.33	0.67			1.00							
22106388	Engineer	1	\$41,183	0.90	0.10		1.00								
22104914	Engineer	1	\$41,183	0.83	0.17			1.00							
22076820	Engineer Technician (summer inte	0.15	\$5,181	0.13	0.02	0.01		0.15							
22106395	Engineer, P.E.	1	\$44,041	0.85	0.15			1.00							
22106202	Environmental Health Specialist	1	\$36,204	0.12	0.03	0.85			1.00						
22105207	ADH Chief Engineer	1	\$80,579	0.81	0.14	0.04								1.00	
22106665	Environmental Health Specialist	1	\$33,552	0.61	0.35	0.03	1.00								
22076785	Engineer	1	\$40,783	0.69	0.31			1.00							
22109519	Engineer Supervisor	1	\$54,818	0.18	0.68	0.14								1.00	

22105906	Engineer, P.E.	1	\$52,737	0.35	0.63	0.02	1.00									
22076920	Engineer Technician (summer inte	0.12	\$4,820	0.09	0.03			0.12								
22105750	Environmental Health Specialist	1	\$51,085	0.64	0.20	0.16									1.00	
22106838	Environmental Health Specialist	1	\$39,983	0.95		0.04									1.00	
22106309	Environmental Health Specialist	1	\$56,540	0.32	0.42	0.26	1.00									
22110689	Environmental Health Specialist	1	\$34,152	0.54	0.10	0.37	1.00									
22107118	ADH Environmental Supv	1	\$74,416		1.00			1.00								
22106817	Environmental Health Specialist	1	\$63,015	0.53	0.05	0.42			0.50						0.50	
22105615	Environmental Health Specialist	1	\$33,223		1.00			1.00								
22106024	Engineer, P.E.	1	\$52,500	0.32	0.66	0.02									1.00	
22110513	Engineer Technician	1	\$32,267	0.89	0.11			0.15							0.85	
22106823	Geology Supervisor	1	\$62,620	0.05		0.95			0.50	0.50						
22105580	Environmental Health Specialist	1	\$40,648	0.92	0.08								1.00			
22076820	Engineer Technician (summer inte	0.12	\$2,806		0.12			0.12								
22105489	Environmental Health Specialist	1	\$37,476	0.64	0.35	0.01							0.58		0.42	
22105224	ADH Director Engineering	1	\$96,865	0.86	0.13								0.13		0.87	
22109951	Environmental Health Specialist	1	\$35,077	0.67	0.33		1.00									
22105003	Engineer Supervisor	1	\$63,288	0.37	0.16	0.47							1.00			
22106342	Engineer, P.E.	1	\$52,055	0.62	0.33	0.05							0.59		0.41	
22105585	Engineer Supervisor	1	\$85,555	0.84	0.08	0.08								1.00		
22111570	Environmental Health Specialist	0.83	\$34,509	0.71	0.05	0.08							0.83			
22105080	Environmental Health Specialist	1	\$39,507	0.90	0.10								1.00			
22110035	Engineer	1	\$54,162	0.87	0.12	0.01							1.00			
22105008	Engineer Technician	1	\$34,410	0.88	0.12			0.15							0.85	
22111755	Environmental Health Specialist	1	\$39,798	0.94	0.06		1.00									
22106189	Engineer, P.E.	1	\$50,381	0.78	0.15	0.07		1.00								
	TOTALS	56.17	\$2,829,461	33.76	14.04	8.37	10.95	14.48	5.11	0.50	0.00	9.54	1.83	13.76	0.00	
	%			60.10%	25.00%	14.89%	19.49%	25.78%	9.10%	0.89%	0.00%	16.98%	3.26%	24.50%	0.00%	
				Effort			Funding									
							Federal						State			
				SRF Set-Asides			DWSRF Set-Asides			Other Federal Grants						
Position #	Position Title	Total FTE	Salary (Total)	ASRC Program Administration (FTE)	ASRF Capacity Development (FTE)	ASRB WHP (FTE)	ASRC SPM (FTE)	ASRF Cap Dev (FTE)	ASRB WHP (FTE)	AWPH ADEQ - WHP (FTE)	AHLS Security (FTE)	AWAT PWSS (FTE)	ASGR General Revenue (FTE)	APWF PWS Service Fee (FTE)	AWHF Licensing Fees (FTE)	
IT Staff																
22104509	Engineer Technician	1	\$35,010	0.60	0.25	0.15	1.00									
22105749	Computer Support Coordinator	1	\$57,539	0.60	0.25	0.15								1.00		
22107058	Geologist	1	\$52,372			1.00			1.00							
22109788	Software Support Analyst	1	\$73,631	0.60	0.25	0.15	1.00									
22110274	Computer Support Specialist	1	\$39,215	0.60	0.25	0.15								1.00		
22076818	Engineer Technician	1	\$32,759	0.60	0.25	0.15						0.73		0.27		
22111469	Software Support Analyst	1	\$52,377	0.60	0.25	0.15						0.86		0.14		
	TOTALS	7	\$342,904	3.60	1.50	1.90	2.00	0.00	1.00	0.00	0.00	1.59	0.00	2.41	0.00	

