



STATE OF ARKANSAS



Arkansas Natural Resources Commission and Arkansas Department of Health



SAFE DRINKING WATER FUND & STATE REVOLVING LOAN FUND PROGRAM SFY2012 ANNUAL REPORT



STATE FISCAL YEAR 2012
(July 1, 2011 - June 30, 2012)

LIST OF ABBREVIATIONS

AASIS	Arkansas Administrative Statewide Information System
ADFA	Arkansas Development Finance Authority
ADH	Arkansas Department of Health/Division of Health
ANRC	Arkansas Natural Resources Commission
CAST	Center for Advanced Spatial Technology (University of Arkansas)
DWSRF	Drinking Water State Revolving Loan Fund
EPA	Environmental Protection Agency
FFATA	Federal Funding Accountability and Transparency Act Requirement
IUP	Intended Use Plan (for the SRF federal capitalization grant)
PWSSP	Public Water System Supervision Program (State)
SDWF	Safe Drinking Water Fund
SFY	State Fiscal Year (from July 1-June 30 of each year)
SRF	State Revolving Fund
SSAF	State Set-Aside Fund
SWAP	Source Water Assessment Program (State)
USGS	United States Geological Survey
WWAC	Water-Wastewater Advisory Committee



TABLE OF CONTENTS

INTRODUCTION.....	1
GOALS AND ACCOMPLISHMENTS	2
A. Progress toward Short-Term Goals	3
B. Progress toward Long-Term Goals.....	5
DWSRF LOAN AND SET-ASIDE ACTIVITIES	7
A. Sources of DWSRF Funding.....	7
B. Uses of DWSRF Funds.....	8
1) Loan Assistance Status.....	8
2) Set-Aside Activity Status	9
FINANCIAL SUMMARY	10
A. Discussion and Analysis	10
B. Status of Loans.....	11
C. Loan Disbursements/Cash Draw Proportionality	11
D. Set-Asides Disbursements	11
E. Annual Repayment/Aging of Accounts	11
F. Loan Portfolio Analysis	11
G. Investments	12
H. Environmental Results.....	12
I. Arkansas American Recovery and Reinvestment Act of 2009 (ARRA)	12
COMPLIANCE WITH OPERATING AGREEMENT AND GRANT CONDITIONS	12

APPENDECIES

APPENDIX A: Total DWSRF Loan Closings and Project Priority List.....	14
Appendix A-1: Total DWSRF Loan Closings.....	15
Appendix A-2: Drinking Water Priority Listing	16
APPENDIX B: Binding Commitments, Grant Payments, Draws and Perpetuity ..	17
Appendix B-1: Total DWSRF Loan Binding Commitments.....	18
Appendix B-2: Comparison of Grant Payments, Required B/C, & Actual B/C.....	19
Appendix B-3 (Part 1) DWSRF Set-Asides	21
Appendix B-3 (Part 2) DWSRF Administrative Expenses	23
Appendix B-4 – Part 1: Cash Draw & Disbursement Schedule	24
Appendix B-4 – Part 2: Loan Disbursements by Project	25
ARRA Appendix B-5 – Part 1 and Part 2: Cash Draw & Disbursement Schedule & Loan Disbursement by Project.....	27
Appendix B-5 – Part 1: DWSRF Perpetuity Chart	28
Appendix B-5 – Part 2: DWSRF Perpetuity Graph	29

APPENDIX C: Financial Statements	30
Appendix C-1: Statement of Net Assets.....	31
Appendix C-2: Statement of Revenues, Exp. & Changes in Retained Earnings .	32
Appendix C-3: Statement of Cash Flows	33
Appendix C-4: Pace Calculations - Financial Indicators	34
 APPENDIX D: Department of Health/Division of Health Annual Report 2010	 35
Attachment A: Overall Summary of Expenditures and Salary Summary	57
Attachment B: Summary of Travel, Supply, and Equipment Expenditures .	65
 APPENDIX E: DWSRF Project Management System (PBR-BASE) and Federal Funding Accountability and Transparency Act Requirement (FFATA)	 71



INTRODUCTION

The State of Arkansas hereby submits its Annual Report for the Drinking Water State Revolving Fund (DWSRF) program for State Fiscal Year (SFY) 2012, July 1, 2011 through June 30, 2012. This report addresses operation of the DWSRF, describes the progress made toward the long and short-term program goals, and details the activities undertaken to reach the goals and objectives set forth in the 2012 Intended Use Plan (IUP).

The State of Arkansas through Act 772 of 1997 established the Safe Drinking Water Fund (SDWF), to be administered by Arkansas Natural Resources Commission (ANRC). In April 1996, ANRC Title XV established the rules governing the Safe Drinking Water Fund.

Responsibilities for the DWSRF program are divided among the Arkansas Natural Resources Commission (ANRC), the Arkansas Department of Health/Division of Engineering (ADH), and the Arkansas Development Finance Authority (ADFA). Under the Interagency Memorandum of Understanding between ANRC and ADH, ANRC administers the loan fund and the administrative set-aside portion of the DWSRF. ADH, as primacy agent for the State's Public Water System Supervision Program (PWSS), has oversight of DWSRF, develops the comprehensive project priority list, and administers the remaining set-aside portions of the DWSRF. Fiscal responsibilities for the Program reside with ADFA.

Arkansas submitted its Revised SFY 2012 IUP to the Environmental Protection Agency (EPA) in March 2012 and was approved by EPA.

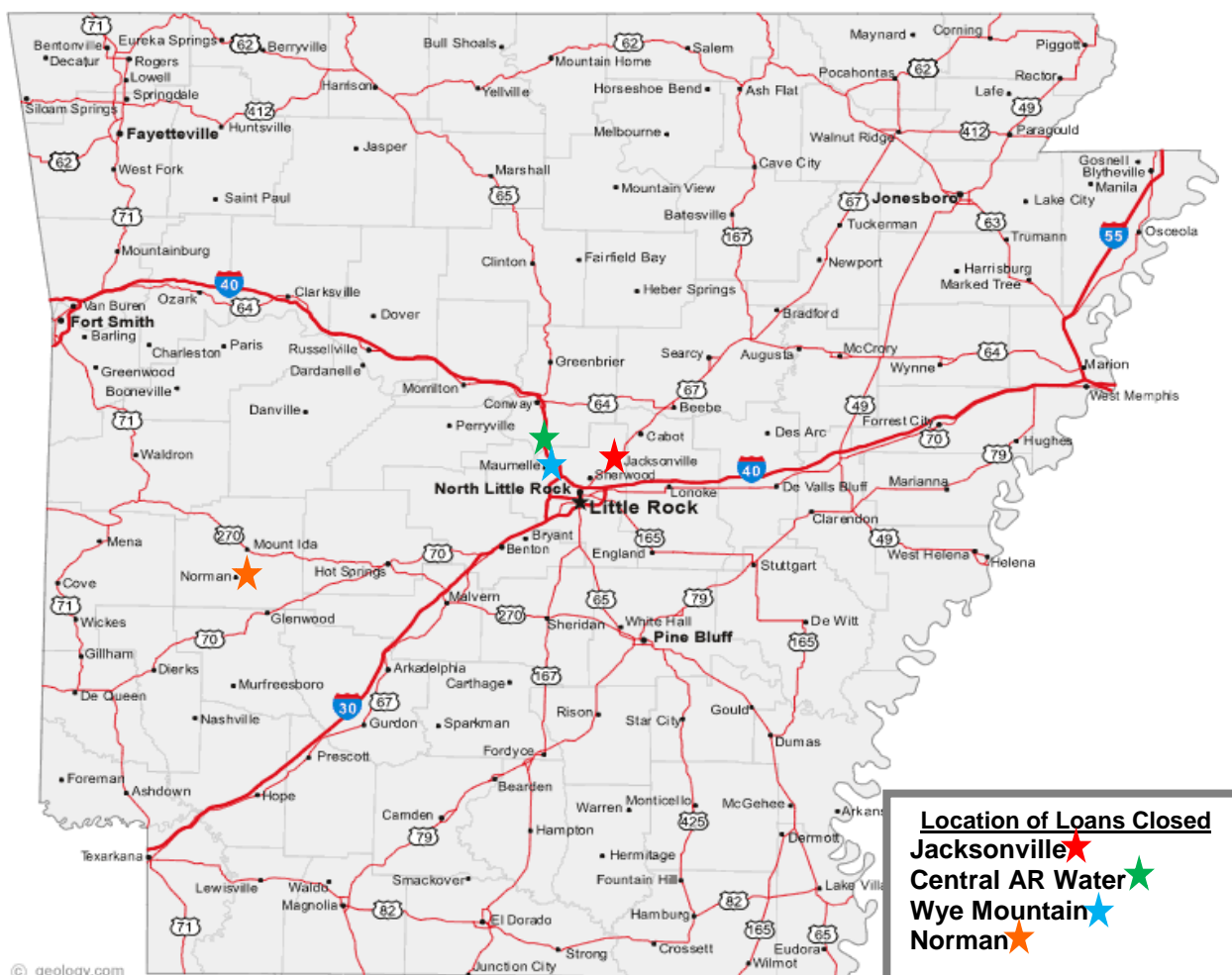


GOALS AND ACCOMPLISHMENTS

SDWF/DWSRF OVERVIEW:

During SFY 2012, four loans were closed totaling \$33,360,000. The proceeds from these four loans will be used for distribution system improvements, water main extensions, new BS transmission main and water system works improvements. Loan disbursements for the fiscal year totaled over \$20 million. ANRC's administration disbursements were approximately \$102,293 thousand.

In the Intended Use Plan for SFY 2012, ANRC discussed its short-term and long-term goals as they relate to the four basic goals for the program. Those goals are to ensure public health protection (Goal 1), comply with the Safe Drinking Water Act (SWDA) (Goal 2), ensure affordable drinking water (Goal 3) and maintain the long-term financial health of the SDWF (Goal 4). The goals are further broken out into more definitive parts and accomplishments measured as described below.



A. PROGRESS TOWARD SHORT-TERM GOALS

- 1. Arkansas will continue to implement the State source water assessment/protection program (Goals 1 & 2).***

Achievements toward this goal are addressed and reported in the ADH Annual Report for SFY 2012, attached as Appendix D.

- 2. Arkansas will continue to implement the State capacity development program strategy at no cost to entities (Goals 1, 2 & 3).***

Achievements toward this goal are addressed and reported in the ADH Annual Report for SFY 2012, attached as Appendix D.

- 3. Arkansas will continue to screen projects using the Priority System to assure the SDWA overall goals are given priority in the DWSRF program (Goals 1, 2, and 3).***

This is both a short term and a long term goal. ADH conducts a needs survey and a new priority list is developed annually to assure that projects are identified. Entities listed ahead of the four binding commitments and four loan closings in this report were ineligible because of an inability to repay, were using a different loan source, or were not ready to proceed.

- 4. Arkansas will continue to implement the State Public Water Supply Supervision plan in conformance with all requirements of the SDWA.***

Progress toward achieving this goal is also detailed in the ADH Annual Report for SFY 2012, attached as Appendix D.

- 5. Arkansas anticipates entering into nine binding commitments for a total of \$49,808,634. Out of these nine binding commitments, the Water Division anticipates closing six (6) loans for a total of \$38,808,634.***

The Water Division entered into four binding commitments and closed four loans. The total of the four loans closed for SFY 2012 was \$33,360,000. The other five loans are scheduled to close in the SFY2013. Three of the five loans closed in November and December of 2012. ANRC is waiting on additional information from the other two entities for funding.

- 6. Arkansas will submit the Intended Use Plan by the deadline with approval expected to follow in SFY 2012.***

Arkansas submitted its SFY 2012 IUP to the EPA in June 2011 and a revised copy was approved in March 2012.

- 7. Arkansas anticipates disbursing approximately \$17.5 million to projects and to spend \$2.6 million from the SSAF program including program administration in SFY 2012.***

Arkansas disbursed approximately \$20 million to projects and \$3.1 million to the SSAF program in SFY 2012.

- 8. The Division agrees to comply with all requests for data related to the use of the funds under Section 1452 of the Safe Drinking Water Act (SDWA), and to report all uses of the funds no less than quarterly, as EPA specifies for the Drinking Water Project Benefits Reporting database.**

Arkansas reported all loans for projects that were closed in SFY2012 in the Drinking Water Project Benefits Reporting database.

- 9. The Division agrees to provide in its Annual Report information regarding key project characteristics, milestones, and environmental/public health protection results in the following areas: 1) achievement of the outputs and outcomes established in the Intended Use Plan; 2) the reasons for delays if established outputs or outcomes were not met; 3) any additional pertinent information on environmental results.**

Arkansas is providing in this report all of the information regarding key project characteristics, milestones, and environmental/public health protection results in the following areas: 1) achievement of the outputs and outcomes established in the Intended Use Plan; 2) the reasons for delays if established outputs or outcomes were not met; 3) any additional pertinent information on environmental results.

- 10. The Division agrees to make a timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities.**

Arkansas made timely and concerted solicitation for projects that address green infrastructure, water or energy efficiency improvements or other environmentally innovative activities.

- 11. The Division agrees to meet the 2010 federal cap grant condition to receive the remaining 50% (20% Green Project Reserve and 30% Additional Subsidization) of the 2010 capitalization grant.**

Arkansas meet the 2010 federal cap grant conditions in SFY2012 and the remaining 50% (20% Green Project Reserve and 30% Additional Subsidization) of the 2010 federal cap grant was released on November 11, 2011.

- 12. The Division applied for the 2011 federal cap grant and anticipates applying for the 2012 federal cap grant in SFY 2012 and meeting the Green Project Reserve and Additional Subsidization grant conditions.**

Arkansas applied for and received the SFY2011 cap grant in the amount of \$14,252,000 and the SFY2012 cap grant in the amount of \$13,582,000. Arkansas has identified a total of seven projects to meet the Green Project Reserve and Additional Subsidization grant conditions.

- 13. The Division agrees to track and account for the ARRA funds so that they can be clearly identified separately and will be in compliance with the requirements to track data as stated in section 1512 of the ARRA.**

Arkansas has tracked and accounted for all of the ARRA funds. In SFY2012 the remaining funds were disbursed and EPA closed the ARRA Grant.

B. PROGRESS TOWARD LONG-TERM GOALS

Through their affiliation with the Water/Wastewater Advisory Committee (WWAC), the Division and the Arkansas Department of Health (ADH) continue to work together to address Safe Drinking Water Act compliance issues. The WWAC is a body composed of representatives from four State Agencies and United States Department Agriculture-Rural Development, and was established by the Governor of the State to coordinate state and federal funding, provide technical feasibility and regulatory reviews. WWAC members work together in coordinating funding and extending water quality standards and compliance. It also provides a forum to communicate the availability of RLF funding to cities and communities.

- 1. Arkansas will continue to implement the Public Water System Supervision Program in accordance with the requirements of the federal SDWA and in conformance with its Primacy commitments.***

As mentioned in Section A, progress toward these goals is described in the ADH Annual Report for SFY 2012, attached as Appendix D.

- 2. Arkansas will continue to implement the State source water assessment/protection program (Goals 1 & 2), and will continue to implement the State capacity development program strategy at no cost to entities (Goals 1, 2 & 3).***

As mentioned in Section A, progress toward these goals is described in the ADH Annual Report for SFY 2012, attached as Appendix D.

- 3. Arkansas will provide entities with a source of long-term low-interest financing that will allow them to protect their customers' health and comply with the SDWA (Goals 1, 2 & 3).***

The primary components of the loan term consist of the lending rate and the repayment period or loan maturity. The lending rate consists of the interest rate, currently 2% to 2.5% and a 1% financing fee. Arkansas varies the lending rate based on the length of the repayment period. During SFY 2012, the average lending rate for the four loans that were closed was 2.94% and the average repayment period was 20 years. The maximum length of time allowed to repay a loan is 30 years.

- 4. Arkansas will continue to screen projects using the Priority System to assure the SDWA overall goals are given priority in the DWSRF program (Goals 1, 2 & 3).***

ADH conducts a needs survey and a new priority list is developed annually to assure that projects are identified. The four binding commitments and four loan closings in SFY 2012 were made to projects listed on current and previous priority lists. Entities listed ahead of the projects on the current list were ineligible because of their inability to repay, use of a different loan source, or they were not ready to proceed.

5. Arkansas is committed to enhance the DWSRF program by continuing to streamline the application process for the benefit of future generations (Goal 3).

The DWSRF program is continually being reviewed in terms of future demand, changes in loan terms, lending rates, and whether or not demand is such that there is a need to leverage the program. The primary concern is always to provide low cost loans to entities in Arkansas while maximizing the funds available in order to meet the purpose of the SDWA through the improvement of the State's water infrastructure.

6. Arkansas will maximize the number of entities meeting the SDWA requirements by combining the DWSRF program with other State and Federal funding programs.

Arkansas continues to combine the DWSRF program with other State and Federal funding programs when beneficial. Unfortunately, Arkansas was only able to close four loans this year. None of those four loans required co-funding with other programs to meet their needs.

7. Arkansas plans to maintain the fiscal integrity of the DWSRF (Goal 3).

The DWSRF is managed on a day to day basis to assure that funds are available to make qualified loans. The Program undergoes an annual audit by independent auditors to further assure its integrity. The DWSRF is required to provide a minimum of \$6,161,700 as additional subsidization with the FFY2010 Cap Grant, a minimum of \$4,275,600 as additional subsidization with the FFY2011 Cap Grant and a minimum of \$2,716,400 as additional subsidization with the FFY2012 Cap Grant. The DWSRF program division will provide the additional subsidization in the form of principal forgiveness to the following six entities:

Entity	Principal Forgiveness Amount	2010 Cap Grant Requirement (\$6,161,700)	2011 Cap Grant Requirement (\$4,275,600)	2012 Cap Grant Requirement (\$2,716,400)
McNeil	\$1,331,000	\$951,700	\$379,300	\$0
Winthrop	\$1,400,000	\$0	\$1,400,000	\$0
Chidester	\$1,700,000	\$0	\$1,700,000	\$0
Bradley	\$1,700,000	\$0	\$96,300	\$1,603,700
Stephens	\$3,000,000	\$2,900,000	\$100,000	\$0
Norman	\$2,310,000	\$2,310,000	\$0	\$0
Old Bella Vista	\$600,000	\$0	\$600,000	\$0
Wilton Waterworks	\$1,000,000	\$0		\$1,000,000
Grand Total	\$12,041,000	\$6,161,700.00	\$4,275,600.00	\$2,603,700.00

The two terms that the Division modifies when it makes loans to entities are the Lending Rate and the Repayment Period.

The State has other funding options to assist communities unable to meet DWSRF loan requirements when necessary. Each prospective project undergoes a financial analysis to determine financial capability before a loan is granted. There have not been any delinquent loan repayments to date.

8. Arkansas is committed to maintaining the fund in perpetuity by protecting the principal that has been and continues to be deposited into the DWSRF program (Goal 4).

As required by EPA regulations to ensure perpetuity, principal repayments and interest are deposited into the Fund. The perpetuity of the program as evidenced in chart and graph form is presented in Appendix B-5.

The one percent (1%) financing fee is deposited outside the Fund to cover additional expenses. ANRC realizes these funds are restricted by the Drinking Water regulations and ANRC does and will continue to use these funds in compliance with those regulations.

9. Arkansas will meet the growing demand for the DWSRF program by leveraging the Fund when the demand exceeds the current revenue streams (Goals 3 & 4).

The DWSRF was leveraged for the first time in November 2011. Bond proceeds of \$28,304,704.62 were raised to reimburse the DWSRF for previous disbursements and transferred to the Revolving Loan Fund Account. Because these bond proceeds were used to reimburse the DWSRF for previous disbursements, they are considered spent. Most of the funds will be used to fund the Jacksonville Water Works project which closed for \$25 million in November 2011.

DWSRF LOAN AND SET-ASIDE ACTIVITIES

A. SOURCES OF DWSRF FUNDING

A total of \$38,103,500 became available for use through the EPA automated clearinghouse during SFY 2012. Additional funding made available for the DWSRF totaled \$8,291,223 and consisted of principal repayments of \$3,948,110, interest earnings on loans of \$2,936,024 interest on investments of **(-\$2,552)** financing fees of \$1,409,641. Table 1 (Sources and Uses) itemizes the sources and uses of DWSRF funds available in SFY 2012:

- **Capitalization Grants**

EPA has awarded Arkansas a total of \$180,269,300 in federal capitalization grants through the 2012 cap grant. ANRC started drawing construction funds from the 2011 cap grant in this fiscal year. However, remaining set asides on prior year grants are still available.

- **State Match**

Total State Match at the end of SFY 2012 was \$35,716,040 (Appendix B-2). ADH provided additional state matching funds for the State Program Management Set-Aside as discussed in the ADH Annual Report found in Appendix D.

- **Leveraging of the DWSRF**

The DWSRF was leveraged for the first time in November 2011. Bond proceeds of \$28,304,704.62 were raised to reimburse the DWSRF for previous disbursements and transferred to the Revolving Loan Fund Account. Because these bond proceeds were used to

reimburse the DWSRF for previous disbursements, they are considered spent. Most of the funds will be used to fund the Jacksonville Water Works project which closed for \$25 million in November 2011.

- **Cash Draw Ratio Proportionality**

Arkansas has received permission to vary from the normal proportionality ratio for federal fund disbursements. Arkansas received permission to spend State Match for a Cap Grant before requesting federal funds. This is because Arkansas is prohibited from disbursing State Match in any of the forms used for Additional Subsidization. As long as federal funds are required to be spent on Additional Subsidization, Arkansas will need this variance in each Cap Grant.

- **Principal Loan Repayments & Interest Earnings**

Principal loan repayments for DWSRF were \$3,948,110. Also, DWSRF received \$2,936,024, in interest earnings on outstanding loans and **(-\$2,552)** in interest earnings on investments.

- **Financing Fees**

The lending rate consists of the interest rate, currently 2% to 2.5% and a 1% financing fee amortized over the life of a loan. The total amount of \$1,409,641 was received from financing fees for SFY 2012.

B. USES OF DWSRF FUNDS

ANRC used DWSRF funds to make loan disbursements of \$20,131,767 to water projects under construction. Federal funds of \$8,032,322, state funds of \$4,125,697, and loan repayment funds of \$7,973,748 were disbursed to loan recipients. Program administration and other set-asides expenditures submitted by the Department of Health amounted to \$3,143,900 for the fiscal year. A total of \$2,831,880 of ADH set-asides funds were re-budgeted back into the construction account during the fiscal year. Of this total \$1,425,200 was re-budgeted from the FY2011 State Program Management set-aside funds, \$285,040 from the FY2011 Small System Technical Assistance set-aside funds, \$271,640 from the FY2012 Technical Assistance set-aside funds, and \$850,000 from the FY2012 State Program Management set-aside funds were re-budgeted.

1) Loan Assistance Status

In this reporting period, ANRC closed loans with the Central Arkansas Water #2 for \$4,000,000; Jacksonville Water Works for \$25,000,000; Wye Mountain Water Association for \$2,050,000 and the City of Norman for \$2,310,000, which totals \$33,360,000. The cumulative face amount of loans closed totals is \$197,556,347. (See Appendix A-1)

These loans were made to water systems collectively serving 404,044 in population. The four loans were made for distribution system improvements, water main extensions, new BS transmission main and water system works improvements

- **Binding Commitments**

During the year binding commitments totaling \$33,360,000 increased the adjusted cumulative total to \$197,644,011 (See Appendix B-1), and consequently, the percentage of binding commitments to required binding commitments increased to 129.06% by the end of the fiscal year. Of the four binding commitments made, all four proceeded to loan closing during the fiscal year.

- **Projects Bypassed**

Entities listed ahead of the four projects funded during SFY 2012 were ineligible because of inability to repay, using a different loan source, or were not ready to proceed. Because of the purpose of the DWSRF and ANRC's stated goals, the Agency is very desirous of increasing funding to eligible projects. Sufficient funds are available so that there is no pressure to favor any applicant or project over another.

- **Small Systems**

Under Section 1452(a)(2) of the 1996 Amendments to the Safe Drinking Water Act (SDWA) 15 percent of state loan funds are to be available for loans to small water systems (<10,000 population). Two of the four loans closed were obligated as a small system. The cumulative amount of loans to small systems is \$52,915,000, which is 26.77% total binding commitments. (See Appendix B-1)

- **Disadvantaged Community Systems**

The State's DWSRF program for disadvantaged communities now provides additional subsidies for loans and also has a provision to extend the life of the loan from 20 to 30 years. Two of the loans closed qualified as disadvantaged systems. The cumulative amount of loans to disadvantaged systems is \$106,593,000, which is 53.93% of total binding commitments. (See Appendix B-1)

2) Set-Aside Activity

The four set-aside categories are split between Arkansas Natural Resources Commission and the Arkansas Department of Health. The set-aside for administration was delegated to ANRC with the remaining set-asides being delegated to the ADH for implementing the State Public Water System Supervision Program.

A total of \$102,293 in annual expenses was paid from the 4% set-aside for DWSRF administration and \$9,285 was paid from Admin account Outside the RLF from the (1%) financing fees during SFY 2012. Reimbursement for expenditures claimed by the ADH totaled approximately \$3,041,607 for a total set-aside expenditure of \$3,153,185. (See Appendix B-4 Part 1)

The annual report of the Arkansas Department of Health for SFY 2012 presents progress and expenditures for set-asides covering state program management, technical assistance, and local assistance/other state programs. That information is presented in Appendix D.