Administrative Staff																	
22104617	Administrative Specialist II	1	\$26,560	0.59	0.25	0.16									1.00		
22104661	Budget Analyst	1	\$54,659	0.59	0.25	0.16	1.00										
22105622	Administrative Specialist II (AJ)	0.13	\$2,738	0.08	0.03	0.02	0.10					0.03					
22105926	Administrative Specialist III	1	\$27,687	0.59	0.25	0.16		1.00									
22105953	Administrative Specialist III	1	\$32,569		1.00											1.00	
22105960	Administrative Analyst	1	\$39,155	0.59	0.25	0.16									1.00		
22105983	Administrative Specialist II (LB)	0.36	\$10,156	0.21	0.09	0.06								0.36			
22105983	Administrative Specialist II (NM)	0.44	\$12,388	0.26	0.11	0.07	0.22					0.22					
22106262	Administrative Specialist II	1	\$38,477	0.59	0.25	0.16			1.00								
22106729	Administrative Specialist II (LS)	0.62	\$13,646	0.37	0.16	0.10									0.62		
22106978	Administrative Specialist II (AB)	0.63	\$13,988	0.37	0.16	0.10	0.04					0.59					
22106978	Administrative Specialist II (TL)	0.26	\$1,140	0.15	0.07	0.04									0.26		
22111151	Administrative Specialist III	1	\$30,364	0.59	0.25	0.16		1.00									
22111547	Human Resources Program Repres	1	\$37,286	0.59	0.25	0.16	1.00										
TOTALS		10.44	\$340,813	5.57	3.36	1.51	2.36	2.00	1.00			0.84			3.24	1.00	
Engineering Grand Totals		73.61	\$3,513,177	42.93	18.90	11.78	15.31	16.48	7.11	0.50	0.00	11.97	1.83	19.41	1.00		
ADH Laboratory Staff Support by Drinking Water Program																	
				Effort			Funding										
				SRF Set-Asides			Federal						State				
							DWSRF Set-Asides			Other Federal Grants							
Position #	Position Title	Total FTE	Salary (Total)	ASRC Program Administration (FTE)	ASRF Capacity Development (FTE)	ASRB WHP (FTE)	ASRC SPM (FTE)	ASRF Cap Dev (FTE)	ASRB WHP (FTE)	AWPH ADEQ - WHP (FTE)	AHLS Security (FTE)	AWAT PWSS (FTE)	ASGR General Revenue (FTE)	APWF PWS Service Fee (FTE)	AWHF Licensing Fees (FTE)		
22076816	Senior Chemist	1	\$63,050										1.00				
22104646	Senior Microbiologist	1	\$56,380											1.00			
22104860	Chemist	1	\$45,147											1.00			
22105048	Chemist	1	\$45,433											1.00			
22105123	Senior Microbiologist	1	\$62,950											1.00			
22105147	Warehouse Specialist	1	\$25,113											1.00			
22105255	Chemist	1	\$57,303											1.00			
22105299	Senior Chemist	1	\$62,850											1.00			
22105303	Senior Chemist	1	\$62,850											1.00			
22105329	Senior Microbiologist	1	\$63,050											1.00			
22105336	Chemist Supervisor	1	\$74,413		0.13			0.08						0.92			
22105362	Chemist	1	\$41,384											1.00			
22105402	Chemist Supervisor	1	\$74,513		0.01			0.02						0.98			
22105422	Senior Chemist	1	\$62,850		0.19			0.19						0.81			
22105429	Laboratory Technician	0.88	\$21,948										0.53	0.35			
22105462	Senior Chemist	1	\$62,950										1.00				
22105501	Senior Microbiologist	1	\$63,050										1.00				
22105874	Senior Chemist	1	\$62,715										1.00				
22106040	Senior Chemist	1	\$62,950		0.22			0.19						0.81			
22106087	Senior Chemist	1	\$62,950										1.00				
22106266	Senior Chemist	1	\$63,050											1.00			
22106311	Microbiologist Supervisor	1	\$74,513										1.00				
22106336	Laboratory Technician	1	\$27,011		0.37			0.03						0.97			
22106449	Information Systems Coordinator	1	\$35,906		0.01									1.00			
22106474	Senior Chemist	1	\$62,950											1.00			
22106479	Laboratory Technician	1	\$27,011		0.01			0.02						0.98			
22106646	Laboratory Technician	1	\$34,580		0.05			0.02						0.98			
22106716	Laboratory Technician	0.09	\$1,675											0.09			
22106716	Laboratory Technician	0.72	\$35,683											0.72			
22106766	Microbiologist	1	\$40,556											1.00			
22107000	Senior Chemist	1	\$62,950											1.00			
22107017	Senior Chemist	1	\$60,023		0.17								1.00				
22107121	Senior Chemist	1	\$62,950										1.00				
22109380	Chemist Supervisor	1	\$74,413										1.00				
22109510	Chemist	1	\$62,815											1.00			
22110210	Warehouse Specialist	1	\$24,560										1.00				
22110868	Chemist	1	\$60,023											1.00			
TOTALS		35.70	\$1,944,524		1.16			0.55					10.53	24.61			

ADH Set-Aside Summary

SRF Grant Year	Set-Aside	% of Grant	Total Available	Total Reserved	Total Available to Budget	Total Expended To Date	Remaining Balance	Grant Start Date	Projected Expense Begin Date	Projected Expense End Date	Grant End Date
98600110 2007*	SPM	10%	\$ 1,033,300	\$ -	\$ 1,033,300	\$ 1,033,300	\$ -	9/10/2008	Jul-10	Sep-11	9/30/2012
	TA	2%	\$ 206,660	\$ -	\$ 200,576	\$ 194,492	\$ 6,084		Oct-10	Sep-12	
	WHP	5%	\$ 619,980	\$ -	\$ 516,650	\$ 516,650	\$ -		Jun-11	Jun-12	
	Cap Dev	10%	\$ 929,970	\$ -	\$ 1,033,300	\$ 1,033,300	\$ -		Jul-10	Jul-11	
	Total	27%	\$ 2,789,910	\$ -	\$ 2,783,826	\$ 2,777,742	\$ 6,084		Jul-10	Sep-12	
ARRA 09	SPM	10%	\$ 2,448,500	\$ 2,448,500	\$ -	\$ -	\$ -	2/27/2009	N/A	N/A	9/30/2011
	TA	2%	\$ 489,700	\$ 489,700	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	0%	\$ -	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Cap Dev	0%	\$ -	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Total	12%	\$ 2,938,200	\$ 2,938,200	\$ -	\$ -	\$ -		N/A	N/A	
98600111 2008*^	SPM	10%	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -	10/1/2009	Sep-11	Sep-12	9/30/2013
	TA	2%	\$ 204,580	\$ 204,580	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 613,740	\$ -	\$ 511,450	\$ 511,450	\$ -		Jun-12	Apr-13	
	Cap Dev	10%	\$ 920,610	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -		Jun-11	Apr-12	
	Total	27%	\$ 2,761,830	\$ 204,580	\$ 2,557,250	\$ 2,557,250	\$ -		Jun-11	Apr-13	
98600112 2009	SPM	10%	\$ 1,022,900	\$ 400,000	\$ 622,900	\$ 622,900	\$ -	10/1/2010	Sep-12	Apr-13	9/30/2014
	TA	2%	\$ 204,580	\$ 204,580	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 511,450	\$ -	\$ 511,450	\$ 511,450	\$ -		Apr-13	Oct-13	
	Cap Dev	10%	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -		Apr-12	Nov-12	
	Total	27%	\$ 2,761,830	\$ 604,580	\$ 2,157,250	\$ 2,157,250	\$ -		Apr-12	Oct-13	
98600113 2010^	SPM	10%	\$ 2,053,900	\$ 500,000	\$ 1,553,900	\$ 1,553,900	\$ -	1/1/2011	Apr-13	Jun-14	12/31/2014
	TA	2%	\$ 410,780	\$ 410,780	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 1,026,950	\$ -	\$ 1,026,950	\$ 520,803	\$ 506,147		Oct-13	Dec-14	
	Cap Dev	10%	\$ 2,053,900	\$ -	\$ 2,053,900	\$ 2,053,900	\$ -		Nov-12	Jan-14	
	Total	27%	\$ 5,545,530	\$ 910,780	\$ 4,634,750	\$ 4,128,603	\$ 506,147		Nov-12	Dec-14	
98600114 2011	SPM	10%	\$ 1,425,200	\$ 1,425,200	\$ -	\$ -	\$ -	3/5/2012	N/A	N/A	9/30/2015
	TA	2%	\$ 285,040	\$ 285,040	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 712,600	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Cap Dev	10%	\$ 1,425,200	\$ -	\$ 1,425,200	\$ 1,007,549	\$ 417,651		Jan-14	Sep-14	
	Total	27%	\$ 3,848,040	\$ 1,710,240	\$ 1,425,200	\$ 1,007,549	\$ 417,651		Jan-14	Aug-14	
98600115 2012	SPM	10%	\$ 1,358,200	\$ 850,000	\$ 508,200	\$ 51,841	\$ 456,359	5/30/2012	Jun-14	Nov-14	9/30/2016
	TA	2%	\$ 271,640	\$ 271,640	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 679,100	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Cap Dev	10%	\$ 1,358,200	\$ -	\$ 1,358,200	\$ -	\$ 1,358,200		Sep-14	May-15	
	Total	27%	\$ 3,667,140	\$ 1,121,640	\$ 1,866,400	\$ 51,841	\$ 1,814,559		Jun-14	Jul-15	
98600116 2013	SPM	10%	\$ 1,274,300	\$ -	\$ 1,274,300	\$ -	\$ 1,274,300	10/1/2013	Nov-14	Oct-15	9/30/2017
	TA	2%	\$ 254,860	\$ 254,860	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 637,150	\$ -	\$ 637,150	\$ -	\$ 637,150		Jan-15	Dec-15	
	Cap Dev	10%	\$ 1,274,300	\$ -	\$ 1,274,300	\$ -	\$ 1,274,300		May-15	Jan-16	
	Total	27%	\$ 3,440,610	\$ 254,860	\$ 3,185,750	\$ -	\$ 3,185,750		Nov-14	Jan-16	
Current Balance Totals**	SPM		\$ 6,015,500	\$ 5,623,700	\$ 1,730,659	\$ 4,284,841	\$ 1,730,659				
	TA		\$ 206,660	\$ 2,121,180	\$ 6,084	\$ 194,492	\$ -				
	WHP		\$ 3,409,270	\$ -	\$ 1,143,297	\$ 2,060,353	\$ 1,143,297				
	Cap Dev		\$ 8,985,080	\$ -	\$ 3,050,151	\$ 6,140,549	\$ 3,050,151				
	Total		\$ 18,616,510	\$ 7,744,880	\$ 5,930,191	\$ 12,680,235	\$ 5,924,107				
2014 Estimated	SPM	10%	\$ 1,353,400	\$ -	\$ 1,353,400	\$ -	\$ 1,353,400	10/1/2014			9/30/2018
	TA	2%	\$ 270,680	\$ 270,680	\$ -	\$ -	\$ -				
	WHP	5%	\$ 676,700	\$ -	\$ 676,700	\$ -	\$ 676,700				
	Cap Dev	10%	\$ 1,353,400	\$ -	\$ 1,353,400	\$ -	\$ 1,353,400				
	Total	27%	\$ 3,654,180	\$ 270,680	\$ 3,383,500	\$ -	\$ 3,383,500				
Proposed Balance Totals**	SPM		\$ 7,368,900	\$ 5,623,700	\$ 3,084,059	\$ 4,284,841					
	TA		\$ 206,660	\$ 2,391,860	\$ 6,084	\$ 194,492					
	WHP		\$ 4,085,970	\$ -	\$ 1,819,997	\$ 2,060,353					
	Cap Dev		\$ 10,338,480	\$ -	\$ 4,403,551	\$ 6,140,549					
	Total		\$ 22,000,010	\$ 8,015,560	\$ 9,313,691	\$ 12,680,235					