Table 1

DWSRF SOURCES AND USES				
Sources of Federal Funds	Cumulative Total	SFY 12 Amount	Cumulative Total	% of Cum Total
**Cap Grants-Loan	100,614,832	30,970,385	131,585,217	73%
Administration	5,686,632	1,524,140	7,210,772	4%
Tech Assistance	2,228,766	-	2,228,766	1%
State Program Mngt.	13,566,580	1,285,150	14,851,730	8%
State Programs	20,068,990	4,323,825	24,392,815	14%
TOTAL CAP GRANTS	\$ 142,165,800	\$ 38,103,500	\$ 180,269,300	100%
OTHER SOURCES				% of Cum Total
State Match	30,878,740	4,837,300	35,716,040	28%
ADH In-Kind Match	12,959,272	1,991,778	14,951,050	12%
Prin. Loan Repayments	14,693,720	3,948,110	18,641,830	15%
Interest-Loans	14,428,367	2,936,024	17,364,391	14%
Interest-Investments	1,822,466	(2,552)	1,819,914	1%
Financing Fees	7,880,439	1,409,641	9,290,080	7%
Leveraged Amount	-	28,304,705	28,304,705	22%
TOTAL OTHER	82,663,004	43,425,006	126,088,010	100%
Uses of Federal Funds	Cumulative Total	SFY 12 Amount	Cumulative Total	% of Cum Total
Federal Loan Disbursement	\$ 97,072,959	\$ 8,032,322	\$ 105,105,281	53%
State Loan Disbursement	\$ 31,053,720	\$ 4,125,697	\$ 35,179,417	18%
Loan Repayments Fund	\$ 9,960,004	\$ 7,973,748	\$ 17,933,752	9%
Administration	\$ 5,173,559	\$ 102,293	\$ 5,275,852	3%
Other Set-Asides	\$ 29,315,386	\$ 3,041,607	\$ 32,356,993	16%
Outside RLF Funds	\$ 3,053,663	\$ 9,285	\$ 3,062,948	2%
Totals	\$ 175,629,291	\$ 23,284,952	\$ 198,914,243	100%
Note:	Previous Total	SFY2012	Remaining Amt	
Cap Grant totals (-) Uses	\$ 3,541,873	\$ 22,938,063	\$ 26,479,936	
Administration (-) Uses	\$ 513,073	\$ 1,421,847	\$ 1,934,920	
Set-Aside Totals (-) Uses	\$ 7,804,831	\$ 2,567,368	\$ 10,372,199	

FINANCIAL SUMMARY

A. Discussion and Analysis

The overall financial position and operations results of the DWSRF continue to improve as indicated in the financial data in Appendix C. Total assets increased to \$204.1 million as of June 30, 2012, from \$162.2 million on June 30, 2011. Loans receivable increased 11% when compared to the prior fiscal year. The accounts receivable amount of \$146,552 reflects funds pending reimbursement by EPA. ADH program administration net assets increased from \$279,677 on June 30, 2011 to \$374,866 on June 30, 2012.

The 90% increase in total liabilities to \$31,316,449 on June 30, 2012, from \$3,167,574 on June 30, 2011, was primarily caused by the leveraging of the DSWRF Program. The deferred fee balance remained the same balance of \$3,595,485. A separate 1.0% financing fee is amortized over the life of the loan. Earnings on investments increased from \$5,570 in fiscal year 2011 to \$7,491 in fiscal year 2012. (See Appendix C-1 and Appendix C-2)

The accounting firm of BKD, LLP is conducting an independent audit of the DWSRF for SFY 2012. GASB Statement No. 34 with its amendments, commonly referred to as the new reporting model, was implemented for year end June 30, 2002. Supplementary information, Management's Discussion and Analysis, will be included in the BKD report. An electronic copy of this report was furnished to Region 6 on December 12, 2012.

B. Status of Loans

At fiscal year end, the DWSRF had funded a total of \$33,360,000 in loans (See Appendix A-1). Loans receivable, which constitute the major portion of DWSRF assets, had a year-end balance of \$151,633,716.91 (See Appendix C-1). Currently, no delinquent payments have been reported.

C. Loan Disbursements/Cash Draw Proportionality

Arkansas disbursed a total of \$8,032,322 of Federal funds to borrowers during this reporting period. The cumulative amount of Federal funds disbursed for loans is \$105,105,281. Appendix B-4 Part 2 is a schedule of loan disbursements by project.

D. Set-Aside Disbursements

Set-aside expenses of \$3,153,185 were incurred during the state fiscal year. ANRC expenses were \$102,293 from program administration and \$9,285 from Outside the RLF and ADH expenses were \$3,041,607. Appendix B-3 Parts 1 and 2 list Federal amounts of the set-aside disbursements by type and a breakdown of monthly administrative expenses. Outside the RLF funds are not included in this chart.

E. Annual Repayment/Aging of Accounts

Approximately \$3,948,110 in principal was repaid in SFY 2012. There have not been any loans having delinquent payments. No delinquent payments have been reported.

F. Loan Portfolio Analysis

Arkansas conducts an annual review of its loan portfolio. Loans that are potentially weak are identified and tracked closely to ensure that conditions are not deteriorating. The assessment process measures the level of funding given to systems by credit quality category as measured by bond rating. Currently our loan portfolio is rated AAA.

G. Investments

During the year, investments were made by the Arkansas Development Finance Authority and consisted of excess account balances invested in governmental funds.

H. Environmental Results

Arkansas' DWSRF Program will satisfy EPA's Strategic Goal 2 for Clean and Safe Water, Objective 2.1 for Protecting Human Health, Sub objective 2.1.1 for Water Safe to drink by relating the activities of the Program to quantifiable environmental results. The following table shows the environmental reviews that were conducted and the results of each review.

ENTITY	RESULT
Central Arkansas Water #2	FONSI
Jacksonville	FONSI
Wye Mountain WFB	FONSI
Norman	FONSI

I. Arkansas American Recovery and Reinvestment Act of 2009 (ARRA)

Arkansas received the ARRA Capitalization Grant in the amount of \$24,485,000. Arkansas had expensed a total of \$12,124,784 in ARRA Principal Forgiveness and \$12,235,000 in ARRA Loan funds prior to SFY2012. Arkansas expensed the remaining funds of \$125,216 in SFY 2012. EPA closed the ARRA grant in this SFY2012. (See ARRA Appendix B-5 Parts 1 & 2)

COMPLIANCE WITH OPERATING AGREEMENT AND GRANT CONDITIONS

Arkansas revised its Operating Agreement for the DWSRF and was sent to EPA on October 13, 2010. We have met and continue to be in compliance with the following conditions as described in the grant conditions:

- Establish state instrumentality and authority.
- Comply with applicable state laws and procedures.
- Review technical, financial, and managerial capacity of assistance recipients.
- Establish DWSRF loan account, set-aside account, and SWSRLF administration account.
- Deposit all funds in appropriate accounts.
- Follow state accounting and auditing procedures.
- Require that DWSRF loan recipient accounting and auditing procedures be in accordance with generally accepted accounting principles and OMB Circular A-133.
- Submit IUP and use all funds in accordance with the plan.
- Comply with enforceable requirements of the Act.
- Establish capacity development authority.
- Implement/maintain system to minimize risk of waste, fraud, abuse, and corrective action.
- Develop and submit project priority ranking system.

We have met the following conditions as described below:

- **Take payments based on payment schedule**

We have received payments from EPA's payment system in accordance with the payment schedule included in the grant awards. Appendix B-2 includes a schedule of grant payments received.

- **Deposit state matching funds**

Arkansas provided state match in the amount of \$4,837,300 in SFY2012. ADH describes their state matching funds in the ADH Annual Report in Appendix D.

- **Submit biennial report and annual audit**

Arkansas chose to submit an annual report to the Environmental Protection Agency rather than a biennial report. This report is submitted to satisfy this condition. The SFY 2012 annual audit conducted by BKD, LLP, is in progress. A copy of the audit will be provided to EPA when it becomes available.

- **Assure that borrowers have a dedicated source of repayment**

ANRC staff conducts a credit review evaluation for all SRF loan applicants. This evaluation determines whether or not an applicant has the ability to repay a loan. For all applicants, the expected revenue stream from user fees must be sufficient to repay the loan, pay operation and maintenance costs, and pay for other necessary expenses.

- **Use funds in timely and expeditious manner**

Arkansas has committed 100 percent of all available loan funds through the FY2012 capitalization grant FS986001-16. Public water systems with binding commitments are moving in an expeditious and timely manner to start construction. ANRC monitors construction progress through monthly on-site inspections to ensure that operations are initiated according to schedule and funds are drawn in a timely and expeditious manner.

- **Ensure recipient compliance with applicable federal cross-cutting authorities**

Arkansas and all of its assistance recipients have complied with all applicable federal cross-cutting authorities. The State applied the six affirmative steps in an effort to achieve the negotiated fair share objectives.

ANRC's fair share objectives for SFY 2012 are:

	MBE	WBE
Construction	2.97%	2.59%
Services	1.74%	6.21%
Supplies	1.63%	3.88%
Equipment	3.15%	5.57%

A breakdown by quarter is shown below:

QTR	Contract Amount	MBE	%	WBE	%
1	\$ 4,094,361.51	\$ 18,140	0.443%	\$ -	0.000%
2	\$ 2,338,596.97	\$ -	0.000%	\$ -	0.000%
3	\$ 1,144,065.33	\$ -	0.000%	\$ -	0.000%
4	\$ 3,599,198.22	\$ 82,300	2.287%	\$1,211	0.034%
Total	\$11,176,222.03	\$100,440	2.730%	\$1,211	0.034%

Our projected fair share objectives for SFY 2012 will remain the same as for SFY 2011. Documentation to support the six affirmative steps is closely reviewed by the MBE/WBE Coordinator. All construction project bid packages as well as procurement of professional services, supplies and equipment purchases are closely monitored to determine if the affirmative steps were followed. These documents are maintained in the project files.

- **Conduct environmental reviews**

The new public water systems receiving assistance during SFY 2012 were reviewed and approved using the state's environmental review procedures. It was determined that no environmental impact statements were necessary.

APPENDIX

A

LOANS CLOSED AND PROJECT PRIORITY LIST

**APPENDIX A-1
TOTAL DWSRF LOAN CLOSINGS
SFY Ended June 30, 2012**

NO.	PROJECT NAME COMMUNITIES SERVED	PROJ # WRD-003	BINDING COMMITMENT DATE	LOAN CLOSING DATE	LOAN CLOSING AMOUNT	EST. COMP. DATE	LOAN MATURITY DATE	COMB. INTEREST RATE	POPULATION	NUMBER OF USERS	**SMALL SYSTEMS (<10,000) CLOSED	DISADV. COMMUNITY
1	Central Arkansas Water #2	003-664	07/07/11	07/07/11	\$ 4,000,000	6/21/13	10/15/32	2.00%	371,434	119,272	-	-
2	Jacksonville Water Works	003-727	11/04/11	11/29/11	\$ 25,000,000	05/30/14	10/15/34	3.25%	30,430	9,513	-	-
3	Wye Mountain Water Association	003-664	11/15/11	11/15/11	\$ 2,050,000	9/27/13	10/15/32	3.25%	1,330	513	2,050,000	2,050,000
4	Norman	003-781	06/22/12	06/22/12	\$ 2,310,000	3/9/14	04/15/35	3.25%	850	345	2,310,000	2,310,000
SECTION 1452 LOANS CLOSED SFY 2012 TOTAL					\$ 33,360,000				404,044	129,643	4,360,000	4,360,000
TOTAL CUM SECTION 1452 LOANS BROUGHT FORWARD					\$164,196,347							
TOTAL CUM SECTION 1452 LOANS CLOSED					\$197,556,347							

**Small systems are defined as systems that population is 10,000 or fewer persons

APPENDIX A-2

2012 DWSRF Project Priority List

Rank	Year	Water System Name	Points	PWS ID	Services	Retail Pop	MHI	Project Cost	Project Description	Status of Project
1	2010	Central Arkansas Water**	198	465	119,272	371,434	\$45,836	\$3,600,000	Highway 10 Water Main Extension for Wye Mountain	ANRC Funded
2	2012	Hozanna Heights Water Association	149	884	30	79	\$20,588	\$5,180	New Treatment Installation	No Application Received (ANRC)
3	2008	West Pulaski Water Users Authority	124		747	1,868	\$54,375	\$6,250,000	New Water Distribution System Connection to CAW	No Application Received (ANRC)
4	2010	Siloam Springs Water Works	124	56	6,484	19,022	\$34,513	\$21,033,125	Water Treatment Facility Improvements	No Application Received (ANRC)
5	2012	Old Bella Vista POA	95	753	42	103	\$30,700	\$600,000	Connection to the City of Bentonville	ANRC Funding
6	2012	Lockesburg Waterworks	84	517	341	853	\$31,213	\$2,222,985	Distribution System Replacement	ANRC Funding
7	2009	Paron-Owensville Water Authority	74	883	671	1,825	\$25,340	\$1,477,365	New Water Treatment Plant	Waiting on Addtl Information
8	2010	Jacksonville Water Works**	54	466	9,513	30,430	\$41,631	\$25,000,000	Water Works System Improvements	Leveraged DWSRF to Fund Project
9	2010	Conway Corporation	53	189	22,823	52,000	\$40,967	\$8,000,000	Water Quality Compliance Project	No Application Received (ANRC)
10	2004	Siloam Springs	45	56	6,287	14,672	\$34,513	\$9,600,000	Rehab Tank, New RW Transmission Main, Rehab AC Dist Mains	No Application Received (ANRC)
11	2012	McNeil Waterworks	45	110	238	595	\$21,136	\$1,553,863	Distribution System Replacement	ANRC Funding
12	2012	Stephens Waterworks	45	405	584	1,379	\$22,045	\$2,754,771	Distribution System Replacement	ANRC Funding
13	2012	Norman Waterworks**	45	391	345	850	\$20,481	\$2,000,000	Distribution System Replacement	ANRC Funding
14	2008	Wye Mountain Water Association**	41	410	513	1,330	\$31,083	\$1,300,000	New BS Transmission Main to CAW and New BS and Tank	ANRC Funded
15	2012	Bradley Waterworks	37	294	230	502	\$24,632	\$1,533,711	Distribution System Replacement	ANRC Funding
16	2009	Gilmore	36	154	104	292	\$20,625	\$1,288,000	New Water Treatment Plant and New Elevated Storage Tank	No Application Received (ANRC)
17	2012	City of Winthrop	35	642	170	474	\$25,313	\$1,633,098	Distribution System Replacement	ANRC Funding
18	2010	Beebe	33	592	2,899	5,000	\$35,250	\$1,065,000	LWPWA Connector Main	No Application Received (ANRC)
19	2012	Chidester Waterworks	32	403	218	335	\$21,397	\$1,643,716	Distribution System Replacement	ANRC Funding
20	2004	Bella Vista POA	31	039	10,770	24,485	\$44,090	\$4,300,500	Distribution improvements	No Application Received (ANRC)
21	2012	Lakeview Municipal Water	30	420	214	575	\$15,536	\$230,000	Rehab of Storage Tank	No Application Received (ANRC)
22	2012	Wilton Waterworks	29	321	200	534	\$30,625	\$1,163,082	Distribution System Replacement	ANRC Funding
23	2012	Coy Waterworks	27	340	97	310	\$26,406	\$46,000	Rehab of Storage Tank	No Application Received (ANRC)
24	2007	City of Flippin	25	351	1,391	3,325	\$19,395	\$2,000,000	Storage/Distribution Improvements	Waiting on Addtl Information
25	2009	Wabbaseka Waterworks	23	283	131	244	\$9,375	\$405,000	Water Treatment Rehab	No Application Received (ANRC)
26	2006	Mountain View Water & Wastewater	21	542	2,462	5,864	\$19,302	\$675,000	Distribution System Improvements	No Application Received (ANRC)
27	2012	City of Berryville	21	074	2,016	5,020	\$26,408	\$926,266	CR 501 Water Main Upgrade	No Application Received (ANRC)
28	2012	Tollette Waterworks	21	618	142	339	\$24,688	\$46,000	Rehab of Storage Tank	No Application Received (ANRC)
29	2012	City of Bryant	21	486	7,185	15,382	\$48,870	\$2,000,000	Automated Meter Reading System & Replacement	ANRC Funding
30	2009	West Memphis	19	156	9,725	27,780	\$27,399	\$3,445,000	New Water Treatment Plant and New Elevated Storage Tank	No Application Received (ANRC)
31	2012	Bono Waterworks	13	126	842	1,971	\$31,307	\$180,000	Radio Meter Replacement	No Funding Application Received
32	2012	Gosnell Water Associaton	13	374	1,394	3,612	\$31,423	\$750,000	System Loop	No Funding Application Received
33	2009	Hampton Waterworks	11	70	712	1,730	\$25,057	\$296,340	Water Tank Rehab	No Application Received (ANRC)
34	2009	Hope Tank Rehab	11	230	4,571	11,405	\$25,385	\$500,000	Tank Rehab	No Funding Application Received
35	2012	Gosnell Water Associaton	11	374	1,394	3,612	\$31,423	\$350,000	Meter Changeout and Upgrade Project	No Funding Application Received
36	2009	Hope WL	3	230	4,571	11,405	\$25,385	\$450,000	WL Replacement	No Funding Application Received

**Note: Loans closed in SFY2012

APPENDIX

B

**REPORTS ON BINDING COMMITMENTS,
GRANT PAYMENTS, SET-ASIDE SUMMARIES,
CASH DRAWS AND PERPETUITY**

APPENDIX B-1
TOTAL DWSRF LOAN BINDING COMMITMENTS (BC)
SFY Ended June 30, 2012

	PROJECT NAME	PROJECT DESCRIPTION	PROJ WRD-003	BINDING COMMITMENT DATE	BINDING COMMITMENT AMOUNT	DISADV. COMMUNITY	D/A COM. As % of CUM. TOTAL LOANS	POPULATION	SMALL SYSTEMS (<10,000) OBLIGATED	S/SYS as % of CUM. TOTAL LOANS
1	Central Arkansas Water #2	Highway 10 Water Main Extension for Wye Mountain	626	7/7/2011	\$ 4,000,000			371,434		
2	Jacksonville	Water Works System Improvements	727	11/4/2011	\$ 25,000,000			30,430		
3	Wye Mountain WFB	New BS Transmission Main to CAW and New BS and Tank	664	11/15/2011	\$ 2,050,000	\$ 2,050,000		1,330	\$ 2,050,000	
4	Norman	Distribution System Replacement	781	6/22/2012	\$ 2,310,000	\$ 2,310,000		850	\$ 2,310,000	
1	Total Binding Commitments Section 1452 Loans				\$ 33,360,000	\$ 4,360,000		36,671	\$ 4,360,000	
2	Cumulative Disadvantaged Communities		(B'Frd)	\$ 106,593,000		\$ 106,593,000	53.93%			
3	Cumulative Small System Loans		(B'Frd)	\$ 52,915,000					\$ 52,915,000	26.77%
4	Total Cumulative B/C Sec.1452 Loans		(B'Frd)	\$ 164,284,011	\$ 197,644,011					
5	Required Binding Commitments*				\$ 24,093,165					
6	Cum. Required Binding Commitments*				\$ 153,141,157		69.60%			34.55%
7	Cumulative Section 1452 Binding Commitments as a % of Cum. Required B/C Amounts (4 / 6)				129.06%					

Notes: Required B/C amount is the total of state match, Federal grant payments not allocated to set-asides, and any unspecified set-asides transferred back to the loan account, lagged by one year.

APPENDIX B-2

SDWF Comparison of Grant Payments, Required Binding Commitments, and Actual Binding Commitments (SFY)

Page 1 of 2

SFY	Quarter		State Match	2007 GRANT			2008 GRANT			2009 GRANT			Grant Payment - These Grants		
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans
2010	Jul/Sep	1	516,660	2,583,250	800,808	1,782,443							2,583,250	800,808	1,782,443
	Oct/Dec	2	2,045,800				2,557,250	741,602	1,815,648				2,557,250	741,602	1,815,648
	Jan/Mar	3					2,557,250	741,602	1,815,648				2,557,250	741,602	1,815,648
	Apr/Jun	4					2,557,250	741,603	1,815,647				2,557,250	741,603	1,815,647
2011	Jul/Sep	1					2,557,250	741,603	1,815,647	2,557,250	641,602	1,915,647	5,114,500	1,383,205	3,731,294
	Oct/Dec	2	2,045,800							2,557,250	641,602	1,915,647	2,557,250	641,602	1,915,647
	Jan/Mar	3								2,557,250	641,603	1,915,648	2,557,250	641,603	1,915,648
	Apr/Jun	4	2,053,900							2,557,250	641,603	1,915,648	2,557,250	641,603	1,915,648
TOTALS			8,212,140	10,333,000	3,203,230	7,129,770	10,229,000	2,966,410	7,262,590	10,229,000	2,566,410	7,662,590	30,791,000	8,736,050	22,054,950

SFY	Quarter		State Match	2010 GRANT			2011 GRANT			2012 GRANT			Grant Payment - These Grants		
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans
	Previous Grants Totals		22,666,640										101,105,300	30,086,763	71,018,537
2011	Apr/Jun	4		10,269,500	2,728,155	7,541,345							10,269,500	2,728,155	7,541,345
2012	Jul/Sep	1													
	Oct/Dec	2	2,053,900	10,269,500	2,728,155	7,541,345							10,269,500	2,728,155	7,541,345
	Jan/Mar	3													
	Apr/Jun	4	2,783,400				7,126,000	997,640	6,128,360	6,791,000	1,204,840	5,586,160	13,917,000	2,202,480	11,714,520
2013	Jul/Sep	1					3,563,000	498,820	3,064,180	6,791,000	1,204,840	5,586,160	10,354,000	1,703,660	8,650,340
	Oct/Dec	2					3,563,000	498,820	3,064,180				3,563,000	498,820	3,064,180
	Jan/Mar	3													
	Apr/Jun	4													
TOTALS			35,716,080	20,539,000	5,456,310	15,082,690	14,252,000	1,995,280	12,256,720	13,582,000	2,409,680	11,172,320	180,269,300	48,684,083	131,585,217

APPENDIX B-2

SDWF Comparison of Grant Payments, Required Binding Commitments, and Actual Binding Commitments

Page 2 of 2

SFY	Quarter		State Match	Qtrly Grant Payment - All Grants			Cum. Grant Payment - All Grants			* Binding Commit. Required	Cum. Bind. Commit. Required	Binding Commit. Actual	Cum. Bind. Commit. Actual	% Actual/ Required
				Total Payment	Set-Asides	Loans	Total Payment	Set-Asides	Loans					
2010	Jul/Sep	1	516,660	2,583,250	800,808	1,782,443	111,438,300	33,289,993	78,148,307	2,299,093	100,435,967	500,000	125,269,011	124.70
	Oct/Dec	2	2,045,800	2,557,250	792,748	1,764,503	113,995,550	34,082,741	79,912,810	2,275,953	102,711,920	17,765,000	143,034,011	139.30
	Jan/Mar	3		2,557,250	792,748	1,764,503	116,552,800	34,875,488	81,677,312	2,275,953	104,987,872		143,034,011	136.20
	Apr/Jun	4		2,557,250	792,748	1,764,503	119,110,050	35,668,236	83,441,815	2,275,953	107,263,825	500,000	143,534,011	133.80
2011	Jul/Sep	1		5,114,500	1,229,770	3,884,730	124,224,550	36,898,005	87,326,544	4,907,630	112,171,454	14,250,000	157,784,011	140.70
	Oct/Dec	2	2,045,800	2,557,250	641,602	1,915,647	126,781,800	37,539,607	89,242,191	2,427,097	114,598,551		157,784,011	137.70
	Jan/Mar	3		2,557,250	641,603	1,915,648	129,339,050	38,181,210	91,157,839	2,427,098	117,025,649	6,500,000	164,284,011	140.40
	Apr/Jun	4	2,053,900	12,826,750	3,369,758	9,456,993	142,165,800	41,550,968	100,614,832	12,022,343	129,047,992		164,284,011	127.30
2012	Jul/Sep	1					142,165,800	41,550,968	100,614,832	0	129,047,992	4,000,000	168,284,011	130.40
	Oct/Dec	2	2,053,900	10,269,500	2,728,155	7,541,345	152,435,300	44,279,123	108,156,177	9,595,245	138,643,237	27,050,000	195,334,011	140.90
	Jan/Mar	3					152,435,300	44,279,123	108,156,177	0	138,643,237		195,334,011	140.90
	Apr/Jun	4	2,783,400	13,917,000	2,202,480	11,714,520	166,352,300	46,481,603	119,870,697	14,497,920	153,141,157	2,310,000	197,644,011	129.10
2013	Jul/Sep	1	2,070,800	10,354,000	1,703,660	8,650,340	176,706,300	48,185,263	128,521,037	10,721,140	163,862,297		197,644,011	120.60
	Oct/Dec	2		3,563,000	498,820	3,064,180	180,269,300	48,684,083	131,585,217	3,776,780	167,639,077		197,644,011	117.90
	Jan/Mar	3					180,269,300	48,684,083	131,585,217	0	167,639,077		197,644,011	117.90
	Apr/Jun	4					180,269,300	48,684,083	131,585,217	0	167,639,077		197,644,011	117.90
TOTALS			37,786,880	180,269,300	48,684,083	131,585,217	*Note: Required B/C amount is the total of state match, Federal grant payments not allocated to set-asides and any unspecified set-asides transferred back to the loan account lagged by one year.							