* 2007 and 2008 workplans originally budgeted with 6% WHP and 9% Cap Dev. Revised workplans submitted to change to 5% WHP and 10% Cap Dev.

^ 2008 and 2010 workplans originally budgeted without full banking of TA set-aside

** Totals are based on expenditures through 6/30/2014

ATTACHMENT

B

Summary of Travel Reimbursements State Characters 02, 09

Internal Order ASRB0010 = Wellhead Protection (FCG 10)

Internal Order ASRC0010 = State Program Management (FCG 10)

Internal Order ASRF0010 = Capacity Development (FCG 10)

Internal Order ASRF0011 = Capacity Development (FCG 11)

Summary of Travel Reimbursements					
POSITION #	NAME	CH 02	CH 09	INTERNAL ORDER CODE	DESCRIPTION
22105676	AARON HILBORN	\$489.46		ASRF0010	CPE
22105676	AARON HILBORN		\$430.18	ASRF0010	AWOP QUARTERLY MEETING
22105676	AARON HILBORN	\$126.36		ASRF0010	PERFORMANCE BASED TRAINING
22105676	AARON HILBORN	\$498.88		ASRF0010	CPE
22105676	AARON HILBORN	\$262.96		ASRF0010	FLUORIDE SCHOOL
22105676	AARON HILBORN	\$364.75		ASRF0011	AWOP QUARTERLY MEETING
22105615	ALICIA PRIOLEAU	\$133.67		ASRF0010	ADH COMPLIANCE COURSE
22105615	ALICIA PRIOLEAU		\$256.86	ASRF0011	AWW & WEA CONFERENCE
22106388	ASHLEY HOBBS	\$10.03		ASRC0010	DISTRICT MEETING
22106388	ASHLEY HOBBS		\$483.60	ASRC0010	AWOP NATIONAL MEETING
22106388	ASHLEY HOBBS	\$10.03		ASRF0010	DISTRICT MEETING
22106388	ASHLEY HOBBS	\$10.28		ASRF0010	DISTRICT MEETING
22106395	AUSTIN LEE	\$99.31		ASRF0010	PBT
22106395	AUSTIN LEE	\$122.38		ASRF0010	JAR TESTING AT WTP
22106395	AUSTIN LEE	\$514.51		ASRF0010	CPE
22106395	AUSTIN LEE	\$126.28		ASRF0010	JAR TESTING
22106395	AUSTIN LEE		\$445.12	ASRF0010	AWOP MEETING
22106395	AUSTIN LEE	\$530.96		ASRF0010	CPE
22106395	AUSTIN LEE	\$15.63		ASRF0010	AWW & WEA CONFERENCE
22106395	AUSTIN LEE	\$386.87		ASRF0010	AWOP QUARTERLY MEETING
22106395	AUSTIN LEE	\$583.22		ASRF0011	CPE
22076820	AUSTIN SITES	83.01		ASRF0010	ECC - COMMUNITY, ATKINS, DARDANELLE & TRI COUNTY
22076820	AUSTIN SITES	71.85		ASRF0010	ECC
22105843	BRADLEY BODMAN	\$251.15		ASRC0010	BACT/SAN SURVEY/DISTRICT MEETING
22105843	BRADLEY BODMAN	\$122.60		ASRC0010	SANITARY SURVEY/WTR OP EXAM
22105843	BRADLEY BODMAN	\$124.37		ASRC0010	SANITARY SURVEY-SWBCWA
22105843	BRADLEY BODMAN	\$111.20		ASRC0010	SANITARY SURVEY & DISTRICT MEETING
22105843	BRADLEY BODMAN	\$117.10		ASRC0010	SANITARY SURVEY & EXAM PROCTOR
22105843	BRADLEY BODMAN	\$113.07		ASRC0010	SANITARY SURVEYS
22105843	BRADLEY BODMAN	\$137.11		ASRC0010	SANITARY SURVEY
22105843	BRADLEY BODMAN	\$118.02		ASRF0010	SANITARY SURVEY
22104914	CHARLES HOLT	\$11.68		ASRF0010	EQUIPMENT CALIBRATION CHECK
22104914	CHARLES HOLT	\$231.94		ASRC0010	SANITARY SURVEY
22104914	CHARLES HOLT	\$160.10		ASRC0010	DISTRICT MEETING AND SANITARY SURVEY
22104914	CHARLES HOLT	\$117.18		ASRF0010	SANITARY SURVEY
22104914	CHARLES HOLT	\$0.00		ASRF0010	DISTRICT MEETING
22104914	CHARLES HOLT	\$103.98		ASRF0010	OPERATOR TRAINING
22104914	CHARLES HOLT	\$131.71		ASRF0010	EXAM PROCTOR
22104914	CHARLES HOLT	\$125.78		ASRF0010	DISTRIBUTION INSPECTION & DISTRICT MTG
22104914	CHARLES HOLT	\$119.90		ASRF0010	SANITARY SURVEY
22104914	CHARLES HOLT	\$122.23		ASRF0010	TRAINING SESSION
22104914	CHARLES HOLT	\$135.89		ASRF0010	CHLORINE RESIDUAL SAMPLING & DISTRICT MTG
22104914	CHARLES HOLT	\$350.41		ASRF0010	SANITARY SURVEY & DISTRICT MEETING
22104914	CHARLES HOLT	\$116.90		ASRF0010	EXAM PROCTOR
22104914	CHARLES HOLT	\$282.80		ASRF0010	FLUORIDE SCHOOL
22104914	CHARLES HOLT	\$259.59		ASRF0011	CHLORINE RESIDUAL SAMPLING & DISTRICT MTG
22104914	CHARLES HOLT	\$132.49		ASRF0011	SANITARY SURVEY & MEETING

22109951	CHRIS TALLEY	11.05		ASRC0010	DISTRICT MEETING
22109951	CHRIS TALLEY	13.46		ASRC0010	DISTRICT MEETING
22109951	CHRIS TALLEY	129.84		ASRF0010	WATER LICENSING EXAMINATION
22109951	CHRIS TALLEY	124.67		ASRF0010	WATER LICENSING EXAMINATION
22106024	CHRISTOPHER ROBERTS		\$590.25	ASRF0010	AWOP NATIONAL MEETING
22106024	CHRISTOPHER ROBERTS	\$104.54		ASRF0010	WATER DISTRICT TRAINING
22106024	CHRISTOPHER ROBERTS	\$523.60		ASRF0010	COMP. PERFORMANCE EVALUATION
22106024	CHRISTOPHER ROBERTS	\$500.99		ASRF0010	CPE
22106024	CHRISTOPHER ROBERTS	\$146.68		ASRF0010	LT2 CRYPTO TRAINING
22106024	CHRISTOPHER ROBERTS	\$588.55		ASRF0011	CPE
22106024	CHRISTOPHER ROBERTS	\$16.96		ASRF0011	DISTRICT MEETING
22110513	CLAY ROBERTSON	\$134.64		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	\$4.12		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	\$99.77		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	\$2.16		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	\$110.29		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	\$147.48		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	101.33		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	126.15		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	130.07		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	19.28		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	147.82		ASRF0010	UCMR 3 SAMPLING
22110513	CLAY ROBERTSON	\$158.93		ASRF0011	UCMR 3 SAMPLING
22105906	CLYDE LITTLE	\$15.00		ASRC0010	DISTRICT 4 MEETING
22105906	CLYDE LITTLE	\$15.00		ASRC0010	DISTRICT MEETING
22105906	CLYDE LITTLE	\$15.00		ASRC0010	DISTRICT MEETING
22105906	CLYDE LITTLE	\$15.00		ASRC0010	DISTRICT MEETING
22105906	CLYDE LITTLE	\$15.00		ASRF0010	DISTRICT MEETING
22105906	CLYDE LITTLE	\$15.00		ASRF0010	DISTRICT MEETING
22105906	CLYDE LITTLE	\$15.00		ASRF0010	DISTRICT MEETING
22105906	CLYDE LITTLE	\$127.38		ASRF0011	EXAM PROCTOR
22105906	CLYDE LITTLE	\$15.00		ASRF0011	DISTRICT MEETING
22106127	CRAIG CORDER	\$110.40		ASRF0010	DISTRICT MEETING
22106127	CRAIG CORDER	\$100.02		ASRF0010	DBP PBT
22106127	CRAIG CORDER	\$124.62		ASRF0010	TECHNICAL ASSISTANCE
22106127	CRAIG CORDER	\$92.90		ASRF0010	JAR TESTING
22106127	CRAIG CORDER		\$395.21	ASRF0010	AWOP QUARTERLY MEETING
22106127	CRAIG CORDER	\$471.79		ASRF0010	CPE
22106127	CRAIG CORDER	\$354.25		ASRF0010	AWOP QUARTERLY MEETING
22106127	CRAIG CORDER	\$537.75		ASRF0011	CPE
22106127	CRAIG CORDER	\$122.50		ASRF0010	BEAVER PBT SESSION
22106823	DARCIA ROUTH	98.28		ASRB0010	AGFC CAVE TRAINING
22106823	DARCIA ROUTH		\$273.76	ASRB0010	ARWA CONFERENCE
22106823	DARCIA ROUTH		\$725.95	ASRB0010	NGWA CONFERENCE
22106823	DARCIA ROUTH		\$1,929.44	ASRB0010	ASDWA CONFERENCE
22106823	DARCIA ROUTH		\$552.64	ASRB0010	GSA SECTION MTG/DISTRICT MEETING
22109788	DAVID BRADLEY	\$395.52		ASRF0011	ASDWSA/EPA MEETING & CONFERENCE
22105003	DENNIS TAYLOR	11.2		ASRF0010	DISTRICT MEETING
22105003	DENNIS TAYLOR	15		ASRF0010	DISTRICT MEETING
22105003	DENNIS TAYLOR	10.52		ASRF0011	DISTRICT MEETING