Note: State Match and Total Payment totals include funds for SFY2013

APPENDIX B-3 (Part 1) DWSRF Set-Asides

Federal Grant Year	Total Grant	Grant Approval Date	4-Year Deadline	State Program Management-PWSS					Capacity Development					WHP				
				Available	Specified	Expended 2012	Cum Expend	Amt Available	Available	Specified	Expended 2012	Cum Expend	Amt Available	Available	Specified	Expended 2012	Cum Expend	Amt Available
97	\$ 12,558,800	9/28/1998	9/28/2002	\$ 1,255,880	\$ 1,255,880	\$ -	\$ 1,255,880	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 627,940	\$ 627,940	\$ -	\$ 627,940	\$ -
98-99	\$ 20,751,700	9/29/1999	3/31/2005	\$ 2,075,170	\$ 2,075,170	\$ -	\$ 2,075,170	\$ -	\$ 1,771,204	\$ 1,771,204	\$ -	\$ 1,771,204	\$ -	\$ 1,341,551	\$ 1,341,551	\$ -	\$ 1,341,551	\$ -
2000	\$ 11,036,800	5/7/2001	5/7/2005	\$ 1,103,680	\$ 1,103,680	\$ -	\$ 1,103,680	\$ -	\$ 772,576	\$ 772,576	\$ -	\$ 772,576	\$ -	\$ 882,944	\$ 882,944	\$ -	\$ 882,944	\$ -
2001	\$ 11,082,400	9/20/2002	9/30/2006	\$ 1,108,240	\$ 1,108,240		\$ 1,108,240	\$ -	\$ 1,108,240	\$ 1,108,240	\$ -	\$ 1,108,240	\$ -	\$ 554,120	\$ 554,120	\$ -	\$ 554,120	\$ -
2002	\$ 8,717,800	5/28/2003	6/30/2007	\$ 871,780	\$ 871,780	\$ -	\$ 871,780	\$ -	\$ 610,246	\$ 610,246	\$ -	\$ 610,246	\$ -	\$ 697,424	\$ 697,424	\$ -	\$ 697,424	\$ -
2003	\$ 8,665,400	9/27/2004	9/27/2008	\$ 866,540	\$ 866,540	\$ -	\$ 866,540	\$ -	\$ 866,540	\$ 866,540	\$ -	\$ 866,540	\$ -	\$ 433,270	\$ 433,270	\$ -	\$ 433,270	\$ -
2004	\$ 8,989,100	8/18/2005	8/18/2009	\$ 898,910	\$ 898,910	\$ -	\$ 898,910	\$ -	\$ 848,365	\$ 848,365	\$ -	\$ 848,365	\$ (0)	\$ 500,000	\$ 500,000	\$ -	\$ 500,000	\$ -
2005	\$ 8,970,100	9/18/2006	9/18/2012	\$ 897,010	\$ 897,010	\$ -	\$ 897,010	\$ -	\$ 845,515	\$ 845,515	\$ -	\$ 845,515	\$ -	\$ 500,000	\$ 500,000	\$ -	\$ 500,000	\$ -
2006	\$ 10,333,200	9/18/2007	9/18/2012	\$ 1,033,320	\$ 1,033,320	\$ -	\$ 1,033,320	\$ -	\$ 929,988	\$ 929,988	\$ -	\$ 929,988	\$ -	\$ 619,992	\$ 619,992	\$ -	\$ 619,992	\$ -
2007	\$ 10,333,000	9/11/2008	9/11/2012	\$ 1,033,300	\$ 1,033,300	\$ 215,438	\$ 1,033,300	\$ -	\$ 1,033,300	\$ 1,033,300	\$ 5,252	\$ 1,033,300	\$ (0)	\$ 516,650	\$ 516,650	\$ 485,821	\$ 516,650	\$ 0
2008	\$ 10,229,000	9/15/2009	9/15/2013	\$ 1,022,900	\$ 1,022,900	\$ 858,618	\$ 858,618	\$ 164,282	\$ 1,022,900	\$ 1,022,900	\$ 1,022,900	\$ 1,022,900	\$ -	\$ 511,450	\$ 511,450	\$ 39,566	\$ 39,566	\$ 471,884
2009	\$ 10,229,000	8/30/2010	8/30/2014	\$ 1,022,900	\$ 622,900	\$ -	\$ -	\$ 622,900	\$ 1,022,900	\$ 1,022,900	\$ 362,321	\$ 362,321	\$ 660,579	\$ 511,450	\$ 511,450	\$ -	\$ -	\$ 511,450
2010	\$ 20,539,000	4/5/2011	4/5/2015	\$ 2,053,900	\$ 1,553,900	\$ -	\$ -	\$ 1,553,900	\$ 2,053,900	\$ 2,053,900	\$ -	\$ -	\$ 2,053,900	\$ 1,026,950	\$ 1,026,950	\$ -	\$ -	\$ 1,026,950
2011	\$ 14,252,000	3/14/2012	3/14/2016	\$ 1,425,200	\$ -	\$ -	\$ -	\$ -	\$ 1,425,200	\$ 1,425,200	\$ -	\$ -	\$ 1,425,200	\$ -	\$ -	\$ -	\$ -	\$ -
2012	\$ 13,582,000	5/14/2012	5/14/2016	\$ 1,358,200	\$ 508,200	\$ -	\$ -	\$ 508,200	\$ 1,358,200	\$ 1,358,200	\$ -	\$ -	\$ 1,358,200	\$ -	\$ -	\$ -	\$ -	\$ -
Total Available	\$180,269,300			\$16,668,730	\$14,851,730	\$1,074,056	\$12,002,448	\$2,849,282	\$15,669,074	\$15,669,074	\$1,390,473	\$10,171,196	\$5,497,879	\$8,723,741	\$8,723,741	\$525,387	\$6,713,456	\$2,010,285

Federal Grant Year	Total Grant	Grant Approval Date	4-Year Deadline	SWAP					Small System TA					DWSRF Administration				
				Available	Specified	Expended 2012	Cum Expend	Amt Available	Available	Specified	Expended 2012	Cum Expend	Amt Available	Available	Specified	Expended 2012	Cum Expend	Amt Available
97	\$ 12,558,800	9/28/1998	9/28/2002	\$ 1,255,880	\$ 1,255,880		\$ 1,255,880	\$ 1,255,880	\$ 251,176	\$ 251,176	\$ -	\$ 251,176	\$ -	\$ 502,352	\$ 502,352	\$ -	\$ 502,352	\$ -
98-99	\$ 20,751,700	9/29/1999	3/31/2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 415,034	\$ 415,034	\$ -	\$ 415,034	\$ -	\$ 830,068	\$ 830,068	\$ -	\$ 830,068	\$ -
2000	\$ 11,036,800	5/7/2001	5/7/2005	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 220,736	\$ 220,736	\$ -	\$ 220,736	\$ -	\$ 441,472	\$ 441,472	\$ -	\$ 441,472	\$ -
2001	\$ 11,082,400	9/20/2002	9/30/2006	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 221,648	\$ 221,648	\$ -	\$ 221,648	\$ -	\$ 443,296	\$ 443,296	\$ -	\$ 443,296	\$ -
2002	\$ 8,717,800	5/28/2003	6/30/2007	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 174,356	\$ 174,356	\$ -	\$ 174,356	\$ -	\$ 348,712	\$ 348,712	\$ -	\$ 348,712	\$ -
2003	\$ 8,665,400	9/27/2004	9/27/2008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 173,308	\$ 173,308	\$ -	\$ 173,308	\$ -	\$ 346,616	\$ 346,616	\$ -	\$ 346,616	\$ -
2004	\$ 8,989,100	8/18/2005	8/18/2009	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 179,782	\$ 179,782	\$ -	\$ 179,782	\$ -	\$ 359,564	\$ 359,564	\$ -	\$ 359,564	\$ -
2005	\$ 8,970,100	9/18/2006	9/18/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 179,402	\$ 179,402	\$ -	\$ 179,402	\$ -	\$ 358,804	\$ 358,804	\$ -	\$ 358,804	\$ -
2006	\$ 10,333,200	9/18/2007	9/18/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 206,664	\$ 206,664	\$ -	\$ 206,664	\$ -	\$ 413,328	\$ 413,328	\$ -	\$ 413,328	\$ -
2007	\$ 10,333,000	9/11/2008	9/11/2012	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 206,660	\$ 206,660	\$ 51,691	\$ 191,907	\$ 14,753	\$ 413,320	\$ 413,320	\$ -	\$ 413,320	
2008	\$ 10,229,000	9/15/2009	9/15/2013	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 204,580	\$ 204,580	\$ -	\$ -	\$ 204,580	\$ 409,160	\$ 409,160	\$ -	\$ 409,160	\$ -
2009	\$ 10,229,000	8/30/2010	8/30/2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 204,580	\$ -	\$ -	\$ -	\$ -	\$ 409,160	\$ 409,160	\$ 102,293	\$ 409,160	\$ -
2010	\$ 20,539,000	4/5/2011	4/5/2015	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 410,780	\$ -	\$ -	\$ -	\$ -	\$ 821,560	\$ 821,560	\$ -	\$ -	\$ 821,560
2011	\$ 14,252,000	3/14/2012	3/14/2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 285,040	\$ -	\$ -	\$ -	\$ -	\$ 570,080	\$ 570,080	\$ -	\$ -	\$ 570,080
2012	\$ 13,582,000	5/14/2012	5/14/2016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 271,640	\$ -	\$ -	\$ -	\$ -	\$ 543,280	\$ 543,280	\$ -	\$ -	\$ 543,280
Total Available	\$180,269,300			\$ 1,255,880	\$ 1,255,880	\$ -	\$ 1,255,880	\$ 1,255,880	\$ 2,844,126	\$ 2,433,346	\$ 51,691	\$ 2,214,013	\$ 219,333	\$ 6,667,492	\$ 7,210,772	\$ 102,293	\$ 5,275,852	\$ 1,934,920

NOTE: These expenses are only from Federal dollars. Outside the RLF Funds are not included in these totals.

SFY2012 GRAND TOTAL OF SET-ASIDES EXPENDED	\$ 3,143,900
GRAND TOTAL OF SET-ASIDES EXPENDED	\$ 37,632,844

APPENDIX B-3 (Part 2) DWSRF Administrative Expenses

FFY Grant	DW10	DW10	DW10	DW10	DW10	DW10	DW10	DW10	DW10	DW10	DW10	DW10	Grand Total
Month/Year	Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	SFY2012
ANRC - ADM - Personnel	\$20,901.10	\$ 19,380.61	\$ 18,576.99	\$ 27,502.17	\$ 17,930.07	\$ 19,361.39	\$ 16,367.82	\$ 23,492.35	\$35,037.82	\$ 24,110.48	\$ 23,798.71	\$21,316.46	\$267,775.97
ANRC - ADM - Fringe	\$ 6,408.28	\$ 5,942.10	\$ 5,695.71	\$ 8,932.70	\$ 5,823.69	\$ 6,288.58	\$ 5,316.27	\$ 7,630.32	\$11,380.28	\$ 7,831.08	\$ 7,729.82	\$ 6,923.59	\$ 85,902.42
ANRC - ADM - Indirect	\$14,405.70	\$ 13,357.73	\$ 12,803.85	\$20,523.76	\$ 13,380.49	\$ 14,448.63	\$ 12,214.65	\$ 17,531.40	\$26,147.32	\$ 17,992.68	\$ 17,760.02	\$15,907.62	\$196,473.85
ANRC - ADM - Travel	\$ 1,058.23	\$ -	\$ 148.25	\$ -	\$ 1,490.14	\$ -	\$ -	\$ 1,359.82	\$ 572.33	\$ 1,411.42	\$ 1,487.27	\$ 1,377.18	\$ 8,904.64
ANRC - ADM - Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -						\$ -
ANRC - ADM - Supplies	\$16,660.36	\$ 2,070.67	\$ 3,406.88	\$17,494.90	\$ 1,928.79	\$ 1,222.00	\$ 19,888.74	\$ 3,092.87	\$ 1,984.62	\$ 19,124.69	\$ 1,805.91	\$ 7,968.67	\$ 96,649.10
ANRC - ADM - Contracts	\$ -	\$ -	\$ 12,100.00	\$11,900.00	\$ -	\$ 4,310.40	\$ 1,500.00	\$ -	\$ -				\$ 29,810.40
Totals	\$59,433.67	\$ 40,751.11	\$ 52,731.68	\$ 86,353.53	\$ 40,553.18	\$ 45,631.00	\$ 55,287.48	\$ 53,106.76	\$ 75,122.37	\$ 70,470.35	\$ 52,581.73	\$ 53,493.52	\$ 685,516.38

APPENDIX B-4 - Part 1

Cash Draw & Disbursement Schedule

SDWF ACTUAL DISBURSEMENTS SFY 2012

LOAN DISBURSEMENTS

	PREVIOUS DISB.	QTR 1 JULY-SEPT	QTR 2 OCT-DEC	QTR 3 JAN-MAR	QTR 4 APR-JUN	ANNUAL TOTALS	CUMULATIVE TOTALS
Cash Draws From EPA for Loans		3,479,617	1,617,872	415,360	2,519,473	8,032,322	
Cum Cash Draws from EPA	97,072,959	100,552,576	102,170,448	102,585,808	105,105,281		105,105,281
State Share of Loan Disbursements	-	232,774	1,216,469	79,106	2,597,349	4,125,697	
Cum. State Share of Ln. Disbursements	31,053,720	31,286,494	32,502,962	32,582,068	35,179,417		35,179,417
Loan Repayments Fund	-	2,440,394	2,283,002	3,250,351	-	7,973,748	
Cum Loan Repayments Fund	9,960,004	12,400,398	14,683,401	17,933,752	17,933,752		17,933,752
Total RLF Loan Disbursements	-	6,152,785	5,117,343	3,744,817	5,116,822	20,131,767	
Cum. RLF Loan Disbursements	138,086,683	144,239,468	149,356,811	153,101,628	158,218,450		158,218,450
Cum ACH Draws as a % of Cum. Disb.		70%	68%	67%	66%		

ADMINISTRATION AND SET-ASIDE DISBURSEMENTS

State Share Program Administration	-	-	-	-	-	-	-
Program Administration (4%)	5,173,559	101,356	937	-	-	102,293	5,275,852
State Program Management (10%)(PWSS)	10,928,392	285,571	263,432	231,490	293,563	1,074,056	12,002,448
Small Systems Technical Assistance (2%)	2,162,322	21,762	14,798	8,944	6,188	51,691	2,214,013
Local Assistance and Other (15%)							
Source Water Protection D/A (10%)	1,255,880	-	-	-	-	-	1,255,880
Wellhead Protection	6,188,069	132,804	119,018	115,098	158,468	525,387	6,713,456
Capacity Development	8,780,723	327,534	361,242	308,778	392,918	1,390,473	10,171,195
Prog Admin. and Set-Aside Disbursements		869,027	759,426	664,310	851,137	3,143,900	
Cum Adm. and Set-Aside Disb.	34,488,945	35,357,972	36,117,398	36,781,708	37,632,844		37,632,844
Cum ACH Draw as a % of Cum. Disb.		20%	19%	19%	19%		

OUTSIDE REVOLVING LOAN FUNDS

Outside RLF Funds		-	9,267	-	18	9,285	
Cum. Outside RLF	3,053,663	3,053,663	3,062,930	3,062,930	3,062,948		3,062,948

TOTAL DISBURSEMENTS

Cash Draw From EPA/ACH		3,580,974	1,618,808	415,360	2,519,473	8,134,615	
Cum Cash Draw From EPA/ACH	97,072,959	100,653,932	102,272,741	102,688,101	105,207,574		105,207,574
State Share of Disbursements		232,774	1,216,469	79,106	2,597,349	4,125,697	
Cum State Share of Disbursements	31,053,720	31,286,494	32,502,962	32,582,068	35,179,417		35,179,417
Loan Repayments Fund		2,440,394	2,283,002	3,250,351	-	7,973,748	
Cum Loan Repayments Fund	9,960,004	12,400,398	14,683,401	17,933,752	17,933,752		17,933,752
Outside RLF Funds		-	9,267	-	18	9,285	
Cum Outside RLF Share of Disbursements	3,053,663	3,053,663	3,062,930	3,062,930	3,062,948		3,062,948
Total Disbursements		6,254,141	5,127,546	3,744,817	5,116,840	20,243,345	
Cum Total Disbursements	141,140,346	147,394,487	152,522,034	156,266,851	161,383,691		161,383,691
Cum EPA/ACH Draw as a % of Total		68%	67%	66%	65%		

Federal EPA/ACH Available:

Cum Before ADM & RLF Disb.	142,165,800	142,165,800	152,435,300	152,435,300	166,352,300		
Cum After ADM & RLF Disb.	45,092,841	41,511,868	50,162,559	49,747,199	61,144,726		

Annual Report - Appendix B-4 - Part 2

LOAN DISBURSEMENTS BY PROJECT

Project Name	Project Number	Loan Number	Payment Date	Payment Number	Total Payment	Federal	State	RLF
BEEBE #2	WRD-003-386	00617-DWSRF-L	23-Jan-12	12	\$ 5,440.00	\$ -	\$ -	\$ 5,440.00
				TOTAL	\$ 5,440.00	\$ -	\$ -	\$ 5,440.00
BEEBE #3	WRD-003-692	00745-DWSRF-L	24-Aug-11	11	\$ 2,164.00	\$ -	\$ -	\$ 2,164.00
BEEBE #3	WRD-003-692	00745-DWSRF-L	26-Sep-11	12	\$ 1,449.00	\$ 1,449.00	\$ -	\$ -
BEEBE #3	WRD-003-692	00745-DWSRF-L	23-Jan-12	13	\$ 4,516.00	\$ -	\$ -	\$ 4,516.00
BEEBE #3	WRD-003-692	00745-DWSRF-L	22-Feb-12	14	\$ 2,829.00	\$ 2,829.00	\$ -	\$ -
BEEBE #3	WRD-003-692	00745-DWSRF-L	25-Jun-12	15	\$ 15,669.00	\$ -	\$ 15,669.00	\$ -
				TOTAL	\$ 26,627.00	\$ 4,278.00	\$ 15,669.00	\$ 6,680.00
BRYANT	WRD-003-769	00706-DWSRF-L	25-Jul-11	6	\$ 323,190.00	\$ 323,190.00	\$ -	\$ -
BRYANT	WRD-003-769	00706-DWSRF-L	24-Aug-11	7	\$ 130,310.00	\$ 130,310.00	\$ -	\$ -
BRYANT	WRD-003-769	00706-DWSRF-L	26-Sep-11	8	\$ 69,600.00	\$ 69,600.00	\$ -	\$ -
BRYANT	WRD-003-769	00706-DWSRF-L	24-Oct-11	9	\$ 71,200.00	\$ -	\$ -	\$ 71,200.00
BRYANT	WRD-003-769	00706-DWSRF-L	20-Oct-11	CAP I	\$ 26,525.19	\$ 26,525.19	\$ -	\$ -
BRYANT	WRD-003-769	00706-DWSRF-L	21-Nov-11	10	\$ 287,800.00	\$ 255,284.19	\$ 32,515.81	\$ -
BRYANT	WRD-003-769	00706-DWSRF-L	16-Dec-11	11	\$ 100,550.00	\$ -	\$ -	\$ 100,550.00
BRYANT	WRD-003-769	00706-DWSRF-L	24-Jan-12	12	\$ 198,268.00	\$ -	\$ -	\$ 198,268.00
BRYANT	WRD-003-706	00706-DWSRF-L	23-Feb-12	13	\$ 387,639.00	\$ -	\$ -	\$ 387,639.00
BRYANT	WRD-003-706	00706-DWSRF-L	21-Mar-12	14	\$ 176,128.00	\$ -	\$ -	\$ 176,128.00
BRYANT	WRD-003-706	00706-DWSRF-L	23-Apr-12	CAP I	\$ 43,796.84	\$ -	\$ 43,796.84	\$ -
BRYANT	WRD-003-706	00706-DWSRF-L	23-Apr-12	15	\$ 363,917.00	\$ -	\$ 363,917.00	\$ -
BRYANT	WRD-003-706	00706-DWSRF-L	23-May-12	16	\$ 385,589.00	\$ 385,589.00	\$ -	\$ -
BRYANT	WRD-003-706	00706-DWSRF-L	25-Jun-12	17	\$ 221,503.00	\$ -	\$ 221,503.00	\$ -
				TOTAL	\$ 2,786,016.03	\$ 1,190,498.38	\$ 661,732.65	\$ 933,785.00
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	24-Aug-11	16	\$ 6,000.00	\$ 6,000.00	\$ -	\$ -
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	21-Nov-11	17	\$ 812.50	\$ 812.50	\$ -	\$ -
BUFFALO ISLAND RWD	WRD-003-621	00648-DWSRF-L	23-Jan-12	18	\$ 297,938.00	\$ -	\$ -	\$ 297,938.00
				TOTAL	\$ 304,750.50	\$ 6,812.50	\$ -	\$ 297,938.00
CABOT #2	WRD-003-490	00338-DWSRF-L	20-Jul-11	23	\$ 482,755.00	\$ 482,755.00	\$ -	\$ -
CABOT #2	WRD-003-490	00338-DWSRF-L	24-Aug-11	24	\$ 309,100.00	\$ 309,100.00	\$ -	\$ -
CABOT #2	WRD-003-490	00338-DWSRF-L	26-Sep-11	25	\$ 290,017.00	\$ -	\$ -	\$ 290,017.00
CABOT #2	WRD-003-490	00338-DWSRF-L	19-Oct-11	26	\$ 112,982.00	\$ 112,982.00	\$ -	\$ -
				TOTAL	\$ 1,194,854.00	\$ 904,837.00	\$ -	\$ 290,017.00
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	25-Jul-11	22	\$ 32,822.00	\$ -	\$ 32,822.00	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	26-Sep-11	23	\$ 172,928.00	\$ -	\$ 172,928.00	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	20-Oct-11	CAP I	\$ 83,919.04	\$ -	\$ 83,919.04	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	21-Nov-11	24	\$ 101,852.00	\$ -	\$ 101,852.00	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	16-Dec-11	25	\$ 3,834.00	\$ -	\$ 3,834.00	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	24-Jan-12	26	\$ 79,106.00	\$ -	\$ 79,106.00	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	24-Apr-12	CAP I	\$ 89,553.84	\$ -	\$ 89,553.84	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	24-Apr-12	27	\$ 67,215.00	\$ -	\$ 67,215.00	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	23-May-12	28	\$ 13,087.00	\$ -	\$ 13,087.00	\$ -
CENTRAL ARKANSAS WATER #1	WRD-003-626	00657-DWSRF-L	25-Jun-12	29	\$ 480,258.00	\$ -	\$ 480,258.00	\$ -
				TOTAL	\$ 1,124,574.88	\$ -	\$ 1,124,574.88	\$ -
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	1-Jul-11	1-Closing	\$ 20,000.00	\$ -	\$ -	\$ 20,000.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	24-Aug-11	2	\$ 554,850.00	\$ -	\$ -	\$ 554,850.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	26-Sep-11	3	\$ 496,795.00	\$ 354,296.19	\$ 27,023.71	\$ 115,475.10
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	20-Oct-11	CAP I	\$ 3,243.56	\$ 3,243.56	\$ -	\$ -
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	24-Oct-11	4	\$ 96,161.00	\$ 55,473.17	\$ -	\$ 40,687.83
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	21-Nov-11	5	\$ 116,439.00	\$ 116,439.00	\$ -	\$ -
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	16-Dec-11	6	\$ 78,245.00	\$ -	\$ -	\$ 78,245.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	24-Jan-12	7	\$ 174,434.00	\$ -	\$ -	\$ 174,434.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	22-Feb-12	8	\$ 146,050.00	\$ 146,050.00	\$ -	\$ -
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	22-Mar-12	9	\$ 173,221.00	\$ -	\$ -	\$ 173,221.00
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	23-Apr-12	CAP I	\$ 23,240.87	\$ -	\$ 23,240.87	\$ -
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	23-Apr-12	10	\$ 137,832.00	\$ -	\$ 137,832.00	\$ -
CENTRAL ARKANSAS WATER #2	WRD-003-664	00703-DWSRF-L	23-May-12	6	\$ 53,944.00	\$ 53,944.00	\$ -	\$ -
				TOTAL	\$ 2,074,455.43	\$ 729,445.92	\$ 188,096.58	\$ 1,156,912.93
EAST PRAIRIE	WRD-003-695	00751-DWSRF-L	20-Jul-11	9 (FINAL)	\$ 27,638.00	\$ 27,638.00	\$ -	\$ -
				TOTAL	\$ 27,638.00	\$ 27,638.00	\$ -	\$ -