22106177	DONALD FIEGEL	\$123.85		ASRF0010	JAR TESTING
22106177	DONALD FIEGEL		\$454.31	ASRF0010	AWOP QUARTERLY MEETING
22106177	DONALD FIEGEL	\$147.20		ASRF0010	LT2 CRYPTO TRAINING
22106177	DONALD FIEGEL	\$613.17		ASRF0011	CPE
22106177	DONALD FIEGEL	\$389.10		ASRF0011	AWOP QUARTERLY MEETING
22104518	DOUG DAWSON	\$135.20		ASRC0010	SANITARY SURVEY
22105532	EVELYN BORBELY-KORT	\$103.76		ASRB0010	AGFC CAVE TRAINING
22105532	EVELYN BORBELY-KORT		\$708.51	ASRB0010	NGWA CONFERENCE
22105532	EVELYN BORBELY-KORT		\$540.87	ASRB0010	GSA SECTION MTG/DISTRICT MEETING
22105580	GERALD SAUNDERS	14.01		ASRF0010	DISTRICT MEETING
22105580	GERALD SAUNDERS	13.51		ASRF0010	DISTRICT MEETING
22105580	GERALD SAUNDERS	12.44		ASRF0011	DISTRICT MEETING
22105701	GLENN GREENWAY	\$233.03		ASRC0010	FLUORIDE SCHOOL
22104936	JACK GREGG	\$12.57		ASRF0010	DISTRICT MEETING
22105224	JEFFERY STONE		\$947.57	ASRC0010	ASDWA CONFERENCE
22076817	JOSEPH HARRIS	\$3.24		ASRF0010	UCMR 3 SAMPLING
22076817	JOSEPH HARRIS	\$9.56		ASRF0010	UCMR 3 SAMPLING
22076817	JOSEPH HARRIS	\$141.72		ASRF0010	UCMR 3 SAMPLING
22076817	JOSEPH HARRIS	\$102.53		ASRF0010	UCMR 3 SAMPLING
22076817	JOSEPH HARRIS	\$115.42		ASRF0010	UCMR 3 SAMPLING
22104997	JOSEPH HARRIS	\$8.60		ASRF0010	UCMR 3 SAMPLING
22076817	JOSEPH HARRIS	\$122.89		ASRF0010	UCMR 3 SAMPLING
22076817	JOSEPH HARRIS	\$203.95		ASRF0010	UCMR 3 SAMPLING
22076817	JOSEPH HARRIS	\$18.56		ASRF0010	UCMR 3 SAMPLING
22104604	KENNETH BOWN		\$2,961.99	ASRF0011	NATIONAL AWWA CONFERENCE
22105207	LANCE JONES		\$1,004.59	ASRC0010	ASDWA CONFERENCE
22105207	LANCE JONES	\$617.94		ASRF0010	EPA 5 STATE ANNUAL MEETING
22110689	LAURA NELSEN	\$16.00		ASRC0010	DISTRICT MEETING
22110689	LAURA NELSEN	\$18.50		ASRC0010	DISTRICT MEETING
22110689	LAURA NELSEN	\$14.00		ASRF0010	DISTRICT MEETING
22110689	LAURA NELSEN	\$20.00		ASRF0010	DISTRICT MEETING
22106342	LINDA TAYLOR	115.93		ASRF0010	JAR TESTING AT WTP
22106342	LINDA TAYLOR	113.7		ASRF0010	JAR TESTING
22106342	LINDA TAYLOR		\$394.52	ASRF0010	EPA REGION 6 AWOP CONFERENCE
22106342	LINDA TAYLOR	470.31		ASRF0010	CPE
22106342	LINDA TAYLOR	15.99		ASRF0010	DISTRICT MEETING
22106342	LINDA TAYLOR	516.19		ASRF0011	CPE
22106342	LINDA TAYLOR	17.96		ASRF0011	DISTRICT MEETING
22106342	LINDA TAYLOR	368.99		ASRF0011	AWOP QUARTERLY MEETING
22105879	LYLE GODFREY		\$1,964.36	ASRC0010	ASDWA CONFERENCE
22105879	LYLE GODFREY	\$555.43		ASRF0011	EPA 5 STATE ANNUAL MEETING
22105879	LYLE GODFREY	\$613.32		ASRF0011	EPA NEEDS SURVEY WORKSHOP
22106663	MAC FAULKNER	\$95.61		ASRC0010	SANITARY SURVEY
22106663	MAC FAULKNER	\$18.00		ASRC0010	AWWA SW SECT CONFERENCE - parking
22106665	MARK JONES	\$101.28		ASRC0010	OPERATOR TECHNICAL ASSISTANCE
22106665	MARK JONES	\$14.03		ASRC0010	PROCTOR EXAM
22106665	MARK JONES	\$98.28		ASRC0010	COMPLAINT INVESTIGATION
22106665	MARK JONES	\$10.61		ASRC0010	PROCTOR EXAM
22106665	MARK JONES	\$82.93		ASRC0010	PROCTOR EXAM
22106665	MARK JONES	\$12.39		ASRF0010	DISTRICT 3 MTG
22106665	MARK JONES	\$104.59		ASRF0010	WATER COMPLIANCE COURSE
22106665	MARK JONES	\$126.03		ASRF0010	PROCTOR EXAM
22106665	MARK JONES	\$81.16		ASRF0010	PROCTOR EXAM