				TOTAL	\$ 27,638.00	\$ 27,638.00	\$ -	\$ -
FRANKLIN SEBASTIAN PWA - CHARLESTON	WRD-003-720	00786-DWSRF-L	24-Aug-11	16	\$ 175,139.00	\$ -	\$ -	\$ 175,139.00
FRANKLIN SEBASTIAN PWA - CHARLESTON	WRD-003-720	00786-DWSRF-L	27-Jan-12	17	\$ 10,423.00	\$ 10,423.00	\$ -	\$ -
FRANKLIN SEBASTIAN PWA - CHARLESTON	WRD-003-720	00786-DWSRF-L	22-Mar-12	18	\$ 3,495.00	\$ -	\$ -	\$ 3,495.00
				TOTAL	\$ 189,057.00	\$ 10,423.00	\$ -	\$ 178,634.00
FRANKLIN SEBASTIAN PWA - RIVERSOUTH	WRD-003-720	00787-DWSRF-L	24-Aug-11	16	\$ 46,431.00	\$ -	\$ -	\$ 46,431.00
FRANKLIN SEBASTIAN PWA - RIVERSOUTH	WRD-003-720	00787-DWSRF-L	27-Jan-12	17	\$ 3,565.00	\$ 3,565.00	\$ -	\$ -
FRANKLIN SEBASTIAN PWA - RIVERSOUTH	WRD-003-720	00787-DWSRF-L	22-Mar-12	18	\$ 5,596.00	\$ -	\$ -	\$ 5,596.00
				TOTAL	\$ 55,592.00	\$ 3,565.00	\$ -	\$ 52,027.00
FRANKLIN SEBASTIAN PWA - LAVACA	WRD-003-720	00785-DWSRF-L	24-Aug-11	16	\$ 41,365.00	\$ -	\$ -	\$ 41,365.00
FRANKLIN SEBASTIAN PWA - LAVACA	WRD-003-720	00785-DWSRF-L	27-Jan-12	17	\$ 17,534.00	\$ 17,534.00	\$ -	\$ -
FRANKLIN SEBASTIAN PWA - LAVACA	WRD-003-720	00785-DWSRF-L	22-Mar-12	18	\$ 3,406.00	\$ -	\$ -	\$ 3,406.00
				TOTAL	\$ 62,305.00	\$ 17,534.00	\$ -	\$ 44,771.00
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	25-Jul-11	10	\$ 16,187.00	\$ 16,187.00	\$ -	\$ -
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	26-Mar-12	11	\$ 4,000.00	\$ -	\$ -	\$ 4,000.00
GLENWOOD #3	WRD-003-576	00726-DWSRF-L	25-Jun-12	12	\$ 9,825.00	\$ 9,825.00	\$ -	\$ -
				TOTAL	\$ 30,012.00	\$ 26,012.00	\$ -	\$ 4,000.00
GRAVETTE	WRD-003-319	00597-DWSRF-L	26-Sep-11	20	\$ 59,161.00	\$ 59,161.00	\$ -	\$ -
				TOTAL	\$ 59,161.00	\$ 59,161.00	\$ -	\$ -
HOT SPRINGS #2	WRD-003-705	00788-DWSRF-L	16-Dec-11	6	\$ 505,419.00	\$ 327,464.31	\$ -	\$ 177,954.69
				TOTAL	\$ 505,419.00	\$ 327,464.31	\$ -	\$ 177,954.69
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	21-Nov-11	1-CLOSING	\$ 481,465.94	\$ -	\$ -	\$ 481,465.94
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	16-Dec-11	2	\$ 18,754.00	\$ -	\$ -	\$ 18,754.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	23-Jan-12	3	\$ 22,082.00	\$ -	\$ -	\$ 22,082.00
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	22-Feb-12	4	\$ 26,271.00	\$ 26,271.00	\$ -	\$ -
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	23-May-12	11	\$ 38,795.00	\$ 32,397.25	\$ 6,397.75	\$ -
JACKSONVILLE	WRD-003-727	00798-DWSRF-L	25-Jun-12	6	\$ 192,351.00	\$ -	\$ 192,351.00	\$ -
				TOTAL	\$ 779,718.94	\$ 58,668.25	\$ 198,748.75	\$ 522,301.94
NORMAN	WRD-003-781	00871-DWSRF-F	7-Jun-12	1-CLOSING	\$ 177,903.00	\$ 177,903.00	\$ -	\$ -
				TOTAL	\$ 177,903.00	\$ 177,903.00	\$ -	\$ -
SEARCY	WRD-003-728	00799-DWSRF-L	25-Jul-11	8	\$ 525,513.00	\$ 525,513.00	\$ -	\$ -
SEARCY	WRD-003-728	00799-DWSRF-L	24-Aug-11	9	\$ 1,289,909.00	\$ 94,956.00	\$ -	\$ 1,194,953.00
SEARCY	WRD-003-728	00799-DWSRF-L	26-Sep-11	10	\$ 1,079,462.00	\$ 1,079,462.00	\$ -	\$ -
SEARCY	WRD-003-728	00799-DWSRF-L	20-Oct-11	11	\$ 719,648.00	\$ 719,648.00	\$ -	\$ -
SEARCY	WRD-003-728	00799-DWSRF-L	21-Nov-11	12	\$ 994,348.00	\$ -	\$ 994,348.00	\$ -
SEARCY	WRD-003-728	00799-DWSRF-L	16-Dec-11	13	\$ 1,118,695.00	\$ -	\$ -	\$ 1,118,695.00
SEARCY	WRD-003-728	00799-DWSRF-L	23-Jan-12	14	\$ 484,215.00	\$ -	\$ -	\$ 484,215.00
SEARCY	WRD-003-728	00799-DWSRF-L	22-Feb-12	15	\$ 454,667.00	\$ -	\$ -	\$ 454,667.00
SEARCY	WRD-003-728	00799-DWSRF-L	19-Mar-12	16	\$ 564,571.00	\$ -	\$ -	\$ 564,571.00
SEARCY	WRD-003-728	00799-DWSRF-L	23-Apr-12	17	\$ 738,200.00	\$ -	\$ 738,200.00	\$ -
SEARCY	WRD-003-728	00799-DWSRF-L	23-May-12	18	\$ 765,200.00	\$ 765,200.00	\$ -	\$ -
SEARCY	WRD-003-728	00799-DWSRF-L	25-Jun-12	19	\$ 650,422.00	\$ 650,422.00	\$ -	\$ -
				TOTAL	\$ 9,384,850.00	\$ 3,835,201.00	\$ 1,732,548.00	\$ 3,817,101.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	21-Nov-11	1-CLOSING	\$ 195,450.00	\$ -	\$ -	\$ 195,450.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	24-Jan-12	2	\$ 210,168.00	\$ -	\$ -	\$ 210,168.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	22-Feb-12	3	\$ 227,305.00	\$ 208,688.00	\$ -	\$ 18,617.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	22-Mar-12	4	\$ 61,950.00	\$ -	\$ -	\$ 61,950.00
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	23-Apr-12	CAP I	\$ 5,082.64	\$ -	\$ 5,082.64	\$ -
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	23-Apr-12	5	\$ 106,876.00	\$ -	\$ 106,876.00	\$ -
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	23-May-12	18	\$ 259,396.00	\$ 259,396.00	\$ -	\$ -
WYE MOUNTAIN WFB	WRD-003-664	00702-DWSRF-L	25-Jun-12	7	\$ 277,166.00	\$ 184,797.02	\$ 92,368.98	\$ -
				TOTAL	\$ 1,343,393.64	\$ 652,881.02	\$ 204,327.62	\$ 486,185.00
Grand Total					\$ 20,131,767.42	\$ 8,032,322.38	\$ 4,125,697.48	\$ 7,973,747.56

ARRA APPENDIX B-4 (PART 1)							
ARRA PROGRAM ACTUAL DISBURSEMENTS SCHEDULE SFY 2012							
TOTAL DISBURSEMENTS							
	PREVIOUS DISB.	QTR 1 JULY-SEPT	QTR 2 OCT-DEC	QTR 3 JAN-MAR	QTR 4 APR-JUN	ANNUAL TOTALS	CUMULATIVE TOTALS
Cash Draw From EPA ARRA Funds							
Principal Forgiveness (GRANT) Cash Draw	12,124,784	125,216	-	-	-	125,216	12,250,000
Loan Cash Draw From EPA ARRA Funds	12,235,000	-	-	-	-	-	12,235,000
Cum. ARRA Disbursements	24,359,784	24,485,000	24,485,000	24,485,000	24,485,000		24,485,000
Cum ACH Draws as a % of Cum. Disb.		100.00%	100.00%	100.00%	100.00%		
GREEN PROJECT RESERVE							
Green Infrastructure	-	-	-	-	-	-	-
Cum Green Infrastructure	4,965,000	4,965,000	-	-	-		4,965,000
Federal EPA/ACH Available:							
Cum Before Disbursements	24,485,000	24,485,000	24,485,000	24,485,000	24,485,000		
Cum After Disbursements	125,216	-	-	-	-		

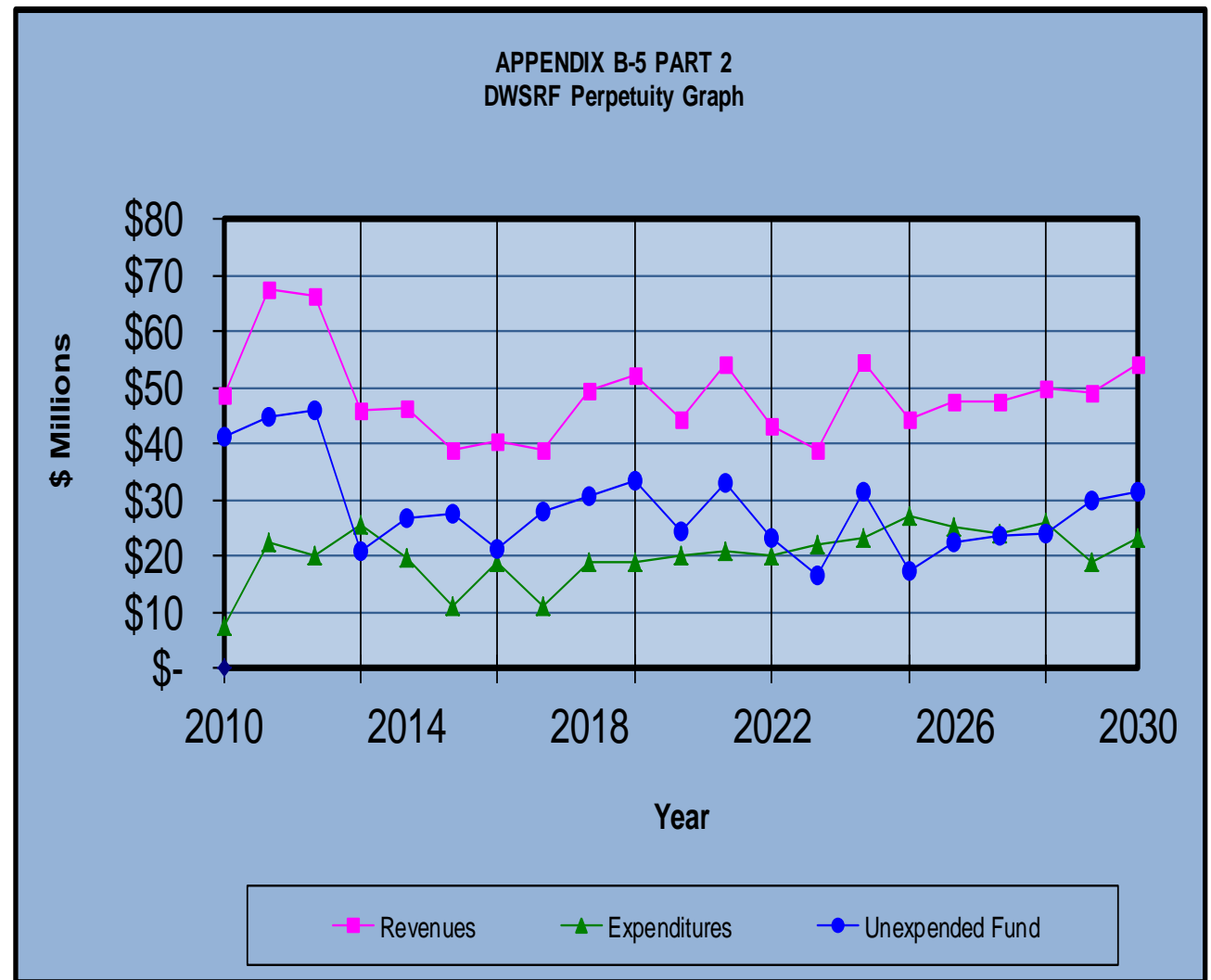
ANNUAL REPORT - APPENDIX B-4 (PART 2)							
ARRA DISBURSEMENTS BY PROJECT							
Project Name	Project Number	Loan Number	Payment Date	Payment Number	ARRA Grant	ARRA Loan	Total Payment
FRANKLIN SEBASTIAN PWA - LAVACA	WRD-003-720	00785-DWSRF-L	8/24/2011	16	\$120,837.00	\$0.00	\$120,837.00
FRANKLIN SEBASTIAN PWA - RIVER SOUTH	WRD-003-720	00787-DWSRF-L	8/24/2011	16	\$4,379.00	\$0.00	\$4,379.00
Grand Total					\$125,216.00	\$0.00	\$125,216.00

APPENDIX B-5 PART 1

DWSRF Perpetuity Chart

Fiscal Year	Beginning Balance	Loan Repayments	Interest Earnings	Federal Grant	State Match	ADH Set-Asides	Total Revenues	Project Disbursements	Ending Balance
2009	21,783,662.98	2,306,262.73	2,116,296.25	10,229,000.00	2,045,800.00	2,566,410.00	35,914,611.96	14,273,441.23	21,641,170.73
2010	24,954,353.96	2,448,274.95	2,174,041.15	20,539,000.00	4,107,800.00	5,456,310.00	48,767,160.06	7,361,387.22	41,405,772.84
2011	47,010,430.06	3,228,878.78	2,100,115.95	14,252,000.00	2,850,400.00	1,995,280.00	67,446,544.79	22,523,356.17	44,923,188.62
2012	46,918,468.62	3,714,441.21	2,266,579.95	13,582,000.00	2,066,600.00	2,409,680.00	66,138,409.78	20,131,767.42	46,006,642.36
2013	29,384,590.78	3,840,161.17	2,156,488.38	10,229,000.00	2,045,800.00	1,534,350.00	46,121,690.33	25,357,928.54	20,763,761.79
2014	23,828,020.33	4,913,995.10	2,673,266.71	14,252,000.00	2,800,000.00	2,137,800.00	46,329,482.14	19,757,650.38	26,571,831.75
2015	16,875,349.14	5,554,380.87	2,836,213.35	13,582,000.00	2,045,800.00	2,037,300.00	38,856,443.36	11,190,163.92	27,666,279.44
2016	20,647,408.36	5,997,397.45	2,901,002.97	10,229,000.00	2,045,800.00	1,534,350.00	40,286,258.77	18,902,746.87	21,383,511.90
2017	14,637,212.77	6,315,905.62	2,842,096.14	14,252,000.00	3,078,000.00	2,137,800.00	38,987,414.54	11,190,163.92	27,797,250.62
2018	25,357,928.54	6,776,599.99	2,869,099.46	13,582,000.00	2,850,400.00	2,037,300.00	49,398,727.99	18,902,746.87	30,495,981.12
2019	31,263,616.99	7,370,190.98	2,974,440.91	10,229,000.00	2,066,600.00	1,534,350.00	52,369,498.87	19,000,000.00	33,369,498.87
2020	18,902,746.87	8,125,268.03	3,206,733.05	14,252,000.00	2,045,800.00	2,137,800.00	44,394,747.95	20,000,000.00	24,394,747.95
2021	27,631,205.95	8,721,969.05	3,297,253.39	13,582,000.00	2,800,000.00	2,037,300.00	53,995,128.38	21,000,000.00	32,995,128.38
2022	19,757,650.38	9,393,335.35	3,334,871.18	10,229,000.00	2,045,800.00	1,534,350.00	43,226,306.92	20,000,000.00	23,226,306.92
2023	11,190,163.92	9,946,013.35	3,250,887.36	15,390,000.00	3,078,000.00	4,155,300.00	38,699,764.62	22,000,000.00	16,699,764.62
2024	27,855,791.62	10,622,192.16	3,258,239.79	13,582,000.00	2,850,400.00	3,667,140.00	54,501,483.57	23,000,000.00	31,501,483.57
2025	20,593,518.57	11,004,704.83	3,134,097.66	10,229,000.00	2,066,600.00	2,761,830.00	44,266,091.06	27,000,000.00	17,266,091.06
2026	21,209,364.06	10,876,362.76	2,896,511.37	14,252,000.00	2,045,800.00	3,848,040.00	47,431,998.19	25,000,000.00	22,431,998.19
2027	23,628,519.19	10,879,203.02	2,673,059.71	10,229,000.00	2,800,000.00	2,761,830.00	47,447,951.92	24,000,000.00	23,447,951.92
2028	24,291,351.92	11,071,452.49	2,559,734.38	13,582,000.00	2,045,800.00	3,667,140.00	49,883,198.79	26,000,000.00	23,883,198.79
2029	25,098,669.79	11,146,885.29	2,517,204.94	10,229,000.00	2,800,000.00	2,761,830.00	49,029,930.01	19,000,000.00	30,029,930.01
2030	27,781,380.01	11,545,482.74	2,510,604.29	14,252,000.00	2,045,800.00	3,848,040.00	54,287,227.04	23,000,000.00	31,287,227.04
2031	27,056,133.04	10,871,885.76	2,537,104.31	13,582,000.00	3,078,000.00	3,667,140.00	53,457,983.11	20,000,000.00	33,457,983.11
2032	29,466,561.11	10,196,639.05	2,274,599.39	10,229,000.00	2,850,400.00	2,761,830.00	52,255,369.54	21,000,000.00	31,255,369.54
2033	29,520,099.54	9,649,737.89	2,028,711.16	15,390,000.00	2,066,600.00	4,155,300.00	54,499,848.59	24,000,000.00	30,499,848.59

Year	Revenues	Expenditures	Unexpended Fund
2010	48.77	7.36	41.41
2011	67.45	22.52	44.92
2012	66.14	20.13	46.01
2013	46.12	25.36	20.76
2014	46.33	19.76	26.57
2015	38.86	11.19	27.67
2016	40.29	18.90	21.38
2017	38.99	11.19	27.80
2018	49.40	18.90	30.50
2019	52.37	19.00	33.37
2020	44.39	20.00	24.39
2021	54.00	21.00	33.00
2022	43.23	20.00	23.23
2023	38.70	22.00	16.70
2024	54.50	23.00	31.50
2025	44.27	27.00	17.27
2026	47.43	25.00	22.43
2027	47.45	24.00	23.45
2028	49.88	26.00	23.88
2029	49.03	19.00	30.03
2030	54.29	23.00	31.29



APPENDIX

C

FINANCIAL STATEMENT REPORTS

APPENDIX C-1

STATE OF ARKANSAS SAFE DRINKING WATER FUND

STATEMENT OF NET ASSETS

YEARS ENDING JUNE 30, 2011 AND JUNE 30, 2012

	REVOLVING LOAN FUND	DEPT OF HEALTH SET-ASIDE	FEES AND EXPENSE	2012 (UNAUDITED)	2011 (AUDITED)
ASSETS					
Cash and cash equivalents	\$ 32,598,755	\$ -	\$ 1,017,127	\$ 33,615,882	\$ 25,944,351
Accrued interest receivable:					
Investment	\$ 10,227	\$ -	\$ 24	\$ 10,251	\$ 208
Loans	\$ 212,724	\$ -	\$ 17,167	\$ 229,891	\$ 195,459
Accounts receivable					
Borrowers	\$ 13,916	\$ -	\$ 132,636	\$ 146,552	\$ 113,167
EPA	\$ -	\$ 374,866	\$ -	\$ 374,866	\$ 393,274
Loans receivable, net	\$ 147,513,717	\$ -	\$ 4,120,000	\$ 151,633,717	\$ 135,627,962
Fair Value Investments	\$ 18,003,950	\$ -	\$ -	\$ 18,003,950	\$ -
Other assets	\$ 181,265	\$ -	\$ -	\$ 181,265	\$ -
TOTAL ASSETS	\$ 198,534,554	\$ 374,866	\$ 5,286,954	\$ 204,196,374	\$ 162,274,421
LIABILITIES					
Accounts payable	\$ 159	\$ 374,866	\$ 126,536	\$ 501,561	\$ 392,420
Accrued interest payable	\$ 97,953	\$ -	\$ -	\$ 97,953	\$ -
Bonds payable-current portion	\$ -	\$ -	\$ -	\$ -	\$ -
Bonds payable-net of unamortized premiums	\$ 28,112,150	\$ -	\$ -	\$ 28,112,150	\$ -
Deferred fees	\$ -	\$ -	\$ 3,595,485	\$ 3,595,485	\$ 3,595,485
Amoritization of deferred fees	\$ -	\$ -	\$ (990,700)	\$ (990,700)	\$ (820,400)
TOTAL LIABILITIES	\$ 28,210,262	\$ 374,866	\$ 2,731,321	\$ 31,316,449	\$ 3,167,505
NET ASSETS					
Restricted for program administration	\$ 170,324,292	\$ -	\$ 2,555,633	\$ 172,879,925	\$ 159,106,847
TOTAL LIABILITIES AND NET ASSETS	\$ 198,534,554	\$ 374,866	\$ 5,286,954	\$ 204,196,374	\$ 162,274,352

APPENDIX C-2

STATE OF ARKANSAS SAFE DRINKING WATER FUND STATEMENT OF REVENUES, EXPENSES AND CHANGES IN RETAINED EARNINGS YEARS ENDING JUNE 30, 2011 AND JUNE 30, 2012

	REVOLVING LOAN FUND	DEPT. OF HEALTH SET-ASIDE	FEES AND EXPENSE	2012 (UNAUDITED)	2011 (AUDITED)
<u>OPERATING REVENUES</u>					
Interest Income					
Investments	\$ 7,040	\$ -	\$ 451	\$ 7,491	\$ 5,570
Loans	\$ 2,888,056	\$ -	\$ 82,400	\$ 2,970,456	\$ 2,632,258
Financing fees	\$ -	\$ -	\$ 1,613,326	\$ 1,613,326	\$ 1,420,444
Other	\$ (1,794)	\$ -	\$ -	\$ (1,794)	\$ 5,580
Total Operating Revenues	\$ 2,893,302	\$ -	\$ 1,696,177	\$ 4,589,479	\$ 4,063,852
<u>OPERATING EXPENSES</u>					
Federal financial assistance programs					
ARRA	\$ 125,216	\$ -	\$ -	\$ 125,216	\$ 5,881,650
BASE	\$ 177,903	\$ -	\$ -	\$ 177,903	\$ -
Program Administration	\$ -	\$ -	\$ 160,066	\$ 160,066	\$ 145,912
Bond Interest	\$ 757,504	\$ -	\$ -	\$ 757,504	\$ -
Amortization of bond issuance costs	\$ 13,663	\$ -	\$ -	\$ 13,663	\$ -
Amortization of bond premiums	\$ (280,359)	\$ -	\$ -	\$ (280,359)	\$ -
Total Operating Revenues	\$ 793,927	\$ -	\$ 160,066	\$ 953,993	\$ 6,027,562
<u>OPERATING INCOME</u>	\$ 2,099,375	\$ -	\$ 1,536,111	\$ 3,635,486	\$ (1,963,710)
<u>NON OPERATING INCOME</u>					
Federal Grants	\$ 8,032,322	\$ 3,041,607	\$ (11,304)	\$ 11,062,625	\$ 14,097,871
ARRA Federal Grants	\$ 125,216	\$ -	\$ -	\$ 125,216	\$ 10,486,497
State Contributions	\$ -	\$ -	\$ -	\$ -	\$ -
<u>INCOME BEFORE TRANSFERS OUT</u>	\$ 10,256,913	\$ 3,041,607	\$ 1,524,807	\$ 14,823,327	\$ 22,620,658
Transfers (Out) In	\$ 7,015,138	\$ (3,041,607)	\$ (5,023,780)	\$ (1,050,249)	\$ 343,301
Change in Net Assets	\$ 17,272,051	\$ -	\$ (3,498,973)	\$ 13,773,078	\$ 22,963,959
<u>NET ASSETS</u>					
Beginning of year	\$ 153,052,241	\$ -	\$ 6,054,606	\$ 159,106,847	\$ 136,142,888
End of year	\$ 170,324,292	\$ -	\$ 2,555,633	\$ 172,879,925	\$ 159,106,847

APPENDIX C-3

STATE OF ARKANSAS SAFE DRINKING WATER FUND

STATEMENT OF CASH FLOWS

YEARS ENDING JUNE 30, 2011 AND JUNE 30, 2012

	REVOLVING LOAN FUND	SET ASIDES	FEES AND EXPENSES	2012 (UNAUDITED)	2011 (AUDITED)
OPERATING ACTIVITIES					
Interest received on investments	\$ (3,016)	\$ -	\$ 464	\$ (2,552)	\$ 8,316
Interest received on loans	\$ 2,853,624	\$ -	\$ 82,400	\$ 2,936,024	\$ 2,560,826
Loan Disbursements	\$ (19,828,648)	\$ -	\$ -	\$ (19,828,648)	\$ (22,523,356)
ARRA Loan Disbursements	\$ (125,216)	\$ -	\$ -	\$ (125,216)	\$ (4,604,847)
Principal payments on loans	\$ 3,948,110	\$ -	\$ -	\$ 3,948,110	\$ 3,545,262
Financing fees	\$ (13,916)	\$ -	\$ 1,423,557	\$ 1,409,641	\$ 1,208,013
ARRA Principal forgiveness disbursements	\$ (303,119)	\$ -	\$ -	\$ (303,119)	\$ (5,881,650)
Cash paid for interest	\$ (659,551)	\$ -	\$ -	\$ (659,551)	\$ -
Cash paid for program administration	\$ 15	\$ -	\$ (146,198)	\$ (146,183)	\$ (128,303)
				\$ -	\$ -
Net cash provided by operating activities	\$ (14,131,717)	\$ -	\$ 1,360,223	\$ (12,771,494)	\$ (25,815,739)
NONCAPITAL FINANCING ACTIVITIES					
Repayment of long-term debt	\$ -	\$ -	\$ -	\$ -	\$ -
Proceeds from bond issuance	\$ 28,392,509	\$ -	\$ -	\$ 28,392,509	\$ -
Cash paid for cost of issuance of long-term debt	\$ (194,929)	\$ -	\$ -	\$ (194,929)	\$ -
Transfers in (Out)	\$ 7,015,138	\$ (2,946,417)	\$ (5,023,781)	\$ (955,060)	\$ 362,176
Non operating grants received	\$ 8,157,540	\$ 2,946,417	\$ 102,292	\$ 11,206,249	\$ 24,453,985
				\$ -	\$ -
Net cash provided by non capital financing activities	\$ 43,370,258	\$ -	\$ (4,921,489)	\$ 38,448,769	\$ 24,816,161
INVESTING ACTIVITIES					
Proceeds from sale of investments	\$ -	\$ -	\$ -	\$ -	\$ 11,000,000
Purchase of investments	\$ (18,005,744)	\$ -	\$ -	\$ (18,005,744)	\$ (6,993,930)
Net cash received (used) in investing activities	\$ (18,005,744)	\$ -	\$ -	\$ (18,005,744)	\$ 4,006,070
				\$ -	\$ -
Net Increase in Cash and Cash Equivalents	\$ 11,232,797	\$ -	\$ (3,561,266)	\$ 7,671,531	\$ 3,006,492
CASH AND CASH EQUIVALENTS:					
Beginning of year	\$ 21,365,958	\$ -	\$ 4,578,393	\$ 25,944,351	\$ 22,937,859
End of Year	\$ 32,598,755	\$ -	\$ 1,017,127	\$ 33,615,882	\$ 25,944,351
RECONCILIATION OF OPERATING INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES:					
Operating Income (Loss)	\$ 2,099,375	\$ -	\$ 1,536,111	\$ 3,635,486	\$ (1,963,710)
Items not providing operating activities cash flows:					
Amortization of bond issuance costs	\$ 13,663	\$ -	\$ -	\$ 13,663	\$ -
Amortization of bond premiums	\$ (280,359)	\$ -	\$ -	\$ (280,359)	\$ -
Amortization of deferred financing fees	\$ -	\$ -	\$ (170,300)	\$ (170,300)	\$ (165,012)
Net appr/depr in investments	\$ 1,794	\$ -	\$ -	\$ 1,794	\$ (5,580)
Changes in:					
Accounts receivable:					
Borrowers	\$ (13,916)	\$ -	\$ (19,469)	\$ (33,385)	\$ (47,419)
Accrued interest receivable:				\$ -	\$ -
Investments	\$ (10,056)	\$ -	\$ 13	\$ (10,043)	\$ 2,746
Loans	\$ (34,432)	\$ -	\$ -	\$ (34,432)	\$ (71,432)
Loans receivable	\$ (16,005,754)	\$ -	\$ -	\$ (16,005,754)	\$ (23,582,941)
Deferred Fees	\$ 17	\$ -	\$ 13,866	\$ 13,883	\$ 17,609
Accounts payable	\$ 97,953	\$ -	\$ -	\$ 97,953	\$ -
Net cash used in operating activities	\$ (14,131,715)	\$ -	\$ 1,360,221	\$ (12,771,494)	\$ (25,815,739)

APPENDIX C-4

STATE OF ARKANSAS SAFE DRINKING WATER FUND PACE CALCULATIONS - Financial Indicators YEARS ENDING JUNE 30, 2011 AND JUNE 30, 2012

FEDERAL RETURN ON INVESTMENT

State Fiscal Year	Cumulative Assistance Disbursed	Cumulative Federal Cash Draws	Federal Return on Investment
2011	\$ 138,086,446	\$ 128,126,678	107.77%
2012	\$ 158,343,429	\$ 140,284,698	112.87%

EXECUTED LOANS AS A PERCENTAGE OF FUNDS AVAILABLE

State Fiscal Year	Total RLF Assistance Provided (Loans)	Cumulative Funds Available for Loans	Executed Loans as a % of Funds Available
2011	\$ 188,769,011	\$ 192,593,870	98.01%
2012	\$ 222,129,011	\$ 256,095,258	86.74%

LOAN DISBURSEMENTS AS A PERCENTAGE OF EXECUTED LOANS

State Fiscal Year	Cumulative Assistance Disbursed	Total RLF Assistance Provided (Loans)	Loan Disbursements as a % of Executed Loans
2011	\$ 138,086,446	\$ 188,769,011	73.15%
2012	\$ 158,343,429	\$ 222,129,011	71.28%

***Note: Information from NIMS and includes ARRA totals

APPENDIX

D

**ARKANSAS DEPARTMENT OF HEALTH
Center for Local Public Health
Environmental Health Branch
Engineering Section**



**ANNUAL DWSRF REPORT
STATE FISCAL YEAR 2012
(JULY 1, 2011 through JUNE 30, 2012)
JULY 2012**

TABLE OF CONTENTS

I. INTRODUCTION.....	37
II. STATE PROGRAM MANAGEMENT SET-ASIDE.....	37
III. SMALL SYSTEM TECHNICAL ASSISTANCE.....	43
IV. LOCAL ASSISTANCE AND OTHER STATE PROGRAMS SET-ASIDE.....	46
1. Wellhead Protection Program Implementation.....	46
2. Capacity Development Strategy Assistance.....	51
V. APPENDIX A.....	57
VI. APPENDIX B.....	65

INTRODUCTION

The Arkansas Department of Health (ADH), Engineering Section (Engineering) is the primacy agency for administering the provisions of the Federal Safe Drinking Water Act (SDWA) in the State of Arkansas. Engineering is responsible for administering the State Public Water Supply Supervision Program within the ADH.

The Drinking Water State Revolving Fund (DWSRF) program came about as a part of the 1996 Amendments to the SDWA. The Arkansas Natural Resources Commission (ANRC) and the ADH signed an Interagency Memorandum of Agreement on administration of the DWSRF grant. Under this MOA, the ANRC administers the Loan Fund and the Administrative (4%) Set-aside portions of the DWSRF. ADH Engineering administers the State Program Management (10%), Small System Technical Assistance (2%), and Local Assistance & Other State Programs (15%) Set-asides portions of the DWSRF.

The purpose of this document is to present program activity progress and expenditures during State Fiscal Year 2012. A table is included in Attachment A which shows expenditures by set-aside and grant year. One notable departure from standard federal character definitions is that the federal definition of Equipment includes purchases of equipment which exceed \$5,000.00 and the state definition includes purchases which exceed \$2,500.00. The state definition is used in this report for identifying Equipment.

II. STATE PROGRAM MANAGEMENT SET-ASIDE

Section 1452(g)(2) authorizes the State to use up to 10% of its capitalization grant in the form of the State Program Management Set-Aside (SPM) for implementing drinking water program related activities. Engineering requested the full amount of State Program Management Set-Aside funds in its SRF-07 and SRF-08 workplans and a portion of the State Program Management Set-Aside in the SRF-09 workplan. The summary table in Attachment A shows expenditures during SFY12.

Under this set-aside, Engineering must provide a dollar-for-dollar match to use these funds. Half of the State match is to be in addition to the amount the State expended for public water supply supervision in FY93 and not include any funds used to match other federal grants. The state used unmatched funds from its annual public water system fee program to match the set-aside funds. In FY93 the State expended \$1,279,113 of State funds in its Public Water System Supervision Program (PWSSP).

For SFY12 Engineering budgeted \$1,725,167 and expended \$1,423,919 from the Public Water System program (State funds). Of this amount, \$995,889 was available for match against the SPM set-aside once PWSS (Water Grant) match funds were taken out. The FY93 credit plus the available State funds total \$1,991,778. For SFY12 Engineering expended \$1,074,055 from the SPM set-aside. This should demonstrate that sufficient unmatched state funding was available to cover the 1:1 match requirement for this set-aside.

The matching funds were supplied in the form of in-kind services for work performed using PWS Fees. In-kind services included such activities as sanitary surveys, plan reviews, technical assistance, enforcement/compliance issues, operator training and certification activities, staff training, associated travel, office support, etc. performed by staff members. The percentages

for O&M and travel worked out to be about the same as for the set-aside funded positions. The match-positions and job duties are discussed in a later portion of this section.

Other expenditures from this set-aside included such items as office equipment and supplies, staff training and travel, PCs, upgrades to the Section's LAN system, routine O&M, etc. Refer to Attachment B for a more detailed listing of items.

The Goals and Objectives, Proposed Outputs and Deliverables as outline in the workplan and the SFY12 Outputs applied to the State Program Management Set-Aside category are summarized below:

Sub-Element/Category: Assistance to Public Water System Supervision (PWSS)

Goal: Provide PWSS programs that will bring PWSs into or keep them in compliance.

OBJECTIVE 1: Provide public water system supervision to ensure compliance with the SDWA .	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)(A)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Provide administrative support for the ADH staff to supervise PWSs such as maintaining files, developing standard operating procedures and/or guidance, travel coordination, and other administrative tasks to maintain primacy delegation and meet reporting requirements of SDWA.	ADH	On-going
2. Perform Sanitary Surveys and prepare report of sanitary and regulatory deficiencies. Respond to regulatory needs and deficiencies as needed.	ADH, PWS	On-going
3. Implement new and enforce existing EPA and ADH Water Regulations.	ADH	On-going
4. Provide technical assistance and training to water operators for existing and upcoming regulations	ADH, PWS	As Needed
5. Review compliance data for compliance with state and federal drinking water regulations. Identify violations and notify PWSs of all violations.	ADH	On-going
6. Review of plans and specifications as required under State regulations	ADH	On-going
7. Administer EPA grants and track/facilitate expenditures.	ADH	On-going
8. Conversion of Engineering's legacy system to SDWIS/State. (Operator Certification, Bacteriological Sample tracking, Sanitary Survey Tracking)	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 12 Outputs
Programmatic	Maintenance of state primacy delegation.	ADH retains primacy.	Primacy has been maintained
	Staff to administer EPA grants and track/facilitate expenditures and ACH reimbursements.	Document and track grant expenditures	See Appendix A
	Meeting EPA reporting requirements under the SDWA.	Document and track PWS compliance and enforcement actions	The follow enforcement actions were taken and tracked: Violation Determined and PN requested – 571, PN verification received 254, WAOs – 33; AOs – 15 , CO – 6
	Conversion of Engineering's legacy data systems to SDWIS/State. These databases will include: Water Operator Certification tracking, Bacteriological Monitoring Bottle Mailings, Sanitary Survey tracking, Boil Water Order Notification tracking, and SWAP applications.	SDWIS/Fed reporting of all Inventory, Violations, Enforcement, Monitoring and Milestone data within 45 days of end of each quarter	SDWIS / Fed reporting completed quarterly as required. Deadlines for submittal: 8/15/11, 11/15/11, 2/15/12; 5/15/12, Dates submitted: 8/11 Conversion of legacy data is on-going.
		All State reports required under the SDWA will be submitted and within the required timeframes.	Annual and miscellaneous reports submitted as requested.
Environmental	Plan and specification reviews as required under the state regulations. Complete more than 90% of plan reviews within required timeframe (est. 225 plans)	Log and track an estimated 1500 plans and specifications annually	1667 total plan submittals received, logged and tracked
		Field Surveillance Staff - Review an estimated 200 plans and specifications	Field Surveillance Staff: <ul style="list-style-type: none"> • SPM funded positions conducted 794 review assignments on 597 plans • SPM state match positions –conducted reviews on 85 plans
		Technical Support Staff – Review and an estimated 25 plans and specifications	Technical Support Staff -- SPM funded Positions <ul style="list-style-type: none"> • Reviewed 8 corrosion control plans • Reviewed and prepared 16 Disinfection

			Profile reports, <ul style="list-style-type: none"> Conducted evaluations and made 19 GWUDI determinations
Environmental	Conducting sanitary surveys and other inspections at PWSs	Complete more than 90% of sanitary surveys that are due in the scheduled year. (An estimated 100 surveys to be completed by Field Surveillance staff.)	174 Sanitary surveys completed by SPM funded Field Surveillance staff positions; 17 Sanitary surveys completed by SPM state match Field Surveillance staff positions;
		Complete more than 90% of sanitary surveys that are due in the scheduled year. (An estimated 50 surveys to be completed by Technical Support staff.)	SPM funded Technical Support Staff <ul style="list-style-type: none"> 65 Sanitary surveys completed by SPM funded Technical Support Staff. SPM funded Technical Support Staff also participated in 3 CPEs & 2 Quarterly AWOP meetings.
		Other Inspections / Investigations at PWSs	<ul style="list-style-type: none"> SPM funded Field Surveillance Staff conducted 76 investigations and provided direct one-on-one technical assistance on 90 occasions SPM state match positions – conducted 22 investigations
	Pre-planning for and implementation of new regulations associated with the SDWA.	New EPA/SDWA regulations will be implemented within the prescribed timeframes.	All new rules / requirements are implementation on schedule and generally ahead of schedule. <ul style="list-style-type: none"> LT2 -- advised 7 systems of their change in treatment requirements triggered by LT2 source water monitoring. Stage 1 & 2 DBP -- 84 monitoring plans completed / approved, letters advising systems of DPB results sent to PWSs – 2313 Stage 1 compliance status letters;
Environmental	Training and technical assistance for water system operators and managers specific to new/upcoming SDWA requirements. Assistance will be provided in classroom and	ADH sponsored water operator training for new/upcoming SDWA regulations.	SPM funded Field Surveillance Staff provided direct one-on-one technical assistance on 90 occasions

	one-on-one settings, as well as by mail outs.		
	Technical assistance to water operators.	Provide technical assistance to operators	Combined Man-hours of Technical Assistance, Complaint Investigation; and Operator Training: SPM funded Field Surveillance Staff – 4612 man-hours SPM state match positions – 916 man-hours
		Document technical assistance and ADH sponsored training sessions (est. 24 training session per year)	ADH sponsored 18 compliance schools
		Oversight and coordination of an estimated two (2) fluoride schools/year	Two (2) fluoride training sessions conducted

¹The term “Output” means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period.” EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 12
Programmatic	ADH retains primacy of SDWA and completes all required reports	Primacy maintained and all required reports were completed.
Environmental	85% of the community PWSs in the State will meet all applicable health-based drinking water standards.	87.4% of Community Water Systems met all health based standards during SFY 12.
Programmatic	Maintain certified operators at 90% of community PWSs.	98.7% of Community PWSs maintained operator certification during SFY 12

¹The term “outcome” means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period.” EPA Order Classification No.: 5700.7

SUMMARY

As can be seen from the activities and measureables reported in this section, that a lot of progress was made in the State's drinking water program. The activities conducted using the SPM set-aside funds are an integral part of the State's overall drinking water Public Water System Supervision Program. Thanks in part to the SPM set-aside funds, the Engineering Section was effectively implementing all required state and federal regulations promulgated to date and has been successful in retaining its Primacy status with EPA. In fact, we have been able to continue early implementation activities on the DBP Stage 2 Rule and the Long Term 2 Enhanced Surface Water Treatment Rule. EPA Drinking Water Staff have continued to be complementary of our overall program accomplishments and the progress we made during the past year.

III. SMALL SYSTEM TECHNICAL ASSISTANCE

Section 1452(g)(2) allows the State to use up to 2% of its capitalization grant for implementing technical assistance activities of Section 1442(e). The full set-aside amount is being requested to provide assistance to small water systems in assessing and implementing capacity development.

This assistance will be accomplished through contract services. Two contracts are planned which will be renewable annually (for an additional six years) or until set-aside funds are expended. One contract will focus on financial and managerial capacity development. The other contract will focus on technical and operational capacity development. As required under State procedures, Requests for Proposals will be prepared to solicit interested contractors to provide the necessary assistance under the oversight of Engineering.

The contracts will be implemented in a "circuit rider" format with on-site visits to assess individual water system needs, provide corrective action plans addressing identified deficiencies, and provide technical assistance in correcting these deficiencies. Each successful contractor will be provided a prioritized list of water systems that have demonstrated a lack of sufficient capacity to maintain compliance with state and federal requirements. The list will include systems that are chronic violators and/or have other demonstrated problems. The contractors will provide on-site technical assistance as needed to aid in improving the systems technical, financial and managerial capacity.

Two new technical assistance contracts, both including provisions for six one-year extensions, were put into place for SFY12. The contracts were effective from July 1, 2011 through June 30, 2012. The technical assistance contracts were funded for evaluating and improving the "capacity development" adequacy of some small water systems using these set-aside funds. One contract, aimed at Technical and Operational aspects, was awarded to the Arkansas Rural Water Association. The second contract, aimed at Financial and Managerial aspects, was also awarded the Arkansas Rural Water Association. Both contracts operated in the same manner. The Contractor was given a prioritized list of water systems to visit. The Contractor visited systems to improve their financial and managerial capacity by assisting with rate studies, budget analysis, board member training, asset management training, long range plans, and emergency response plans. The Contractor also provided on-site technical assistance hours to water operators to improve the technical and operational capacity of water systems based on assessments provided by the State.

The Goals and Objectives, Proposed Outputs and Deliverables as outlined in the workplan and the SFY12 Outputs applied to the Small System Technical Assistance Set-Aside category are summarized below:

Sub-Element/Category: Small Systems Technical Assistance

Goal: Provide technical assistance to small water systems to improve system capacity for compliance with drinking water regulations

OBJECTIVE 1: To insure adequate capacity development at each water system for the future.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Perform assessment of each new potential water system to determine if it meets technical, managerial, and financial (TMF) capacity.	ADH, PWS	On-going
2. Perform a TMF assessment of each existing community water system and non-transient non-community water system and rank them based on their TMF assessment.	ADH	On-going
3. Provided assistance to PWSs to improve their TMF capacity. Priority will be given to the systems with the most need.	ADH, Contractors	On-going
4. Track PWSS Progress towards meeting TMF capacity.	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 12 Outputs
Environmental	Assess individual water system needs, provide corrective action plans addressing identified deficiencies, and provide technical assistance in correcting these deficiencies; systems that are chronic violators and/or have	A corrective action plan will be developed with appropriate milestones and delivered to the water system for consideration and implementation. (Reportables' include: names of systems contacted & number of on-site visits made, assessment reports completed,	The Contractor submitted a database each month detailing man-hours claimed to the Section along with requests for payment based upon the contract unit prices. During

	other demonstrated problems	strategies developed, man-hours on-site technical assistance rendered, participation in CPEs, and the status of systems following through in implementing the corrective action plans.)	<p>SFY12 the contractor contributed the following:</p> <p>Financial & Managerial (F&M) ; Technical & Operational (T&O)</p> <ul style="list-style-type: none"> On-site Technical Assistance (man-hours) F&M - 401 T&O - 242 CPE Participation F&M - 4 T&O - 4 Technical Assistance Office Hours F&M - 55 T&O - 10 Travel Hours F&M - 129 T&O - 55
--	-----------------------------	---	--

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 12
Environmental	Improve TMF capacity.	ADH has 3 contracts in place with TA providers to assist small water systems with improving TMF capacity through technical assistance and GIS mapping of infrastructure.

¹The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

IV. LOCAL ASSISTANCE AND OTHER STATE PROGRAMS SET-ASIDE

Section 1452(k) allows the State to use up to 15% of its capitalization grant for implementing activities under this set-aside. The State plans to use the funds in two primary program areas: Wellhead Protection (WHP) Program implementation (1452(k)(D)) and Capacity Development Strategy assistance (1452(k)(B)). The State requested the full amount of the Local Assistance and Other State Programs Set-Aside (Wellhead Protection Program & Capacity Development) funds in the EPA approved workplans. The table in Attachment A shows the expenditures for SFY12.

1. Wellhead Protection Program Implementation

Wellhead Protection program activities implemented benefited the State's Community Water Supply systems by moving them closer to having a substantially implemented Source Water Protection program as defined by the Arkansas Department of Health.

Sub-Element/Category: Wellhead Protection Program

Goal: Promote and continue to implement wellhead protection program efforts

OBJECTIVE 1: Obtain meaningful information on groundwater aquifer characteristics and vulnerabilities and maintain a well operated GIS data system.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1428 & 1452(k)(1)(D)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Collection and updating of GIS field data for PWSs.	ADH, PWS, UofA, USGS	On-going
2. Conversion of GIS data from NAD27 to NAD83.	ADH	On-going
3. Obtain or develop electronic data for improving the GIS system and Source Water Assessment Model.	ADH, UofA, USGS	On-going
4. Maintain and update the Source Water Assessment Model for providing up to date meaningful protection area reports	ADH, USGS	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

OBJECTIVE 2: Delineate wellhead protection areas and provide public outreach regarding wellhead and groundwater protection efforts.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1428 & 1452(k)(1)(D)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Provide technical assistance to PWSs development of wellhead protection programs.	ADH, PWS	As Needed
2. Conduct public outreach activities and training sessions to promote wellhead and groundwater protection.	ADH, PWS	As Needed
3. Delineation of wellhead protection areas for new wells and refinement/updates for existing wells.	ADH, UofA	On-going
4. Review of proposed point and non-point discharge permit applications for impacts on PWS sources.	ADH	On-going
5. Maintain files and ensure organized approach to source water protection efforts	ADH	On-going
6. Monitoring and review of new wells and vulnerable existing wells for Ground Water Under Direct Influence of surface water	ADH	As Needed
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY 12 Outputs
Environmental	Technical assistance to PWSs in public outreach efforts and assistance to the PWS in drafting needed documents, such as ordinances and emergency plans, as requested	Formal and informal technical assistance activities targeted towards ground water protection efforts, as needed or requested.	WHPP staff provided technical assistance activities on approximately 193 occasions. Such activities include: direct one-on-one assistance, public and individual outreach activities, mailing of information and reports as requested.
		<ul style="list-style-type: none"> Assistance, as requested, to PWSs in well siting, aquifer 	WHPP staff provided recommendations on construction of

		<p>identification, and recommended construction features. Estimate: well siting ~ 5 events/year</p> <ul style="list-style-type: none"> • construction recommendations (casing and grout depth, drill cuttings analysis, other) ~ 5 events/year • wellhead deficiency surveys ~ 10 events/year 	<p>seven (7) wells. Recommendations include: aquifer determination, construction depth, casing and grouting depths, etc. Staff reviewed drill cutting on three wells in order to confirm / refine recommendations. No wellhead deficiency surveys conduct specifically for SWAP purposes. Similar data is available from sanitary.</p>
Environmental	WHP protection area delineations for new wells and refinement/update of previous delineations	The ADH staff will delineate 20 wellhead protection areas for new or existing sources (# includes revised delineations where new or better data has become available.	Thirty-one (31) WHPAs were delineated @ five (5) different PWSs and five (5) Phase 1 reports completed and mailed.
Environmental	Collection of field data (e.g.; PSOC locations, wellhead locations, well construction features, etc.) for input into the GIS system	The ADH staff will assist PWSs in conducting and/or updating 30 contaminant source surveys and complete Phase II WHP reports. An estimated 4 PSOC inventories will be conducted per year.	ADH conducted PSOC inventories at 8 PWSs covering 20 WHPAs. Three (3) Phase II (inventory) Reports were complete. These reports include 35 PSOC maps.
Environmental	The ADH staff will assist all interested PWSs in preparing and implementing Source Water Protection Plans.	Provision of assistance to surface water systems in developing source water protection plans, upon request.	Created 23 PSOC maps for ARWA to use during field PSOC inventory collection / verification. Produced 31 PSOC inventory maps for ARWAs WHPP / SWAP reports for 3 public water systems and for 1 WHPP Phase II report.
Programmatic	Conversion of GIS spatial data from USGS North American Datum 1927 (NAD27) to North American Datum		All major projects and data layers are now using the NAD 83 datum. This is consistent with the Arkansas State

	1983 as time permits		Land Board which is the state store house for GIS data. Source locations and supporting data layers have been maintained in NAD 27 to allow continued use of the States SWAP model, which was designed for use in NAD 27. Final conversion of all data will be completed upon SWAP update completion.
Programmatic	A routinely updated and well-operated GIS system	Routine updates of the GIS system from the databases of other agencies on a monthly basis.	Official and unofficial data sharing agreements are in place with the CWA agency to obtain and share location data on an as needed basis. GeoStor, the Statewide GIS data storehouse is available, also on an as need basis.
		Collect GPS and construction data on newly constructed wells (est. 5/year).	Twenty-one new wells were added to the inventory in SFY year. GPS locations obtained for each. Construction information during plan review process, sanitary surveys, and prep work for WHPA delineations.
Programmatic	To review proposed point and non-point discharge permit applications to insure, as much as possible, that drinking water sources are protected and affected water systems are kept informed	To review and comment on approximately 1,000 proposed projects annually with point and non-point source discharges.	A total of 1014 permits were review and comment made to the permitting agency. A breakdown of permit reviews by permit type follows: NPDES – 230, Land Application – 82, Salt Water Disposal – 215, Underground Injection – 5, Oklahoma NPDES permits – 57, Oil & Gas permits – 440, Miscellaneous – 85.
Programmatic	To provide a coordinated and	The development of standard	In cooperation with the University of

	organized approach to source water protection efforts in the State	procedures for conducting hydrologic sensitivity assessments at ground water sources.	Ark and USGS, three projects have been developed and agreed upon to enhance the SWAP model sensitivity assessments. These projects are design to update the existing model to current GIS software and to incorporate new / updated GIS layers such as, land use land cover updates, improved soils and geology layers, add fracture coverage, investigate computer modeling, etc. A fourth project is under development to incorporate stream time of travel.
--	--	---	--

¹"The term "Output" means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period." EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY 12
Environmental	50% of community PWSs will have substantially implemented Source Water Protection programs.	50.64% of community PWS have substantially implemented SWA programs under the State definition.
Environmental	95% of GWUDI evaluations will be conducted and a determination made within 30 days of the evaluation.	21 wells added to inventory and 19 GWUDI determinations completed; 100% of determinations made within 2 weeks of evaluation being completed.
Environmental	50 Source Water Protection Plans prepared or updated.	53 Source Water Protection Plans developed.