22109218	MARSHALL HATFIELD	\$474.18		ASRF0010	CPE
22105008	MARTHA WEST	2.18		ASRF0010	UCMR 3 SAMPLING
22105008	MARTHA WEST	4.28		ASRF0010	UCMR 3 SAMPLING
22105008	MARTHA WEST	5.88		ASRF0010	UCMR 3 SAMPLING
22105008	MARTHA WEST	6.32		ASRF0010	UCMR 3 SAMPLING
22105008	MARTHA WEST	6.27		ASRF0010	UCMR 3 SAMPLING
22107118	MARTIN NUTT		\$947.59	ASRF0010	AWWMA CONFERENCE
22107118	MARTIN NUTT	\$18.00		ASRF0010	PARKING AT CONFERENCE
22107118	MARTIN NUTT		\$867.01	ASRF0010	ABC ANNUAL CONFERENCE
22107118	MARTIN NUTT	\$234.10		ASRF0010	MUNICIPAL LEAGUE WINTER MEETING
22107118	MARTIN NUTT		\$567.11	ASRF0011	AWW & WEA CONFERENCE
22106157	PHILIP ELLEN	\$5.31		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$8.43		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$10.45		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$5.24		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$4.60		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$3.89		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$4.51		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$5.20		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$15.63		ASRF0010	UCMR 3 SAMPLING
22106157	PHILIP ELLEN	\$12.71		ASRF0010	UCMR 3 SAMPLING
22105585	RAYMOND THOMPSON	13.01		ASRF0010	DISTRICT MEETING
22105585	RAYMOND THOMPSON	14.95		ASRF0010	DISTRICT MEETING
22105585	RAYMOND THOMPSON	13.01		ASRF0011	DISTRICT MEETING
22105585	RAYMOND THOMPSON	11.86		ASRF0011	DISTRICT MEETING
22106108	ROBERT ARTHUR	\$493.52		ASRF0010	CPE
22106108	ROBERT ARTHUR	\$14.19		ASRF0010	DISTRICT MEETING
22106108	ROBERT ARTHUR	\$14.14		ASRF0011	DISTRICT MEETING
22106108	ROBERT ARTHUR	\$372.53		ASRF0011	AWOP QUARTERLY MEETING
22106108	ROBERT ARTHUR		\$2,996.00	ASRF0011	NATIONAL AWWA CONFERENCE
22104997	ROBERT HARRIS	\$18.00		ASRC0010	AWWA SW SECT CONFERENCE - parking
22104997	ROBERT HARRIS	\$15.89		ASRC0010	SANITARY SURVEY
22104997	ROBERT HARRIS	\$12.94		ASRF0010	GASOLINE PURCHASE ONLY REASON ON TR1
22104997	ROBERT HARRIS	\$10.89		ASRF0010	AWW & WEA DISTRICT MTG
22104997	ROBERT HARRIS	\$21.46		ASRF0010	SANITARY SURVEYS
22104997	ROBERT HARRIS	\$131.36		ASRF0010	UCMR 3 SAMPLING
22104997	ROBERT HARRIS	\$271.37		ASRF0010	FLUORIDE SCHOOL
22104997	ROBERT HARRIS	\$10.46		ASRF0010	SANITARY SURVEY
22104997	ROBERT HARRIS	\$106.26		ASRF0011	SANITARY SURVEYS
22104997	ROBERT HARRIS	\$16.89		ASRF0011	SAN. SURVEY, COMPLAINT, DISTRICT MEETING
22105489	STAN STARLING	16.09		APWF00XX	DISTRICT MEETING
22105489	STAN STARLING	176.03		ASRC0010	SANITARY SURVEY & ADMINISTER EXAM
22105489	STAN STARLING	118.34		ASRC0010	SANITARY SURVEY
22105489	STAN STARLING	88.46		ASRF0010	FLUORIDE TESTS/ADMIN WTR OP EXAM
22105489	STAN STARLING	16.09		ASRF0010	DISTRICT MEETING
22105489	STAN STARLING	16.09		ASRF0010	DISTRICT MEETING
22105489	STAN STARLING	16.09		ASRF0010	DISTRICT MEETING
22105489	STAN STARLING	16.09		ASRF0010	DISTRICT MEETING
22105489	STAN STARLING	16.06		ASRF0011	DISTRICT MEETING
22105489	STAN STARLING	111.38		ASRF0011	OPERATOR EXAM
22105489	STAN STARLING	16.87		ASRF0011	DISTRICT MEETING

22076783	STEPHEN BURGHART	\$14.19		ASRF0010	DISTRICT MEETING
22104664	STEPHEN FITZHUGH	\$118.77		ASRF0010	UCMR 3 SAMPLING
22104664	STEPHEN FITZHUGH	\$262.31		ASRF0010	UCMR 3 SAMPLING
22106189	STEPHEN YOUNGBLOOD	225.6		ASRC0010	SANITARY SURVEY
22106189	STEPHEN YOUNGBLOOD	16		ASRF0010	AWWA SW SECT CONFERENCE - parking
22106189	STEPHEN YOUNGBLOOD	16		ASRF0010	DISTRICT MEETING
22106189	STEPHEN YOUNGBLOOD	18.5		ASRF0010	DISTRICT MEETING
22106189	STEPHEN YOUNGBLOOD	14		ASRF0010	DISTRICT MEETING
22106189	STEPHEN YOUNGBLOOD	20		ASRF0010	DISTRICT MEETING
22106189	STEPHEN YOUNGBLOOD	381.42		ASRF0010	INSPECTIONS & SURVEYS
22106189	STEPHEN YOUNGBLOOD	539		ASRF0011	CPE
22076783	STEVEN BURGHART	\$14.71		ASRF0011	DISTRICT MEETING
22104664	STEVEN FITZHUGH	\$126.78		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$17.34		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$112.26		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$114.56		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$13.28		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$103.49		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$7.49		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$132.16		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$144.31		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$143.79		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$368.10		ASRF0010	UCMR 3 SAMPLING
22104664	STEVEN FITZHUGH	\$150.56		ASRF0010	UCMR 3 SAMPLING
22109519	TERESA LEE		\$1,041.99	ASRF0010	EPA CAP DEV WORKSHOP
22109519	TERESA LEE	\$583.97		ASRF0011	EPA 5 STATE ANNUAL MEETING
22109519	TERESA LEE	\$678.85		ASRF0011	EPA NEEDS SURVEY WORKSHOP
22076785	THOMAS JOHNSON	\$23.62		ASRF0010	CCCP SURVEYS/SAU ENV TECH/CCCP SURVEYS
22076785	THOMAS JOHNSON	\$15.96		ASRF0010	CCCP SURVEYS & DISTRICT MEETING
22076785	THOMAS JOHNSON	\$90.61		ASRF0011	CCCP SURVEYS/DISTRICT MEETING
22076785	THOMAS JOHNSON	\$41.83		ASRF0011	CCCP SURVEYS
22105750	WAYNE MEACHAM	\$11.95		ASRF0010	DISTRICT MEETING
22105750	WAYNE MEACHAM	\$12.14		ASRF0010	DISTRICT MEETING

APPENDIX

E

DWSRF Project Management System (PBR-BASE/ARRA) and Federal Funding Accounting and Transparency Act Requirement (FFATA)

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☒

Borrower : City of Chidester
 Assistance Type : Loan
 Funding Source : SRF

First Principal Date : 04/15/2016
 Loan Interest Rate : 2.40 %
 State Market Interest Rate : 5.00 %

Tracking # : WRD-003-813
 Other # : 00907-DWSRF-F
 Incremental Funding ? : ☐

	Initial Amount	Final Amount
Total Assistance \$	1,450,000	1,450,000
Principal Forgiveness \$	1,450,000	1,450,000

Loan Terms : Yrs
 % Funded By DWSRF : 100.00
 Disadvantaged Assistance ? : ☒
 ARRA Funding : ☐

Phase # : 0
 Original Tracking # :
 Same Health Benefits ? : ☐

Loan Closing Date : 08/14/2013

Final : ☐

PROJECT INFORMATION

PWS Number : AR0000403
 System Name : CHIDESTER WATER WORKS
 Country(s) Served :
 Primary : Ouachita
 Other Country 1 :
 Other Country 2 :

System Type : Community
 Ownership Type : Municipal
 Age of the System : 34

Project Description : The City will replace 3,500 feet of eight (8) inch water main, 15,000 feet of six (6) inch water main, 19,000 feet of four (4) inch water main, 16,000 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see significant reduction in their unaccounted for water which should result in

Project Purpose : Assist Non-Compliant Systems to Achieve Compliance
 Project Start Date : 08/14/2013
 Project Completion Date :

Number of Projects Funded : 1
 Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☐ Number of Systems Eliminated : 0

Population Served Current		Number of Connections Current	
By the Project :	0	By the Project :	0
By the System :	466	By the System :	190

Project Nims Categories and Compliance objectives

Nims Categories :

	Percentage :	% Of Assistance
<input checked="" type="checkbox"/> Transmission & Distribution		
<input type="checkbox"/> Amount :	100 1,450,000	100

Total % : 0

Public Health Impact Description : The City will have safe and reliable drinking water. The replacement of the existing water distribution system will improve existing water pressures in certain areas of the service area. Reduce the percent water loss which will increase the City's revenue. Reduce the monthly operation and maintenance cost.