¹"The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

SUMMARY

The State's Wellhead Protection Program plan, as approved by EPA, has a stated two-fold purpose: 1) for the fulfillment of the wellhead protection requirements of the Safe Drinking Water Act; and 2) to provide another means to enhance the agency's continuing efforts to protect public drinking water supply sources under the State's Public Water Supply Supervision Program. Both goals were accomplished.

Overall, the principal aims of the WHPP, Phase I (delineation) and Phase II (PSOC inventory) report production, continued to be the main focus of the WHPP, although considerable time was expended on hydrologic analyses and technical assistance for location of well sites and sanitary construction of proposed new wells. Technical assistance to the water systems as needed was also a focus for the program, as was hydrologic analysis and technical assistance frequently requested by consultants, well drillers, and members of the public. Significant time was also devoted to offsite cooperation with other organizations and agencies interested in wellhead/source water protection, especially the Arkansas Rural Water Association on groundwater protection issues.

Most of the effort in producing WHP delineation reports was spent on collection and verification of the well construction data and hydrologic data included in the reports, followed by calculation of WHPA radii and compilation of the GIS layers for the accompanying maps. There were 31 delineations made or revised at five public water systems. A roughly equal amount of time was devoted to assistance with inventories of Potential Sources of Contamination (PSOC) within the delineated WHPA and the follow-up work such as creating GIS layers representing the PSOCs identified within each WHPA. PSOC inventories were conducted at eight water systems covering 20 wells PSOC inventory reports drafted for each.

2. Capacity Development Strategy Assistance

Capacity Development program activities implemented benefited the State's Community Water Supply systems by moving them closer to having adequate capacity or maintaining adequate capacity.

Sub-Element/Category: Capacity Development Program

Goal: Provide technical assistance to water systems to improve system capacity for compliance with drinking water regulations

OBJECTIVE 1: To provide assistance to enable small water systems serving a population <10,000 to achieve and maintain compliance with the Safe Drinking Water Act (SDWA) and State Drinking Water Regulations, work on specific water systems with the Capacity Development Program and produce high-quality drinking water for the long term.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(g)(2)
Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Assistance and training to PWSs with completed capacity assessments that were identified as requiring capacity assistance.	ADH, PWS, Contractors	As Needed
2. Assistance and training to PWSs identified by the ETT.	ADH, PWS, Contractors	As Needed
3. Assistance and training to PWSs to bring about regionalization.	ADH, PWS, Contractors	On-going
4. Assistance and training to PWSs with obtaining a certified operator.	ADH, PWS, Contractors	On-going
5. Board member training to PWS water boards and city councils.	ADH, Contractors	On-going
6. Complete Comprehensive Performance Evaluations on surface water systems that are unable to meet the requirements of the surface water treatment rules, the requirements of the disinfection by-product rules, or request assistance with optimization.	ADH, Contractors	On-going
7. Provide PWSs with infrastructure location and mapping services.	Contractors	On-going
8. Provide monthly reports to Engineering containing the names of PWSs assisted and the type and quantity of assistance provided.	Contractors	Monthly
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

OBJECTIVE 2: Implement the Capacity Development Program to assist new and existing PWSs to meet the requirements of the SDWA and State Drinking Water Regulations at present and in the future.	Administering Agency: ADH	Task Performing Agency: ADH
		SDWA Citation: 1452(k)(1)(B)

Activities (Outputs)	Collaborators	Schedule for Completing Activities - Rate of Completion
1. Draft CCRs for PWSs as requested. Ensure that all public water systems are provided with the technical assistance and training necessary to comply with the CCR regulations.	ADH, PWS	On-going
2. Provide necessary training for water operators seeking to obtain certification under the State's operator certification program and provide specialized training to further the skills of these and other operators.	ADH	On-going
3. Assist local PWSs in establishing cross connection control to help maintain the water quality in the PWS distribution mains.	ADH	On-going
4. Implement AWOP including the conducting of CPEs and PBT training sessions primarily for surface water systems that are unable to meet the requirements of the surface water treatment rules, the disinfection by-product rules, or for systems requesting assistance with optimization.	ADH	On-going
5. Provide systems a reliable overnight delivery service of bacteriological sample to the ADH laboratory.	ADH	On-going
Deliverable: Annual Reports to EPA (to include report on progress toward goals identified in the <i>Intended Use Plan</i> and an assessment of work plan activity achievements); EPA's Annual PWSS Program Evaluation.		

Outputs¹ – to be documented in Annual Reports:

Output Type	Quantitative/Qualitative Description of Proposed Output outlined in Work Plan.	Deliverables outlined in Work Plan.	SFY-12 Outputs
Environmental	Provide on-site technical assistance and training to PWS operators.	Provide an estimated twelve (12) 8-hour training sessions for PWS operators. Provide approximately 24 on-site training/ technical assistance visits .	<ul style="list-style-type: none"> • One-on-one TA concerning DBP issues provided to 30 systems • Assistance provide to 17 systems regarding Stage 2 DBP extension request • ADH staff presented sessions at 2 3-day conferences. • 1 OEL training event • 10 training sessions were held on cross-connection control policies and procedures.

Environmental	Conduct CCR training.	Conduct five (5) CCR training sessions for an anticipated 70 PWSs	Two (2) training classes were conducted to assist water system operators with the CCR process (approximately 6 operators were in attendance at each session.)
Environmental	Draft CCRs for PWSs as requested and provide direct assistance to PWSs developing their own.	Draft CCRs for an anticipated 600 PWSs; Assistance to PWSs drafting their own Newsletter on as needed basis.	Drafted 703 CCR's for community water systems and non transient non-community water systems.
Programmatic	Review, evaluated, and comment, as appropriate, on all CCRs submitted to Engineering	Anticipate 730 systems will be received and reviewed.	Reviewed approximately 740 system's CCR reports.
Environmental	Maintain the current overall compliance with the CCR		95% of systems met reporting guideline
Programmatic	Coordinate AWOP efforts	Provide one FTE to coordinate / conduct 4 CPEs and one PBT training event.	<ul style="list-style-type: none"> • 4 CPEs were conducted and one PBT on Distribution Disinfection Byproduct issues (22 systems involved) • Calibration check made at 41 surface water treatment plants on 298 turbidimeters, 60 pH meters and 84 chlorine analyzers • ADH Staff participated in AWOP events: 4 attended the national AWOP conference, 8 attend an AWOP quarterly meeting by Adobe Connect, 4 attended the Dallas AWOP meeting.
Programmatic	Provide operator compliance training courses	Provided 45 operator certification courses for 351 operators or operators-in-training.	50 courses were offered to operators at various locations statewide, including 18 compliance courses
Programmatic	Publish Quarterly Newsletter	Publish four (4) newsletters and	Four (4) newsletters were published

		distribute approximately 3600 per quarter	with over 3700 mailing per issue. Copies were sent to water system operators and managers, local utility officials (i.e.; mayor, association president, owner, etc.), environmental groups and other organizations and persons requesting to be on the distribution list.
Environmental	Coordinate / track mapping contract	Provide infrastructure location and mapping services for 40 water systems.	Assessment reports completed – 28; Man-hours GPS work – 554; Man- hours Mapping – 330, 97 Man-hours training
Programmatic		Review and approve any contract invoices and/or deliverables within 30 days.	Received 10 invoices covers mapping work on 28 systems. Invoice generally review and payment authorized within 7 days of receipt of final invoice.

¹“The term “Output” means an environmental activity, effort and/or associated work products related to an environmental goal or objective, what will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period.” EPA Order Classification No.: 5700.7

Outcomes/Environmental results – to be documented in Annual Reports:

Outcome Type	Quantitative Description of Outcome	SFY12
Environmental	To educate/reassure local PWS customers on the quality/safety of their drinking water.	708 water systems distributed CCRs to local PWS customers. 95% of systems met reporting requirement on or before schedule. The remaining 5% met requirements within 3 months of deadline.
Environmental	Improved distribution water quality provided to the public through cross-connection control program.	ADH performed cross-connection surveys and offered technical assistance at approximately 99 PWSs.
Environmental	Improve water quality through treatment plant optimization efforts.	Contractors participated in 4 CPEs on the Financial and the Technical side to optimize plant efforts.
Environmental	99% of Community and Non-Transient Non-Community water systems will have licensed operators. 50 courses were offered to operators and operators in training. ADH staff presented sessions at 2 3-day conferences. In addition, classes were held on fluoride training, CCR's, and cross-connection control. TA providers went on-site to help with peer-to-peer operator training when necessary.	98.8% licensed. Conducted 54 exam sessions, processed 439 exams, issued 79 Operator in Training certificates, issued 246 new licenses and tracked the renewal of 2326 operators holding 3471 active water operator licenses or Operator in Training Certificates.

¹ The term "outcome" means the result, effect or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable within an assistance agreement funding period." EPA Order Classification No.: 5700.7

This set-aside also contributed toward the Agency's state courier system to assist water systems in submitting samples to the laboratory. This service provides assistance to water systems by providing a reliable overnight delivery service at no cost to them. The systems also benefit by having significant reductions in the number of samples rejected due to being too old or being lost in transit. The systems do not have to coordinate individual arrangements with other means of sample delivery.

This set-aside also funded the first round of cryptosporidium monitoring and analysis for small systems as required by the LT2ESWTR. Three water system sources were monitored with 36 samples.

ATTACHMENT

A

Overall Summary of Travel Expenditures
ADH Expenditure Summary
Position Funding Summary
ADH Set-Aside Summary

ADH Expenditure Summary

GRANT ID			Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11	Dec ADJ 2011	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Total Expenses
FS986001-10 DWSRF07 FHD 2105 610352	PWSS	Salaries	\$ 70,258.02	\$ 46,146.21	\$ 11,218.72	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 127,622.95
		Fringe	\$ 20,685.12	\$ 15,457.09	\$ 3,801.89	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39,944.10
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ 2,021.78	\$ 981.65	\$ 24,150.96	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27,154.39
		Travel - 02	\$ 229.16	\$ 838.97	\$ 535.21	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,603.34
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ 10,344.54	\$ 7,040.05	\$ 1,728.70	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 19,113.29
		Subtotal	\$ 103,538.62	\$ 70,463.97	\$ 41,435.48	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 215,438.07
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ 19,587.50	\$ -	\$ -	\$ 12,682.80	\$ 636.53	\$ -		\$ -	\$ -	\$ 8,050.24	\$ 5,569.52	\$ -	\$ -	\$ 46,526.59
		Indirect	\$ 2,174.21	\$ -	\$ -	\$ 1,407.79	\$ 70.65	\$ -		\$ -	\$ -	\$ 893.58	\$ 618.22	\$ -	\$ -	\$ 5,164.45
		Subtotal	\$ 21,761.71	\$ -	\$ -	\$ 14,090.59	\$ 707.18	\$ -		\$ -	\$ -	\$ 8,943.82	\$ 6,187.74	\$ -	\$ -	\$ 51,691.04
	WHP	Salaries	\$ 38,960.59	\$ 22,409.63	\$ 21,577.16	\$ 21,577.21	\$ 21,577.18	\$ 34,569.75		\$ 23,781.17	\$ 23,781.23	\$ 25,084.62	\$ 26,387.99	\$ 26,988.04	\$ 21,466.28	\$ 308,160.85
		Fringe	\$ 10,682.95	\$ 7,442.14	\$ 7,266.47	\$ 8,394.55	\$ 7,266.46	\$ 9,756.46		\$ 9,296.59	\$ 8,239.57	\$ 8,648.08	\$ 9,778.15	\$ 8,916.87	\$ 6,867.44	\$ 102,555.73
		Travel - 09	\$ 265.00	\$ 675.00	\$ 322.59	\$ 88.49	\$ -	\$ 100.00		\$ -	\$ -	\$ -	\$ 126.84	\$ 1,372.44	\$ -	\$ 2,950.36
		Supplies	\$ 1,798.65	\$ 1,696.09	\$ 5,539.39	\$ 1,277.78	\$ 1,135.46	\$ 1,121.30	\$ (0.17)	\$ 1,391.35	\$ 1,148.58	\$ 1,577.49	\$ 1,882.28	\$ 1,265.54	\$ 1,422.89	\$ 21,256.63
		Travel - 02	\$ 475.14	\$ 424.60	\$ -	\$ -	\$ 262.12	\$ -		\$ -	\$ -	\$ 649.61	\$ 13.00	\$ 606.07	\$ -	\$ 2,430.54
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ 5,792.24	\$ 3,623.87	\$ 3,852.32	\$ 3,478.52	\$ 3,356.78	\$ 5,055.75		\$ 3,826.07	\$ 3,681.80	\$ 3,991.54	\$ 4,238.90	\$ 4,345.54	\$ 3,224.04	\$ 48,467.37
		Subtotal	\$ 57,974.57	\$ 36,271.33	\$ 38,557.93	\$ 34,816.55	\$ 33,598.00	\$ 50,603.26	\$ (0.17)	\$ 38,295.18	\$ 36,851.18	\$ 39,951.34	\$ 42,427.16	\$ 43,494.50	\$ 32,980.65	\$ 485,821.48
	CD	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ 4,727.00	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,727.00
		Indirect	\$ 524.61	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 524.61
		Subtotal	\$ 5,251.61	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,251.61
		TOTAL	\$ 188,526.51	\$ 106,735.30	\$ 79,993.41	\$ 48,907.14	\$ 34,305.18	\$ 50,603.26	\$ (0.17)	\$ 38,295.18	\$ 36,851.18	\$ 48,895.16	\$ 48,614.90	\$ 43,494.50	\$ 32,980.65	\$ 758,202.20

GRANT ID			Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11	Dec ADJ 2011	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Total Expenses
FS986001-11 DWSRF08 FHD 2105 610352	PWSS	Salaries	\$ -	\$ -	\$ 33,655.74	\$ 44,973.40	\$ 45,344.99	\$ 72,651.60		\$ 52,152.55	\$ 49,952.53	\$ 49,952.57	\$ 49,952.49	\$ 49,814.13	\$ 89,860.66	\$ 538,310.66
		Fringe	\$ -	\$ -	\$ 11,404.10	\$ 17,503.95	\$ 15,460.22	\$ 21,190.62		\$ 19,077.86	\$ 16,396.86	\$ 16,678.94	\$ 18,464.86	\$ 16,367.60	\$ 27,402.82	\$ 179,947.83
		Travel - 09	\$ -	\$ -	\$ 175.00	\$ 328.53	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ 463.70	\$ -	\$ 967.23
		Supplies	\$ -	\$ -	\$ 13,979.64	\$ 5,574.43	\$ 384.57	\$ 2,586.98		\$ 1,434.17	\$ 1,346.50	\$ 435.06	\$ 2,192.56	\$ 166.39	\$ 2,371.63	\$ 30,471.93
		Travel - 02	\$ -	\$ -	\$ -	\$ 488.55	\$ 492.47	\$ 366.20		\$ 247.11	\$ 150.57	\$ 554.24	\$ 1,586.58	\$ 1,368.59	\$ 577.69	\$ 5,832.00
		Equipment	\$ -	\$ -	\$ -	\$ 10,997.25	\$ (122.13)	\$ -		\$ -	\$ -	\$ -	\$ -	\$ 3,825.60	\$ 254.54	\$ 14,955.26
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ 10,918.51	\$ 7,632.99	\$ 6,833.17	\$ 10,744.29		\$ 8,093.20	\$ 7,519.69	\$ 7,498.01	\$ 8,013.81	\$ 7,568.03	\$ 13,311.14	\$ 88,132.84
		Subtotal	\$ -	\$ -	\$ 70,132.99	\$ 87,499.10	\$ 68,393.29	\$ 107,539.69		\$ 81,004.89	\$ 75,366.15	\$ 75,118.82	\$ 80,210.30	\$ 79,574.04	\$ 133,778.48	\$ 858,617.75
	TA	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27,466.28	\$ 27,466.28
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,075.23	\$ 8,075.23
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,024.05	\$ 4,024.05
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39,565.56	\$ 39,565.56
	CD	Salaries	\$ 76,132.33	\$ 52,807.58	\$ 46,733.44	\$ 45,493.06	\$ 45,152.71	\$ 69,759.77		\$ 48,505.40	\$ 49,214.14	\$ 49,090.17	\$ -	\$ -	\$ -	\$ 482,888.60
		Fringe	\$ 19,796.81	\$ 15,302.65	\$ 14,338.62	\$ 16,501.69	\$ 13,848.56	\$ 18,820.01		\$ 17,658.04	\$ 15,236.29	\$ 15,452.45	\$ -	\$ -	\$ -	\$ 146,955.12
		Travel - 09	\$ -	\$ 1,160.00	\$ 1,337.03	\$ 722.20	\$ 764.62	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,983.85
		Supplies	\$ 4,989.62	\$ 18,684.61	\$ 28,580.06	\$ 21,136.26	\$ 22,244.95	\$ 31,525.70	\$ 0.17	\$ 19,579.60	\$ 16,820.94	\$ 18,477.71	\$ 2,500.94	\$ -	\$ -	\$ 184,540.56
		Travel - 02	\$ 1,934.80	\$ 2,829.35	\$ 2,342.13	\$ 3,265.51	\$ 3,190.83	\$ 670.12		\$ 467.20	\$ 974.46	\$ 1,593.61	\$ 1,178.90	\$ -	\$ -	\$ 18,446.91
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ 1,590.00	\$ -	\$ -	\$ 22,733.52	\$ 8,333.85	\$ -		\$ 6,323.10	\$ 9,903.60	\$ 10,067.93	\$ 26,917.28	\$ -	\$ -	\$ 85,869.28
		Indirect	\$ 12,189.01	\$ 10,709.28	\$ 10,825.49	\$ 12,600.92	\$ 10,411.96	\$ 14,065.28		\$ 9,934.01	\$ 9,587.32	\$ 9,892.41	\$ -	\$ -	\$ -	\$ 100,215.68
		Subtotal	\$ 116,632.57	\$ 101,493.47	\$ 104,156.77	\$ 122,453.16	\$ 103,947.48	\$ 134,840.88	\$ 0.17	\$ 102,467.35	\$ 101,736.75	\$ 104,574.28	\$ 30,597.12	\$ -	\$ -	\$ 1,022,900.00
		TOTAL	\$ 116,632.57	\$ 101,493.47	\$ 174,289.76	\$ 209,952.26	\$ 172,340.77	\$ 242,380.57	\$ 0.17	\$ 183,472.24	\$ 177,102.90	\$ 179,693.10	\$ 110,807.42	\$ 79,574.04	\$ 173,344.04	\$ 1,921,083.31

GRANT ID			Jul-11	Aug-11	Sep-11	Oct-11	Nov-11	Dec-11	Dec ADJ 2011	Jan-12	Feb-12	Mar-12	Apr-12	May-12	Jun-12	Total Expenses
FS986001-12 DWSRF09 FHD 2105 610352	PWSS ASRC0009	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	TA ASRD0009	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	WHP ASRB0009	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	CD ASRF0009	Salaries	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 49,214.16	\$ 48,964.55	\$ 91,235.72	\$ 189,414.43
		Fringe	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 17,616.77	\$ 16,186.85	\$ 26,686.30	\$ 60,489.92
		Travel - 09	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ 1,290.00	\$ 324.12	\$ 1,614.12
		Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 17,437.15	\$ 20,975.74	\$ 27,604.74	\$ 66,017.63
		Travel - 02	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ 1,523.06	\$ 794.94	\$ 2,318.00
		Equipment	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
		Contractual	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,637.33	\$ 4,637.33
		Indirect	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 10,217.01	\$ 10,354.10	\$ 17,258.60	\$ 37,829.71
		Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 94,485.09	\$ 99,294.30	\$ 168,541.75	\$ 362,321.14
		TOTAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 94,485.09	\$ 99,294.30	\$ 168,541.75	\$ 362,321.14
		GRAND TOTAL	\$ 305,159.08	\$ 208,228.77	\$ 254,283.17	\$ 258,859.40	\$ 206,645.95	\$ 292,983.83	\$ -	\$ 221,767.42	\$ 213,954.08	\$ 228,588.26	\$ 253,907.41	\$ 222,362.84	\$ 374,866.44	\$ 3,041,606.65

Position Funding Summary

Technical Staff				Effort			Funding								
				SRF Set-Asides			Federal						State		
							DWSRF Set-Asides			Other Federal Grants					
Position #	Position Title	Total FTE	Salary (Total)	ASRC Program Administration (FTE)	ASRF Capacity Development (FTE)	ASRB WHP (FTE)	ASRC SPM (FTE)	ASRF Cap Dev (FTE)	ASRB WHP (FTE)	AWPH ADEQ - WHP (FTE)	AHLS Security (FTE)	AWAT PWSS (FTE)	ASGR General Revenue (FTE)	APWF PWS Service Fee (FTE)	AWHF Licensing Fees (FTE)
22105223	Envir Health Spec	1	\$39,421	0.08	0.12	0.80			1						
22106108	Engineer Supv	1	\$60,324	0.77	0.23			1							
22105470	Envir Health Spec	1	\$38,359	0.64	0.33	0.02						1			
22105425	ADH Envir Spec Supv	1	\$44,522	0.43		0.57			1						
22109829	Envir Health Spec	1	\$34,457	0.96	0.04							0.29		0.71	
22105532	Geologist	1	\$28,223	0.00		1.00			1						
22105532	Geologist		\$4,995												
22104604	Engineer Supv	1	\$77,055	0.09	0.89	0.02						1			
22107010	Engineer, P.E.	1	\$34,518	0.54	0.46		1								
22076783	Engineer, P.E.	1	\$80,221	0.92	0.06	0.02		1							
22106127	Engineer Supv	1	\$89,560	0.65	0.35			0.5				0.15		0.35	
22104790	ADH Envir Spec Supv	1	\$62,089	0.98	0.02									1	
22104518	Envir Health Spec (??)	1	\$18,605	0.72	0.28			0.8							
22104518	Envir Health Spec (MK)		\$6,579				0.2								
22106157	Engineer Technician	1	\$35,595	0.99	0.01							0.26		0.74	
22104997	Engineer, P.E.	1	\$73,594	0.82	0.18			1							
22106177	Envir Health Spec	1	\$53,240	0.19	0.21	0.60			1						
22104664	Engineer Technician	1	\$34,738	1.00	0.00							0.26		0.74	
22105843	Envir Health Spec	1	\$17,365	0.02	0.98			0.92			0.08				
22105879	ADH Chief Engineer	1	\$91,054	0.57	0.12	0.31						1			
22105701	Engineer Supv	1	\$65,972	0.52	0.43	0.05	1								
22104936	Envir Health Spec	1	\$38,777	0.93	0.07	0.01							1		
22109218	Engineer, P.E.	1	\$61,677	0.84	0.16							1			
22105676	Engineer	1	\$42,321	0.06	0.35	0.59	1								
22107058	Geologist	1	\$53,150	0.02		0.98			1						
22104914	Engineer	1	\$37,692	0.73	0.27	0.00		1							
22110689	Envir Health Spec	1	\$35,008	0.81	0.19		1								
22106202	Envir Health Spec	1	\$11,500	0.13	0.32	0.55			0.5						
22106202	Envir Health Spec		\$13,130				0.5								
22076785	Engineer	1	\$41,883	0.85	0.15			1							

22105207 ADH Chief Engineer	1	\$81,835	0.86	0.11	0.03						0.29		0.71			
22106665 Envir Health Spec	1	\$34,135	0.69	0.26	0.05	1										
22110035 Engineer	1	\$44,411	0.60	0.40			1									
22106395 Engineer	1	\$39,832	0.57	0.43			1									
22109519 Engineer Supv	1	\$55,750	0.01	0.99					0.04	0.29		0.67				
22105906 Engineer, P.E.	1	\$52,040	0.33	0.66	0.02	1										
22076821 Engineer Technician	0.25	\$10,631	0.12	0.13			0.25									
22104509 Engineer Technician	1	\$35,595	1.00			1										
22105750 Envir Health Spec (CM)	1	\$50,691	0.78	0.17	0.06					0.29		0.71				
22106838 Envir Health Spec	1	\$40,177	0.96	0.01	0.03					0.29		0.71				
22106309 Envir Health Spec	1	\$56,857	0.74	0.25	0.00	1										
22107118 Health Program Spec III	1	\$70,586	0.00	1.00			1									
22106342 Engineer	1	\$65,875	0.90	0.09	0.00					0.23		0.77				
22106817 Envir Health Spec	1	\$64,107	0.96	0.03	0.01					0.29		0.71				
22105615 Envir Health Spec	1	\$23,020	0.00	1.00			1									
22110513 Engineer Technician	1	\$4,725	1.00									1				
22110513 Engineer Technician		\$3,319														
22106823 Geology Supervisor	1	\$36,500	0.00	0.01	0.99		0.28	0.72								
22076817 Engineer Technician	1	\$32,509	0.99	0.01						0.26		0.74				
22105580 Envir Health Spec	1	\$41,240	0.89	0.11						1						
22107098 Envir Health Spec	1	\$35,057	0.90	0.10	0.00	1										
22105489 Envir Health Spec	1	\$37,162	0.00	0.01	0.99			1								
22105224 ADH Director Engineering	1	\$96,576							0.06	0.36		0.25				
22105224 ADH Director Engineering		\$54,800	0.94	0.05	0.01				0.025	0.245		0.06				
22109951 Envir Health Spec	1	\$35,673		0.50	0.50	0.6		0.4								
22105003 Engineer Supv	1	\$64,976	0.82	0.18						1						
22105585 Engineer Supv	1	\$87,026	0.89	0.10	0.00						1					
22111570 Envir Health Spec	1	\$43,320	0.98	0.02						1						
22076920 Engineer Technician	0.125	\$2,938	0.06	0.07			0.125									
22076920 Engineer Technician	0.125	\$3,500	0.04	0.09			0.125									
22105080 Envir Health Spec	1	\$36,916	0.99	0.01						1						
22106663 Engineer	1	\$55,010	0.87	0.13	0.00					1						
22105008 Engineer Technician	1	\$34,995	1.00							0.26		0.74				
22106388 Engineer	1	\$27,615	0.69	0.31		1										
22111755 Envir Health Spec	1	\$39,990	0.92	0.08		1										
22104558 Engineer, P.E.	1	\$51,255	0.87	0.12	0.01		1									
TOTALS			58.5	\$2,876,268	36.61	13.67	8.22	12.3	12.72	7.18	0.72	0.205	12.765	2	10.61	0
%					62.59%	23.37%	14.05%	21.03%	21.74%	12.27%	1.23%	0.35%	21.82%	3.42%	18.14%	0.00%

<i>IT Staff</i>				Effort			Funding								
				SRF Set-Asides			Federal						State		
				<i>ASRC</i> Program Administration (FTE)	<i>ASRF</i> Capacity Development (FTE)	<i>ASRB</i> WHP (FTE)	DWSRF Set-Asides			Other Federal Grants			<i>ASGR</i> General Revenue (FTE)	<i>APWF</i> PWS Service Fee (FTE)	<i>AWHF</i> Licensing Fees (FTE)
							<i>ASRC</i> SPM (FTE)	<i>ASRF</i> Cap Dev (FTE)	<i>ASRB</i> WHP (FTE)	<i>AWPH</i> ADEQ - WHP (FTE)	<i>AHLS</i> Security (FTE)	<i>AWAT</i> PWSS (FTE)			
#	Position	Total FTE	Salary (Total)												
22105749	COMPUTER SUPPORT COOR	1	\$58,528	0.63	0.23	0.14						0.29		0.71	
22111469	SOFTWARE SUPP ANALYST	1	\$53,258	0.63	0.23	0.14						1			
22109788	SOFTWARE SUPP ANALYST	1	\$74,883	0.63	0.23	0.14	1								
22110274	HEALTH PROG SPEC I	1	\$36,628	0.63	0.23	0.14								1	
22076818	ENGINEER TECHNICIAN	1	\$33,340	0.63	0.23	0.14						1			
TOTALS		5	\$256,637	3.15	1.15	0.70	1	0	0	0	0	2.29	0	1.71	0
<i>Administrative Staff</i>				Effort			Funding								
				SRF Set-Asides			Federal						State		
				<i>ASRC</i> Program Administration (FTE)	<i>ASRF</i> Capacity Development (FTE)	<i>ASRB</i> WHP (FTE)	DWSRF Set-Asides			Other Federal Grants			<i>ASGR</i> General Revenue (FTE)	<i>APWF</i> PWS Service Fee (FTE)	<i>AWHF</i> Licensing Fees (FTE)
							<i>ASRC</i> SPM (FTE)	<i>ASRF</i> Cap Dev (FTE)	<i>ASRB</i> WHP (FTE)	<i>AWPH</i> ADEQ - WHP (FTE)	<i>AHLS</i> Security (FTE)	<i>AWAT</i> PWSS (FTE)			
#	Position	Total FTE	Salary (Total)												
22104661	BUDGET ANALYST	1	\$55,475	0.69	0.17	0.14	1								
22111547	Health Program Spec I	1	\$39,707	0.69	0.17	0.14	1								
22105960	Health Program Spec I	1	\$39,807	0.69	0.17	0.14						0.29		0.71	
22105622	ADMIN SPEC III	1	\$25,708	0.69	0.17	0.14						1			
22105926	ADMIN SPEC III	1	\$28,158	0.69	0.17	0.14			1						
22105953	ADMIN SPEC III	1	\$33,041		1.00										1
22111151	ADMIN SPEC III	1	\$36,566	0.69	0.17	0.14						0.29		0.71	
22105983	ADMIN SPEC II	1	\$26,859	0.69	0.17	0.14						0.29		0.71	
22106978	ADMIN SPEC II	1	\$23,024	0.69	0.17	0.14						0.29		0.71	
22104617	ADMIN SPEC II	1	\$27,002	0.69	0.17	0.14						0.29		0.71	
22105973	ADMIN SPEC II	1	\$40,665	0.69	0.17	0.14						0.54		0.46	
22106262	ADMIN SPEC II	1	\$38,758	0.69	0.17	0.14			1						
22106729	ADMIN SPEC II	1	\$27,757	0.69	0.17	0.14								1	
TOTALS		13	\$442,527	8.28	3.04	1.68	2	1	1	0	0	2.99	0	5.01	1
Grand Totals		76.5	\$3,575,431	48.04	17.86	10.60	15.3	13.72	8.18	0.72	0.205	18.045	2	17.33	1

ADH Set-Aside Summary

SRF Grant Year	Set-Aside	% of Grant	Total Available	Total Reserved	Total Budgeted	Total Expended	Remaining Balance	Grant Start Date	Expense Begin Date	Expense End Date	Grant End Date
2007*	Admin	4%	\$ 413,320	\$ -	\$ 413,320	\$ 413,320	\$ -	10/1/2008	Jul-09	Jan-10	9/30/2012
	SPM	10%	\$ 1,033,300	\$ -	\$ 1,033,300	\$ 1,033,300	\$ -		Jul-10	Sep-11	
	TA	2%	\$ 206,660	\$ -	\$ 206,660	\$ 191,907	\$ 14,753		Oct-10	Jan-12	
	WHP	5%	\$ 619,980	\$ -	\$ 516,650	\$ 516,650	\$ 0		May-11	May-12	
	Cap Dev	10%	\$ 929,970	\$ -	\$ 1,033,300	\$ 1,033,300	\$ -		Jul-10	Jul-11	
	Total	27%	\$ 3,203,230	\$ -	\$ 3,203,230	\$ 3,188,477	\$ 14,753		Jul-10	May-12	
ARRA 09	Admin	4%	\$ -	\$ -	\$ -	\$ -	\$ -	2/17/2009	N/A	N/A	9/30/2011
	SPM	10%	\$ 2,448,500	\$ 2,448,500	\$ -	\$ -	\$ -		N/A	N/A	
	TA	2%	\$ 489,700	\$ 489,700	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	0%	\$ -	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Cap Dev	0%	\$ -	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Total	12%	\$ 2,938,200	\$ 2,938,200	\$ -	\$ -	\$ -		N/A	N/A	
2008**	Admin	4%	\$ 409,160	\$ -	\$ 409,160	\$ 409,160	\$ -	10/1/2009	Dec-09	Sep-10	9/30/2013
	SPM	10%	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 858,618	\$ 164,282		Aug-11	Aug-12	
	TA	2%	\$ 204,580	\$ 204,580	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 613,740	\$ -	\$ 511,450	\$ 39,566	\$ 471,884		Apr-12	Jan-13	
	Cap Dev	10%	\$ 920,610	\$ -	\$ 1,022,900	\$ 1,022,900	\$ -		Jun-11	Feb-12	
	Total	27%	\$ 3,170,990	\$ 204,580	\$ 2,966,410	\$ 2,330,243	\$ 636,167		Jun-11	Jan-13	
2009	Admin	4%	\$ 409,160	\$ -	\$ 409,160	\$ 409,160	\$ -	10/1/2010	May-11	Nov-11	9/30/2014
	SPM	10%	\$ 1,022,900	\$ 400,000	\$ 622,900	\$ -	\$ 622,900		Jul-12	Jan-13	
	TA	2%	\$ 204,580	\$ 204,580	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 511,450	\$ -	\$ 511,450	\$ -	\$ 511,450		Dec-12	Sep-13	
	Cap Dev	10%	\$ 1,022,900	\$ -	\$ 1,022,900	\$ 362,321	\$ 660,579		Jan-12	Sep-12	
	Total	27%	\$ 3,170,990	\$ 604,580	\$ 2,566,410	\$ 771,481	\$ 1,794,929		Jan-12	Sep-13	
2010^	Admin	4%	\$ 821,560	\$ -	\$ 821,560	\$ -	\$ 821,560	1/1/2011	Sep-12	Dec-12	12/31/2014
	SPM	10%	\$ 2,053,900	\$ 500,000	\$ 1,553,900	\$ -	\$ 1,553,900		Dec-12	Jul-14	
	TA	2%	\$ 410,780	\$ 410,780	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 1,026,950	\$ -	\$ 1,026,950	\$ -	\$ 1,026,950		Jan-14	Dec-14	
	Cap Dev	10%	\$ 2,053,900	\$ -	\$ 2,053,900	\$ -	\$ 2,053,900		Aug-12	Oct-13	
	Total	27%	\$ 6,367,090	\$ 910,780	\$ 5,456,310	\$ -	\$ 5,456,310		Aug-12	Dec-14	
2011	Admin	4%	\$ 570,080	\$ -	\$ 570,080	\$ -	\$ 570,080	3/1/2012	Sep-13	Jul-14	2/28/2016
	SPM	10%	\$ 1,425,200	\$ 1,425,200	\$ -	\$ -	\$ -		N/A	N/A	
	TA	2%	\$ 285,040	\$ 285,040	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 712,600	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Cap Dev	10%	\$ 1,425,200	\$ -	\$ 1,425,200	\$ -	\$ 1,425,200		Sep-13	Jul-14	
	Total	27%	\$ 4,418,120	\$ 1,710,240	\$ 1,995,280	\$ -	\$ 1,995,280		Sep-13	Jul-14	
2012	Admin	4%	\$ 543,280	\$ -	\$ 543,280	\$ -	\$ 543,280	7/1/2012			6/30/2016
	SPM	10%	\$ 1,358,200	\$ 850,000	\$ 508,200	\$ -	\$ 508,200		Jun-14	Nov-15	
	TA	2%	\$ 271,640	\$ 271,640	\$ -	\$ -	\$ -		N/A	N/A	
	WHP	5%	\$ 679,100	\$ -	\$ -	\$ -	\$ -		N/A	N/A	
	Cap Dev	10%	\$ 1,358,200	\$ -	\$ 1,358,200	\$ -	\$ 1,358,200		Jun-14	May-16	
	Total	27%	\$ 4,210,420	\$ 1,121,640	\$ 2,409,680	\$ -	\$ 2,409,680		Jun-14	Nov-15	
	Set-Aside	% of Grant	Total Available	Total Reserved	Total Budgeted	Total Expended To Date	Total Remaining Balance				
Current Balance Totals**	Admin		\$ 3,166,560	\$ -	\$ 3,166,560	\$ 1,231,640	\$ 1,934,920				
	SPM		\$ 10,364,900	\$ 5,623,700	\$ 4,741,200	\$ 1,891,918	\$ 2,849,282				
	TA		\$ 2,072,980	\$ 1,866,320	\$ 206,660	\$ 191,907	\$ 14,753				
	WHP		\$ 4,163,820	\$ -	\$ 2,566,500	\$ 556,215	\$ 2,010,285				
	Cap Dev		\$ 7,710,780	\$ -	\$ 7,916,400	\$ 2,418,521	\$ 5,497,879				
	Total		\$ 27,479,040	\$ 7,490,020	\$ 18,597,320	\$ 6,290,201	\$ 12,307,119				

* 2007 and 2008 workplans originally budgeted with 6% WHP and 9% Cap Dev. Revised workplans submitted to change to 5% WHP and 10% Cap Dev.

^ 2008 and 2010 workplans originally budgeted without full banking of TA set-aside

** Totals are based on expenditures through June 30, 2012

ADH Summary	
SFY 2012 Expenses	
Admin	\$ 102,293.00
SPM	\$ 1,074,055.99
TA	\$ 51,691.04
WHP	\$ 525,387.04
Cap Dev	\$ 1,390,472.58
Total	\$ 3,143,899.65

ATTACHMENT

B

Summary of Travel Reimbursements State Characters 02, 09

Internal Order ASRB0007 = Wellhead Protection (FCG 07)

Internal Order ASRC0007 = State Program Management (FCG 07)

Internal Order ASRC0008 = State Program Management (FCG 08)

Internal Order ASRC0009 = State Program Management (FCG 09)

Internal Order ASRF0007 = Capacity Development (FCG 07)

Internal Order ASRF0008 = Capacity Development (FCG 08)

Internal Order ASRF0009 = Capacity Development (FCG 09)

Summary of Travel Reimbursements

LAST NAME	FIRST NAME	DESTINATION	DEP DATE	RTN DATE	**CH 02	**CH 09	IO	DESCRIPTION
MAINER	ANDREW	HUGHES-JONESBORO-NEWPORT	20-Jun-11	21-Jun-11	\$104.78		ASRF0009	SAMPLE COLLECTION
CHANDLER	SANDRA	MONTICELLO	21-Jun-11	21-Jun-11	\$ 13.20		ASRB0007	DISTRICT MEETING
MOON	MAEGAN	CLARKSVILLE-RUSSELVILLE-DANVILLE	21-Jun-11	22-Jun-11	\$107.26		ASRF0009	DISINFECTION PROFILE-CALIBRATION CHECK
MOON	MAEGAN	WYNNE-JONESBORO-MT. HOME-EUR. SPRINGS-ALMA	27-Jun-11	29-Jun-11	\$207.86		ASRF0007	SAMPLE COLLECTION
HILBORN	AARON	LITTLE ROCK	22-Jun-11	22-Jun-11	\$ 15.52		ASRC0008	CAR WASH
IRVING	ROBERT	MT. HOME	28-Jun-11	29-Jun-11	\$101.20		ASRF0008	WVM SURVEY
PARKER-FOSTER	HEATHER	VAN BUREN	29-Jun-11	30-Jun-11	\$237.33		ASRF0008	COMPLIANCE COURSE
PARKER-FOSTER	HEATHER	VAN BUREN	1-Jul-11	1-Jul-11	\$ 17.01		ASRF0008	EXAM SESSIONS
MAINER	ANDREW	CLARKSVILLE-FT. SMITH-MT. IDA	5-Jul-11	6-Jul-11	\$ 91.46		ASRF0009	SAMPLE COLLECTION
CHANDLER	SANDRA	FAYETTEVILLE	5-Jul-11	7-Jul-11	\$231.07		ASRB0007	AWRC
STARLING	STAN	FAYETTEVILLE	5-Jul-11	7-Jul-11	\$230.87		ASRB0007	ARK WATER RESOURCES COUNCIL
MOON	MAEGAN	OZARK-VAN BUREN-ALMA	6-Jul-11	7-Jul-11	\$130.57		ASRF0009	CALIBRATION CHECK
WILSON	JACK	BENTONVILLE	12-Jul-11	13-Jul-11	\$126.33		ASRC0007	DISTRICT MEETING
JOHNSON	THOMAS	FAYETTEVILLE-VAN BUREN	13-Jul-11	14-Jul-11	\$ 82.43		ASRF0008	CCCP SURVEY
SELLS	JASON	ROGERS-ELKINS-ST. PAUL-PEA RIDGE	12-Jul-11	13-Jul-11	\$102.83		ASRC0007	SA. SURVEY-DISTRICT MEETING
ARTHUR	ROBERT	CINCINNATI, OHIO	18-Jul-11	21-Jul-11	\$532.38		ASRF0009	AWOP CONFERENCE
LEE	AUSTIN	CINCINNATI, OHIO	18-Jul-11	21-Jul-11	\$556.82		ASRF0009	AWOP CONFERENCE
GIBBONS	ANDREW	STAR CITY	19-Jul-11	19-Jul-11	\$ 12.00		ASRF0009	DISTRICT MEETING
MAINER	ANDREW	MT. HOME-BULL SHOALS	25-Jul-11	26-Jul-11	\$110.82		ASRF0009	CALIBRATION CHECK
HILBORN	AARON	STAR CITY	19-Jul-11	19-Jul-11	\$ 12.00		ASRC0008	DISTRICT MEETING
JONES	MARK	JONESBORO	20-Jul-11	21-Jul-11	\$126.88		ASRC0008	SAN. SURVEY-DISTRICT MEETING
YOUNGBLOOD	STEPHEN	TEXARKANA	27-Jul-11	28-Jul-11	\$212.03		ASRF0008	SAN. SURVEY
GIBBONS	ANDREW	TEXARKANA	27-Jul-11	28-Jul-11	\$211.07		ASRF0009	SAN. SURVEY
PARKER-FOSTER	HEATHER	PARAGOULD-CAMDEN	27-Jul-11	3-Aug-11	\$259.91		ASRF0008	COMPLIANCE COURSE-AETA SEMINAR
MOON	HEATHER	NASHVILLE	2-Aug-11	3-Aug-11	\$ 88.93		ASRF0009	CALIBRATION CHECK
CORDER	CRAIG	CLINTON	3-Aug-11	12-Aug-11	\$446.32		ASRF0008	CPE
ARTHUR	ROBERT	LITTLE ROCK	4-Aug-11	4-Aug-11	\$ 15.00		ASRF0008	DISTRICT MEETING
JOHNSON	CAROLINE	FT. SMITH-JAMES FORK-BONANZA	4-Aug-11	5-Aug-11	\$110.55		ASRC0007	DISTRICT MEETING
FAULKNER	MAC	LITTLE ROCK	4-Aug-11	4-Aug-11	\$ 15.00		ASRF0008	DISTRICT MEETING
FIEGEL	DONALD	CLINTON	8-Aug-11	12-Aug-11	\$424.60		ASRB0007	CPE
LEE	AUSTIN	CLINTON	8-Aug-11	12-Aug-11	\$430.16		ASRF0008	CPE
WILSON	JACK	LITTLE ROCK-FAYETTEVILLE	9-Aug-11	11-Aug-11	\$129.95		ASRC0007	WATER SAMPLING-COMPLIANCE COURSE
PARKER-FOSTER	HEATHER	FAYETTEVILLE	10-Aug-11	11-Aug-11	\$162.48		ASRF0008	ADH PWS COMPLIANCE COURSE
SELLS	JASON	SILO. SPRINGS-FAYETTEVILLE-HARRISON-EUR. SPRINGS	10-Aug-11	24-Aug-11	\$210.51		ASRC0008	DIST. MEETING-COMP. COURSE-SANITARY SURVEY
GIBBONS	ANDREW	BENTONVILLE-FAYETTEVILLE-SPRINGDALE	15-Aug-11	17-Aug-11	\$223.11		ASRF0008	SAMPLING
HILBORN	AARON	PINE BLUFF	16-Aug-11	16-Aug-11	\$ 19.00		ASRC0008	DISTRICT MEETING
NUTT	MARTIN	SHREVEPORT, LA	20-Jul-11	23-Jul-11		\$812.03	ASRF0008	AR W&WW MGRS ASSN CONF
NUTT	MARTIN	PARAGOULD-CAMDEN	27-Jul-11	3-Aug-11	\$276.16		ASRF0008	PWS COMPLIANCE COURSE-TRAINING SEMINAR
KIRKENDOLL	CHRISTINE	BELLEA VISTA	21-Aug-11	22-Aug-11	\$ 95.73		ASRF0008	UPDATE DBP MONITORING PLAN
IRVING	ROBERT	TEXARKANA	24-Aug-11	26-Aug-11	\$222.69		ASRC0007	DISTRICT MEETING-SURVEY
YOUNGBLOOD	STEPHEN	TEXARKANA	24-Aug-11	26-Aug-11	\$224.64		ASRF0008	SANITARY SURVEY

BOWN	KENNETH	CINCINNATI, OHIO	29-Aug-11	1-Sep-11	\$703.72		ASRF0008	DRINKING WATER WORKSHOP
LEE	AUSTIN	ROGERS-PRAIRIE GROVE	30-Aug-11	1-Sep-11	\$174.02		ASRF0008	SANITARY SURVEY-CALIBRATION CHECK
HOLT	CHARLES	ROGERS-PRAIRIE GROVE-AVOCA	30-Aug-11	1-Sep-11	\$236.53		ASRF0008	CALIBRATION CHECK-SANITARY SURVEY
CORDER	CRAIG	ROGERS	30-Aug-11	1-Sep-11	\$221.02		ASRF0008	CALIBRATION CHECK-SANITARY SURVEY
FIEGEL	DONALD	BENTONVILLE	30-Aug-11	1-Sep-11		\$322.59	ASRB0007	AR GIS USERS FORUM
JOHNSON	CAROLINE	FRANK-SEB-FT. SMITH-MILL-WASH-DENNING	1-Sep-11	2-Sep-11	\$100.85		ASRC0007	DISTRICT MEETING-TECHNICAL INFO.
JOHNSON	THOMAS	FAYETTEVILLE	7-Sep-11	9-Sep-11	\$140.04		ASRF0008	CCCP SURVEY-BPAA CONFERENCE
SELLS	JASON	FAYETTEVILLE-WEST FORK	8-Sep-11	9-Sep-11	\$112.77		ASRC0007	PROCTOR EXAM
ARTHUR	ROBERT	VAN BUREN	12-Sep-11	13-Sep-11	\$104.10		ASRF0008	SANITARY SURVEY
JOHNSON	CAROLINE	VAN BUREN-CEDARVILLE	12-Sep-11	13-Sep-11	\$98.90		ASRC0007	SANITARY SURVEY
GIBBONS	ANDREW	VAN BUREN-CEDARVILLE	12-Sep-11	13-Sep-11	\$93.63		ASRF0008	SANITARY SURVEY
JOHNSON	THOMAS	FAYETTEVILLE-SPRINGDALE-MT. HOME-CALICO ROCK	13-Sep-11	15-Sep-11	\$170.22		ASRF0008	CCCP SURVEY-DISTRICT MEETING
BURGHART	STEVEN	PARAGOULD	15-Sep-11	15-Sep-11	\$10.77		ASRF0008	DISTRICT MEETING
PARKER-FOSTER	HEATHER	HOT SPRINGS	18-Sep-11	20-Sep-11		\$245.21	ASRF0008	ARWA 2011 ANNUAL CONFERENCE
STARLING	STAN	HOT SPRINGS	19-Sep-11	20-Sep-11		\$88.49	ASRB0007	ARWA 2011 ANNUAL CONFERENCE
LEE	AUSTIN	AUSTIN TEXAS	3-Aug-11	7-Aug-11	\$610.24		ASRF0008	AWOP CONFERENCE
JOHNSON	CAROLINE	OKLAHOMA CITY OK	25-Sep-11	27-Sep-11		\$328.53	ASRC0008	AWWA CONVENTION
YOUNGBLOOD	STEPHEN	OKLAHOMA CITY OK	25-Sep-11	27-Sep-11		\$476.99	ASRF0008	AWWA CONVENTION
GIBBONS	ANDREW	CARAWAY-LEACHVILLE-MANILA	27-Sep-11	28-Sep-11	\$124.42		ASRF0008	SANITARY SURVEY
JONES	MARK	JONESBORO	27-Sep-11	28-Sep-11	\$148.02		ASRC0009	SANITARY SURVEY
SELLS	JASON	HARRISON-BELLEFONTE-FAYE-ROGERS-EUREKA	27-Sep-11	13-Oct-11	\$340.53		ASRC0007	SA. SURVEY-PROCTOR EXAM-DISTRICT MEETING
CORDER	CRAIG	AUSTIN TEXAS	3-Oct-11	7-Oct-11	\$581.40		ASRF0008	AWOP QUARTERLY MEETING
ARTHUR	ROBERT	AUSTIN TEXAS	3-Oct-11	7-Oct-11	\$603.32		ASRF0008	AWOP QUARTERLY MEETING
BOWN	KENNETH	AUSTIN TEXAS	3-Oct-11	7-Oct-11	\$623.05		ASRF0008	AWOP QUARTERLY MEETING
FAULKNER	MAC	LITTLE ROCK	6-Oct-11	6-Oct-11	\$15.00		ASRF0008	DISTRICT MEETING
HOLT	CHARLES	HARRISON-AVOCA-CENTERTON-BELLA VISTA	18-Oct-11	19-Oct-11	\$43.47		ASRF0008	SAN. SURVEY-EQUIP. CALIBRATION-INVESTIGATION
NUTT	MARTIN	HOT SPRINGS-OKLAHOMA CITY OK-RUSSELLVILLE	18-Sep-11	13-Oct-11		\$781.54	ASRF0008	AR RURAL WATER CONF-SW AWWA CONF. AR VALLEY CO.
CORDER	CRAIG	EUREKA SPRINGS	18-Oct-11	19-Oct-11	\$117.59		ASRF0008	SANITARY SURVEY-ECC
JONES	MARK	PARAGOULD-JONESBORO	20-Oct-11	21-Oct-11	\$137.01		ASRC0007	DISTRICT MEETING-PROCTOR EXAM
SELLS	JASON	FAYETTEVILLE-HARRISON-EUREKA SPRINGS	20-Oct-11	11-Nov-11	\$224.12		ASRC0007	SAN. SURVEY-PROCTOR EXAM
YOUNGBLOOD	STEPHEN	MURFREESBORO	26-Oct-11	27-Oct-11	\$131.48		ASRF0008	SANITARY SURVEY
CORDER	CRAIG	HEBER SPRINGS-EUREKA SPRINGS	31-Oct-11	10-Nov-11	\$654.10		ASRF0008	CPE-SANITARY SURVEY-DISTRICT MEETING
HOLT	CHARLES	HEBER SPRINGS	31-Oct-11	4-Nov-11	\$455.83		ASRF0008	CPE
FIEGEL	DONALD	HEBER SPRINGS	31-Oct-11	2-Nov-11	\$247.12		ASRB0007	CPE
BOWN	KENNETH	HEBER SPRING	31-Oct-11	3-Nov-11	\$465.82		ASRF0008	CPE
LEE	AUSTIN	HEBER SPRING	31-Oct-11	10-Nov-11	\$719.89		ASRF0008	CPE-SANITARY SURVEY-DISTRICT MEETING
GIBBONS	ANDREW	BOISE IDAHO	31-Oct-11	3-Nov-11	\$424.15		ASRF0008	EPA WORKSHOP
BROWN	TAYLOR	HEBER SPRINGS	3-Nov-11	4-Nov-11	\$118.72		ASRC0007	CPE