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☒

Borrower : City of Wilton
 Assistance Type : Loan
 Funding Source : SRF

First Principal Date : 04/15/2016
 Loan Interest Rate : 2.40 %
 State Market Interest Rate : 0.00 %

Tracking # : WRD-003-814
 Other # : 00908-DWSRF-L
 Incremental Funding ? : ☐

	Initial Amount	Final Amount
Total Assistance \$	1,200,000	1,200,000
Principal Forgiveness \$	1,000,000	1,000,000

Loan Terms : Yrs
 % Funded By DWSRF : 100.00
 Disadvantaged Assistance ? : ☐
 ARRA Funding : ☐

Phase # : 0
 Original Tracking # :
 Same Health Benefits ? : ☐

Loan Closing Date : 11/20/2013

Final : ☐

PROJECT INFORMATION

PWS Number : AR0000321

System Name : WILTON WATERWORKS

System Type : Community
 Ownership Type : Municipal
 Age of the System : 34

Country(s) Served :
 Primary : Little River
 Other Country 1 :
 Other Country 2 :

Project Description : Replace 298 feet of 8 inch water main, 12,740 feet of 6 inch water main, 26,968, feet of 2 inch water main along with associated appurtenances.

Project Purpose : Assist Non-Compliant Systems to Achieve Compliance

Project Start Date :

Project Completion Date :

Number of Projects Funded : 1

Project Creates New Systems : ☐

Project Consolidates Systems (Yes) : ☐

Number of Systems Eliminated : 0

Population Served Current

By the Project : 0
 By the System : 500

Number of Connections Current

By the Project : 0
 By the System : 176

Project Nims Categories and Compliance objectives

Nims Categories :

☒ Percentage : Transmission & Distribution
☐ Amount : 100 1,200,000

% Of Assistance
 100

Total % :

0

Public Health Impact Description : Significant reduction in unaccounted for water loss

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☒

Borrower : Winthrop
 Assistance Type : Loan
 Funding Source : SRF

First Principal Date : 04/15/2016
 Loan Interest Rate : 2.25 %
 State Market Interest Rate : 0.00 %

Tracking # : WRD-003-816
 Other # : 910-DWSRF-L
 Incremental Funding ? : ☐

	Initial Amount	Final Amount		
Total Assistance \$	1,180,000	1,180,000	Loan Terms : Yrs	Phase # : 0
Principal Forgiveness \$	900,000	900,000	% Funded By DWSRF : 100.00	Original Tracking # :
			Disadvantaged Assistance ? : <input type="checkbox"/>	Same Health Benefits ? : <input type="checkbox"/>
Loan Closing Date :	11/06/2013		ARRA Funding : <input type="checkbox"/>	
Final : <input type="checkbox"/>				

PROJECT INFORMATION

PWS Number : AR0000642
 System Type : Community
 Ownership Type : Municipal
 Age of the System : 31

System Name : WINTHROP WATER ASSOCIATI
 Country(s) Served :
 Primary : Little River
 Other Country 1 :
 Other Country 2 :

Project Description : Existing system is incurring a 57.46% water loss. Replace 1,000 feet of six inch water main, 24,000 feet of four inch water main, 42,000 feet of two inch water main along with associated appurtenances.

Project Purpose : Other Assistance Not Compliance Related
 Project Start Date :
 Number of Projects Funded : 1
 Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☐ Number of Systems Eliminated : 0

Population Served Current		Number of Connections Current	
By the Project :	0	By the Project :	0
By the System :	375	By the System :	131

Project Nims Categories and Compliance objectives

Nims Categories :

	Percentage :	Amount :	Capacity	% Of Assistance
<input checked="" type="checkbox"/>	Transmission & Distribution			
<input type="checkbox"/>		100 1,180,000		100
		100 1,180,000		
Total % :	100	1,180,000		100

Public Health Impact Description : A significant reduction in unaccounted water loss. Safe and reliable drinking water. Will improve existing water pressures in certain areas. Reduce monthly operation and maintenance cost.

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Borrower : Scranton
 Assistance Type : Loan
 Funding Source : SRF

Record Complete Flag : ☐

First Principal Date : 04/15/2016
 Loan Interest Rate : 3.25 %
 State Market Interest Rate : 0.00 %

Tracking # : WRD-003-823
 Other # : 00917-DWSRF-L
 Incremental Funding ? : ☐

	Initial Amount	Final Amount
Total Assistance \$	5,000,000	5,000,000
Principal Forgiveness \$	0	0

Loan Terms : Yrs
 % Funded By DWSRF : 100.00
 Disadvantaged Assistance ? : ☒
 ARRA Funding : ☐

Phase # : 0
 Original Tracking # :
 Same Health Benefits ? : ☐

Loan Closing Date : 04/08/2014

Final : ☐

PROJECT INFORMATION

PWS Number : AR0000330

System Name : SCRANTON WATERWORKS

System Type : Community
 Ownership Type : Municipal
 Age of the System : 35

Country(s) Served :
 Primary : Logan
 Other Country 1 :
 Other Country 2 :

Project Description : To run a transmission line from Scranton to Clarksville.

Project Purpose : Assist Compliant Systems to Maintain Compliance

Project Start Date : 04/09/2014

Project Completion Date :

Number of Projects Funded : 1

Project Creates New Systems : ☐

Project Consolidates Systems (Yes) : ☐

Number of Systems Eliminated : 0

Population Served Current

By the Project : 0
 By the System : 1,095

Number of Connections Current

By the Project : 0
 By the System : 476

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	Transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	100 5,000,000	100

Total % : 0

Public Health Impact Description : The City of Scranton is in immediate need of a water source that is reliable and has sufficient capacity to serve immediate demands of Scranton's service area.

Other Project Comments :

FFATA Report For Grants**Federal Award Identifier Number (FAIN):** 98600114**Federal Agency ID:** 6800**Is this information correct?:** Yes**Federal Agency Name:** ENVIRONMENTAL PROTECTION AGENCY**Prime Awardee DUNS #:** 106620565**Is this information correct?:** Yes**DUNS Number +4:****Prime Awardee Name:** ARKANSAS NATURAL RESOURCES COMMISSION**Prime Awardee Address:** 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813**Prime Awardee Parent DUNS #:** 065320400**Principal Place Of Performance(POP):**
Statewide, Arkansas 722013813**CFDA Program Number(s):** 66.468 Capitalization Grants for Drinking Water State Revolving Funds**Is this information correct?:** Yes**Project Description:** To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i**Is this information correct?:** Yes**Total Federal Funding Amount:** 14252000.00**Is this information correct?:** Yes**Obligation/Action Date:** March 14, 2012**Is this information correct?:** Yes**Report Month:** Aug 2013**In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:** No**Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:** No**Prime Awardee Names and Compensation of Highly Compensated Officers:**

Subawardee Data

Subawardee Information:	Subawardee DUNS:	007827053
	Subawardee Name:	CHIDESTER CITY HALL
	Subawardee Doing Business As Name:	City Hall
	Subawardee Address:	118 WILLOW ST Chidester, Arkansas 71726
	Amount of Subaward:	111000.00
	Subaward Obligation/Action Date:	August 14, 2013
	CFDA Program Number(s):	66.468 Capitalization Grants for Drinking Water State Revolving Funds
	Federal Agency ID:	6800
	Federal Agency Name:	ENVIRONMENTAL PROTECTION AGENCY
	Subaward Project Description:	The City will replace 3,500 feet of eight (8) inch water main, 15,000 feet of six (6) inch water main, 19,000 feet of four (4) inch water main, 16,000 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see significant reduction in their unaccounted for water which should result in increased savings and revenues for the water department. With the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years. The City will have safe and reliable drinking water. The replacement of the existing water distribution system will improve existing water pressures in certain areas of the service area. Reduce the percent water loss which will increase the City's revenue. Reduce the monthly operation and maintenance cost.
	Subawardee Principal Place of Performance:	Chidester, Arkansas 71726000
	Subaward Number:	00907-DWSRF-F
	As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
	As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:	No

FFATA Report For Grants**Federal Award Identifier Number (FAIN):** 98600115**Federal Agency ID:** 6800**Is this information correct?:** Yes**Federal Agency Name:** ENVIRONMENTAL PROTECTION AGENCY**Prime Awardee DUNS #:** 106620565**Is this information correct?:** Yes**DUNS Number +4:****Prime Awardee Name:** ARKANSAS NATURAL RESOURCES COMMISSION**Prime Awardee Address:** 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813**Prime Awardee Parent DUNS #:** 065320400**Principal Place Of Performance(POP):**
Bryant, Arkansas 722013813**CFDA Program Number(s):** 66.468 Capitalization Grants for Drinking Water State Revolving Funds**Is this information correct?:** Yes**Project Description:** To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i**Is this information correct?:** Yes**Total Federal Funding Amount:** 13582000.00**Is this information correct?:** Yes**Obligation/Action Date:** May 14, 2012**Is this information correct?:** Yes**Report Month:** Aug 2013

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:

No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS: 007827053 Subawardee Name: CHIDESTER CITY HALL Subawardee Doing Business As Name: City Hall Subawardee Address: 118 WILLOW ST Chidester, Arkansas 71726 Amount of Subaward: 1589000.00 Subaward Obligation/Action Date: August 14, 2013 CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds Federal Agency ID: 6800 Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY Subaward Project Description: The City will replace 3,500 feet of eight (8) inch water main, 15,000 feet of six (6) inch water main, 19,000 feet of four (4) inch water main, 16,000 feet of two (2) inch water main along with associated appurtenances. When the improvements are complete the City expects to see significant reduction in their unaccounted for water which should result in increased savings and revenues for the water department. With the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years. The City will have safe and reliable drinking water. The replacement of the existing water distribution system will improve existing water pressures in certain areas of the service area. Reduce the percent water loss which will increase the City's revenue. Subawardee Principal Place of Performance: Chidester, Arkansas 717260000 Subaward Number: 00907-DWSRF-F As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No
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FFATA Report For Grants**Federal Award Identifier Number (FAIN):** 98600115**Federal Agency ID:** 6800**Is this information correct?:** Yes**Federal Agency Name:** ENVIRONMENTAL PROTECTION AGENCY**Prime Awardee DUNS #:** 106620565**Is this information correct?:** Yes**DUNS Number +4:****Prime Awardee Name:** ARKANSAS NATURAL RESOURCES COMMISSION**Prime Awardee Address:** 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813**Prime Awardee Parent DUNS #:** 065320400**Principal Place Of Performance(POP):**
Bryant, Arkansas 72201**CFDA Program Number(s):** 66.468 Capitalization Grants for Drinking Water State Revolving Funds**Is this information correct?:** Yes**Project Description:** To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i**Is this information correct?:** Yes**Total Federal Funding Amount:** 13582000.00**Is this information correct?:** Yes**Obligation/Action Date:** May 14, 2012**Is this information correct?:** Yes**Report Month:** Dec 2013

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:

No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS:	967626206
	Subawardee Name:	WILTON, CITY OF
	Subawardee Address:	230 S 4TH ST WILTON, Arkansas 71865
	Amount of Subaward:	1200000.00
	Subaward Obligation/Action Date:	November 20, 2013
	CFDA Program Number(s):	66.468 Capitalization Grants for Drinking Water State Revolving Funds
	Federal Agency ID:	6800
	Federal Agency Name:	ENVIRONMENTAL PROTECTION AGENCY
	Subaward Project Description:	Replace 298 feet of 8 inch water main, 12,740 feet of 6 inch water main, 26,968, feet of 2 inch water main along with associated appurtenances.
	Subawardee Principal Place of Performance:	Wilton, Arkansas 718650010
	Subaward Number:	00908-DWSRF-L
	As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
	As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:	No
	Subawardee DUNS:	025518751
	Subawardee Name:	City Of Winthrop
	Subawardee Address:	32 BOX Winthrop, Arkansas 71866
	Amount of Subaward:	1180000.00
	Subaward Obligation/Action Date:	November 6, 2013
	CFDA Program Number(s):	66.468 Capitalization Grants for Drinking Water State Revolving Funds
	Federal Agency ID:	6800
	Federal Agency Name:	ENVIRONMENTAL PROTECTION AGENCY
	Subaward Project Description:	Existing system is incurring a 57.46% water loss. Replace 1,000 feet of six inch water main, 24,000 feet of four inch water main,

42,000 feet of two inch water main
along with associated
appurtenances.

Subawardee Principal Place of Performance:

Winthrop, Arkansas 718660032

Subaward Number:

910-DWSRF-L

As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:

No

As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:

No

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600115

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):
Bryant, Arkansas 722013813

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 13582000.00

Is this information correct?: Yes

Obligation/Action Date: May 14, 2012

Is this information correct?: Yes

Report Month: Apr 2014

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS: 832735992 Subawardee Name: SCRANTON, CITY OF Subawardee Address: 206 PARK AVE SCRANTON, Arkansas 728630000 Amount of Subaward: 5000000.00 Subaward Obligation/Action Date: April 8, 2014 CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds Federal Agency ID: 6800 Federal Agency Name: ENVIRONMENTAL PROTECTION AGENCY Subaward Project Description: transmission lines and distribution added Subawardee Principal Place of Performance: Scranton, Arkansas 728630000 Subaward Number: WRD-003-823	
	As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
	As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:	No