LITTLE	CLYDE	MARIANNA	3-Nov-11	3-Nov-11	\$ 15.00		ASRB0007	DISTRICT MEETING
HOLT	CHARLES	EUREKA SPRINGS-BERRYVILLE	8-Nov-12	10-Nov-11	\$ 64.50		ASRF0008	DISTRICT MEETING-SANITARY SURVEY
YOUNGBLOOD	STEPHEN	HOPE	17-Nov-12	17-Nov-12	\$ 15.00		ASRF0008	DISTRICT MEETING
JONES	MARK	JONESBORO	17-Nov-11	18-Nov-11	\$133.74		ASRC0007	DISTRICT MEETING-PROCTOR EXAM
FAULKNER	MAC	BENTON	1-Dec-11	1-Dec-11	\$ 12.54		ASRF0009	DISTRICT MEETING
HOLT	CHARLES	LOWELL-SULPHUR SPRINGS	6-Dec-11	7-Dec-11	\$130.34		ASRF0008	BWD SWTP EVALUATION-SANITARY SURVEY
SELLS	JASON	OMAHA-ROGERS-SULPHUR SPRINGS	6-Dec-11	7-Dec-11	\$113.74		ASRC0008	SANITARY SURVEY
HOLT	CHARLES	BENTONVILLE-FAYETT.-EURE SPRINGS-MADISON CO.	13-Dec-11	16-Dec-11	\$379.90		ASRF0008	SAN. SURVEY-DIST. MEETING-DISINFECTION PROFILE
BODMAN	BRADLEY	EUREKA SPRINGS	13-Dec-11	14-Dec-11	\$132.34		ASRF0008	SANITARY SURVEY
WIEDOWER	ASHLEY	RUSSELLVILLE	8-Dec-11	12-Jan-12	\$ 24.34		ASRC0008	DISTRICT MEETING
MAINER	ANDREW	ARKADELPHIA-GLENWOOD	27-Dec-11	28-Dec-11	\$110.08		ASRF0009	CALIBRATION CHECK
DAWSON	DOUGLAS	TEXARKANA-ASHDOWN-NASHVILLE	10-Jan-12	11-Jan-12	\$113.33		ASRF0008	SANITARY SURVEY
GIBBONS	ANDREW	LITTLE ROCK	11-Jan-12	12-Jan-12	\$ 11.00		ASRF0008	MUNICIPAL LEAGUE CONFERENCE
SELLS	JASON	G FOREST-BELLA VI-CENTERTON-EUR SPRINGS-HUNTSV	23-Jan-12	24-Jan-12	\$123.77		ASRC0008	SAN SURVEY-TANK & CEMETARY INSPEC.
JONES	MARK	JONESBORO	18-Jan-12	19-Jan-12	\$134.88		ASRC0007	SAN SURVEY-DISTRICT MEETING
CORDER	CRAIG	DALLAS TEXAS	24-Jan-12	26-Jan-12	\$311.38		ASRF0008	EPA 5 STATE MEETING
KIRKENDOLL	CHRISTINE	DALLAS TEXAS	24-Jan-12	26-Jan-12	\$310.74		ASRF0008	EPA 5 STATE MEETING
HOLT	CHARLES	LOWELL-DECATUR	7-Feb-12	9-Feb-12	\$249.44		ASRF0008	SAN SURVEY-DISTRICT MEETING
NUTT	MARTIN	CAMDEN	8-Feb-12	9-Feb-12	\$151.11		ASRF0008	SITE VISITED-COMPLIANCE COURSE
WIEDOWER	ASHLEY	RUSSELLVILLE	9-Feb-12	9-Feb-12	\$ 13.32		ASRC0008	DISTRICT MEETING
NUTT	MARTIN	LITTLE ROCK	11-Jan-12	12-Jan-12	\$ 15.00		ASRF0008	MUNICIPAL LEAGUE CONFERENCE
NUTT	MARTIN	JONESBORO	15-Feb-12	16-Feb-12	\$160.70		ASRF0008	SITE VISITED-COMPLIANCE COURSE
SELLS	JASON	DECATUR-FAYETTEVILLE	23-Feb-12	24-Feb-12	\$122.25		ASRC0008	SAN SURVEY-PROCTOR EXAM
PRIOLEAU	ALICIA	CAMDEN	8-Feb-12	9-Feb-12	\$148.86		ASRF0008	WATER SYSTEM TOUR-COMPLIANCE COURSE
STARLING	STAN	SIDNEY-HIGHLAND-BIGGERS	9-Feb-12	10-Feb-12	\$ 57.80		ASRB0007	SANITARY SURVEY
JONES	MARK	JONESBORO	15-Feb-12	16-Feb-12	\$142.11		ASRC0007	COMPLIANCE COURSE
PRIOLEAU	ALICIA	JONESBORO	15-Feb-12	16-Feb-12	\$153.15		ASRF0008	WATER SYSTEM TOUR-COMPLIANCE COURSE
CORDER	CRAIG	HOT SPRINGS	19-Mar-12	23-Mar-12	\$518.68		ASRF0008	AMITY CPE
PRIOLEAU	ALICIA	CLARKSVILLE	6-Mar-12	7-Mar-12	\$131.00		ASRF0008	COMPLAINCE COURSE
WIEDOWER	ASHLEY	RUSSELLVILLE	8-Mar-12	8-Mar-12	\$ 10.62		ASRC0007	DISTRICT MEETING
JONES	MARK	PARAGOULD	8-Mar-12	9-Mar-12	\$129.82		ASRC0008	PROCTOR EXAM
BOWN	KENNETH	AMITY-HOT SPRINGS	19-Mar-12	23-Mar-12	\$569.41		ASRC0008	AMITY CPE
LEE	AUSTIN	AMITY-HOT SPRINGS	19-Mar-12	23-Mar-12	\$566.51		ASRF0008	AMITY CPE
JONES	MARK	JONESBORO	21-Mar-12	22-Mar-12	\$147.43		ASRC0008	SANITARY SURVEY
KIRKENDOLL	CHRISTINE	HOT SPRINGS	22-Mar-12	23-Mar-12	\$135.42		ASRF0008	AMITY CPE
BROWN	TAYLOR	HOT SPRINGS	22-Mar-12	23-Mar-12	\$139.42		ASRC0008	AMITY CPE
ROUTH	DARCIA	FT SMITH	20-Mar-12	21-Mar-12	\$126.84		ASRB0007	FAYETTEVILLE SHALE CONFERENCE
CORDER	CRAIG	CLINTON	3-Apr-12	19-Apr-12	\$233.25		ASRF0008	TECHNICAL ASSISTANCE
WIEDOWER	ASHLEY	CLINTON	3-Apr-12	19-Apr-12	\$219.64		ASRC0008	JAR TESTING TRAINING

LEE	AUSTIN	CLINTON	3-Apr-12	4-Apr-12	\$114.36		ASRF0008	TECHNICAL ASSISTANCE
NUTT	MARTIN	ROGERS	10-Apr-12	12-Apr-12	\$251.39		ASRF0008	SITE VISITED-COMPLIANCE COURSE
PRIOLEAU	ALICIA	ROGERS	10-Apr-12	12-Apr-12	\$231.52		ASRF0008	SITE VISITED-COMPLIANCE COURSE
SELLS	JASON	ELKINS-ROGERS	10-Apr-12	11-Apr-12	\$128.81		ASRC0008	SANITARY SURVEY-COMPLIANCE COURSE
HOLT	CHARLES	FAYETTEVILLE-LINCOLN-MADISON COUNTY	10-Apr-12	12-Apr-12	\$221.32		ASRF0008	SANITARY SURVEY-DISTRICT MEETING
LITTLE	CLYDE	CALDWELL	12-Apr-12	12-Apr-12	\$ 13.00		ASRB0007	DISTRICT MEETING
LEE	AUSTIN	CLINTON	18-Apr-12	19-Apr-12	\$127.06		ASRF0008	TECHNICAL ASSISTANCE
YOUNGBLOOD	STEPHEN	HOT SPRINGS	19-Mar-12	23-Mar-19	\$604.60		ASRF0008	AMITY CPE
JONES	MARK	JONESBORO	19-Apr-12	20-Apr-19	\$124.07		ASRC0008	PROCTOR EXAM
PRIOLEAU	ALICIA	HOT SPRINGS	29-Apr-12	30-Apr-12		\$243.70	ASRC0008	COMPLIANCE COURSE
ROUTH	DARCIA	HOT SPRINGS	29-Apr-12	1-May-12		\$247.61	ASRB0007	AWWA & WEA CONVENTION
STARLING	STAN	HOT SPRINGS	29-Apr-12	2-May-12		\$249.86	ASRB0007	AWWA & WEA CONVENTION
FIEGEL	DONALD	HOT SPRINGS	29-Apr-12	1-May-12		\$284.97	ASRB0007	AWWA & WEA CONVENTION
WIEDOWER	ASHLEY	HOT SPRINGS	30-Apr-12	1-May-12		\$112.13	ASRC0007	AWWA & WEA CONVENTION
FIEGEL	DONALD	DALLAS TEXAS	7-May-12	10-May-12	\$606.07		ASRB0007	AWOP QUARTERLY MEETING
HOLT	CHARLES	FARMINGTON	7-May-12	8-May-12	\$123.08		ASRF0008	SANITARY SURVEY
LEE	AUSTIN	DALLAS TEXAS	7-May-12	10-May-12	\$570.07		ASRF0008	AWOP QUARTERLY MEETING
BRADLEY	DAVID	ST LOUIS MO	8-May-12	11-May-12	\$550.35		ASRC0008	ASDWSA/EPA DATA MNG. CONFERENCE
JOHNSON	THOMAS	SPRINGDALE-DECATUR	9-May-12	10-May-12	\$134.89		ASRF0008	CCCP SURVEYS
WIEDOWER	ASHLEY	RUSSELLVILLE	10-May-12	10-May-12	\$ 12.34		ASRC0008	DISTRICT MEETING
SELLS	JASON	HARRISON-LEAD HILL-DIAMOND CITY-BERGMAN	15-May-12	16-May-12	\$125.92		ASRC0008	SANITARY SURVEY
TALLEY	CHRISTOPHER	VAN BUREN	16-May-12	17-May-12	\$126.47		ASRC0008	COMPLIANCE COURSE
PRIOLEAU	ALICIA	VAN BUREN	16-May-12	17-May-12	\$132.72		ASRF0008	COMPLIANCE COURSE
FAULKNER	MAC	JONESBORO	21-May-12	21-May-12	\$ 87.40		ASRF0008	DISTRIBUTION COURSE (CANCELLED)
YOUNGBLOOD	STEPHEN	MAGNOLIA	26-Apr-12	26-Apr-12	\$ 16.40		ASRF0008	DISTRICT MEETING
NUTT	MARTIN	HOT SPRINGS	29-Apr-12	2-May-12		\$324.12	ASRF0008	AWWA & WEA CONVENTION
WALKER	ADDISON	MOUNTAIN HOME	29-May-12	30-May-12	\$111.27		ASRF0009	CALIBRATION CHECK
MAINER	ANDREW	MOUNTAIN HOME	29-May-12	30-May-12	\$119.17		ASRF0009	CALIBRATION CHECK
PRIOLEAU	ALICIA	FAYETTEVILLE	6-Jun-12	8-Jun-12	\$224.90		ASRF0008	COMPLIANCE COURSE
SELLS	JASON	FAYETTEVILLE-G FOREST-HARRISON	6-Jun-12	14-Jun-12	\$248.13		ASRC0008	COMP. COURSE-SAN SURVEY-DIST. MEETING
FAULKNER	MAC	BENTON	7-Jun-12	7-Jun-12	\$ 13.93		ASRF0008	DISTRICT MEETING
WALKER	ADDISON	TEXARKANA	11-Jun-12	13-Jun-11	\$208.87		ASRF0008	CALIBRATION CHECK
WIEDOWER	ASHLEY	RUSSELLVILLE	14-Jun-12	14-Jun-12	\$ 14.80		ASRC0008	DISTRICT MEETING
LITTLE	CLYDE	WYNNE	14-Jun-12	14-Jun-12	\$ 15.00		ASRC0008	DISTRICT MEETING
WIEDOWER	ASHLEY	FT SMITH	18-Jun-12	22-Jun-12	\$439.10		ASRF0008	CPE
FIEGEL	DONALD	FT SMITH	18-Jun-12	22-Jun-12	\$498.88		ASRB0007	CPE
KIRKENDOLL	CHRISTINE	FT SMITH	18-Jun-12	22-Jun-12	\$428.75		ASRF0008	CPE

HATFIELD	MARSHALL	FT SMITH	18-Jun-12	22-Jun-12	\$471.06		ASRF0008	CPE
MAINER	ANDREW	FT SMITH	18-Jun-12	21-Jun-12	\$350.83		ASRF0008	CPE
LEE	AUSTIN	FT SMITH	18-Jun-12	22-Jun-12	\$504.58		ASRF0008	CPE
CORDER	CRAIG	FT SMITH	18-Jun-12	22-Jun-12	\$443.88		ASRF0008	CPE
ARTHUR	ROBERT	FT SMITH	18-Jun-12	22-Jun-12	\$441.65		ASRF0008	CPE
BURGHART	STEVEN	JONESBORO	21-Jun-12	21-Jun-12	\$ 13.00		ASRF0008	DISTRICT MEETING

****CH2 is Character 2 travel classified by the State as travel that does not include a registration fee (includes both in-state and out of state travel)**

****CH9 is Character 9 travel classified by the State as travel that does include a registration fee (includes both in-state and out of state travel)**

APPENDIX

E

DWSRF Project Management System (PBR-BASE/ARRA) and Federal Funding Accounting and Transparency Act Requirement (FFATA)

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : Central Arkansas Water#2 First Principal Date : 10/15/2014 Tracking # : WRD#003-664
 Assistance Type : Loan Loan Interest Rate : 3.25 % Other # : 00703-DWSRF-L
 Funding Source : SRF State Market Interest Rate : 0.00 % Incremental Funding ? : ☐

	Initial Amount	Final Amount		
Total Assistance \$	4,000,000	4,000,000	Loan Terms : Yrs	Phase # : 0
Principal Forgiveness \$	0	0	% Funded By DWSRF : 0.00	Original Tracking # :
			Disadvantaged Assistance ? : <input type="checkbox"/>	Same Health Benefits ? : <input type="checkbox"/>
Loan Closing Date :	07/07/2011		ARRA Funding : <input type="checkbox"/>	
Final : <input type="checkbox"/>				

PROJECT INFORMATION

PWS Number : AR0000465 System Name : CENTRAL ARKANSAS WATER
 Country(s) Served :
 System Type : Community Primary : Pulaski
 Ownership Type : Municipal Other Country 1 :
 Age of the System : 32 Other Country 2 :

Project Description : This project is designed for CAW to extend a 16-inch water line along Hwy 10 to western pulaski county to provide service to Wye Mountain.

Project Purpose : Assist Compliant Systems to Maintain Compliance
 Project Start Date : 07/07/2011 Project Completion Date :
 Number of Projects Funded : 0
 Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☒ Number of Systems Eliminated : 0

Population Served Current		Number of Connections Current	
By the Project :	0	By the Project :	0
By the System :	323,212	By the System :	112,709

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	Transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	100 4,000,000	100

Total % : 0

Public Health Impact Description : The purpose of these projects is to develop the best course of action to provide a safe and dependable water supply for the Wye Mountain Water System and to provide a safe and dependable water supply for the northwestern area of Pulaski County in the Lake Maumelle watershed

Other Project Comments : CAW will provide a more dependable water supply to the project area which will allow for growth in the water system.

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : Jacksonville Water Works First Principal Date : 04/15/2015 Tracking # : WRD-003-727
 Assistance Type : Loan Loan Interest Rate : 3.25 % Other # : 00798-DWSRF-L
 Funding Source : SRF State Market Interest Rate : 0.00 % Incremental Funding ? : ☐

	Initial Amount	Final Amount		
Total Assistance \$	25,000,000	25,000,000	Loan Terms : Yrs	Phase # : 0
Principal Forgiveness \$	0	0	% Funded By DWSRF : 100.00	Original Tracking # :
			Disadvantaged Assistance ? : <input type="checkbox"/>	Same Health Benefits ? : <input type="checkbox"/>
Loan Closing Date :	11/29/2011		ARRA Funding : <input type="checkbox"/>	
Final : <input type="checkbox"/>				

PROJECT INFORMATION

PWS Number : AR0000466 System Name : JACKSONVILLE WATERWORKS
 Country(s) Served :
 System Type : Community Primary : Pulaski
 Ownership Type : Municipal Other Country 1 :
 Age of the System : 33 Other Country 2 :

Project Description : Construction of 3 MG West Area Tank, installation of the North/South transmission Mains, installation of the South Source Transmission Mains and Meter Station, installation of the General Samuels Road waterline, and installation of SCADA upgrades.

Project Purpose : Assist Compliant Systems to Meet Future Reqrmts
 Project Start Date : 11/29/2011 Project Completion Date :
 Number of Projects Funded : 0
 Project Creates New Systems : ☐ Project Consolidates Systems (Yes) : ☐ Number of Systems Eliminated : 0

Population Served Current	Number of Connections Current
By the Project : 9,513	By the Project : 0
By the System : 16,878	By the System : 9,361

Project Nims Categories and Compliance objectives

Nims Categories :

	Percentage :	Transmission & Distribution	% Of Assistance
<input checked="" type="checkbox"/>	Amount :	100 25,000,000	100

Total % : 0

Public Health Impact Description : The implementation of this long term plan will provide Jacksonville Water Works with a reliable source of water to meet future projected needs and provide redundancy in multiple sources.

Other Project Comments :

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : City of Norman
 Assistance Type : Grant
 Funding Source : SRF

First Principal Date : 10/15/2015
 Loan Interest Rate : 3.25 %
 State Market Interest Rate : 3.25 %

Tracking # : WRD-003-781
 Other # : 000871-DWSRF-F
 Incremental Funding ? : ☐

	Initial Amount	Final Amount
Total Assistance \$	2,310,000	2,310,000
Principal Forgiveness \$	2,310,000	2,310,000

Loan Terms :	Yrs	Phase # :	0
% Funded By DWSRF :	100.00	Original Tracking # :	
Disadvantaged Assistance ? :	<input checked="" type="checkbox"/>	Same Health Benefits ? :	<input type="checkbox"/>

Loan Closing Date : 06/22/2012

ARRA Funding : ☐

Final : ☐

PROJECT INFORMATION

PWS Number : AR0000391

System Name : NORMAN WATERWORKS

System Type : Community

Country(s) Served :

Ownership Type : Municipal

Primary : Montgomery

Age of the System : 33

Other Country 1 :

Other Country 2 :

Project Description : To replace its entire existing water distribution system using PVC pipe. The City will replace 42,328lf of eight(8)inch water main, 4,385lf of six(6)inch water main, 48,524lf of four(4)inch water main, 2,145lf of three(3)inch water main, 32,303lf of two(2)inch water main and 300lf of one(1) inch water main along with associated appurtenances.

Project Purpose : Assist Compliant Systems to Maintain Compliance

Project Start Date : 07/09/2012

Project Completion Date :

Number of Projects Funded : 0

Project Creates New Systems : ☐

Project Consolidates Systems (Yes) : ☐

Number of Systems Eliminated : 0

Population Served Current

By the Project :	0
By the System :	850

Number of Connections Current

By the Project :	0
By the System :	340

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	Transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	100 2,310,000	100

Total % : 0

Public Health Impact Description : When the improvements are complete the City expects to see a significant reduction in their unaccounted for water which should result in increased energy and water savings along with decreased revenue losses for the water department.

Other Project Comments : With the existing storage tank currently being modified and painted and with the replacement of their distribution mains and appurtenances the city's water system will be up to standards for the next 20-30 years.

DWSRF Project Management System

LOAN INFORMATION

Record Complete Flag : ☐

Borrower : Wye Mountain WFB
 Assistance Type : Loan
 Funding Source : SRF

First Principal Date : 04/15/2013
 Loan Interest Rate : 3.25 %
 State Market Interest Rate : 0.00 %

Tracking # : WRD-003-664
 Other # : 00702-DWSRF-L
 Incremental Funding ? : ☐

	Initial Amount	Final Amount
Total Assistance \$	2,050,000	2,050,000
Principal Forgiveness \$	0	0

Loan Terms : Yrs
 % Funded By DWSRF : 100.00
 Disadvantaged Assistance ? : ☒
 ARRA Funding : ☐

Phase # : 0
 Original Tracking # :
 Same Health Benefits ? : ☐

Loan Closing Date : 11/15/2011

Final : ☐

PROJECT INFORMATION

PWS Number : AR0000410

System Name : WYE MOUNTAIN WATER ASSO

Country(s) Served :

System Type : Community
 Ownership Type : Municipal
 Age of the System : 33

Primary : Perry
 Other Country 1 : Pulaski
 Other Country 2 :

Project Description : This project is designed for CAW to extend a 16-inch water line along Hwy 10 to western pulaski county to provide service to Wye Mountain.

Project Purpose : Assist Compliant Systems to Maintain Compliance

Project Start Date : 11/15/2011

Project Completion Date :

Number of Projects Funded : 0

Project Creates New Systems : ☐

Project Consolidates Systems (Yes) : ☐

Number of Systems Eliminated : 0

Population Served Current

By the Project : 0
 By the System : 1,330

Number of Connections Current

By the Project : 0
 By the System : 539

Project Nims Categories and Compliance objectives

Nims Categories :

<input checked="" type="checkbox"/> Percentage :	transmission & Distribution	% Of Assistance
<input type="checkbox"/> Amount :	100 2,050,000	100

Total % : 0

Public Health Impact Description : The purpose of these projects is to develop the best course of action to provide a safe and dependable water supply for the Wye Mountain Water System and to provide a safe and dependable water supply for the northwestern area of Pulaski County in the Lake Maumelle watershed.

Other Project Comments :

FFATA Report For Grants

Federal Award Identifier Number (FAIN): 98600113

Federal Agency ID: 6800

Is this information correct?: Yes

Federal Agency Name: 6800 (oldCODE)

Prime Awardee DUNS #: 106620565

Is this information correct?: Yes

DUNS Number +4:

Prime Awardee Name: ARKANSAS NATURAL RESOURCES COMMISSION

Prime Awardee Address: 101 E CAPITOL AVE STE 350
LITTLE ROCK, Arkansas 722013813

Prime Awardee Parent DUNS #: 065320400

Principal Place Of Performance(POP):
Statewide, Arkansas 72201

CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds

Is this information correct?: Yes

Project Description: To provide federal funds to the State of Arkansas for the purposes of providing loan assistance to eligible public water systems for infrastructure i

Is this information correct?: Yes

Total Federal Funding Amount: 20539000.00

Is this information correct?: Yes

Obligation/Action Date: April 5, 2011

Is this information correct?: Yes

Report Month: May 2011

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No

Does the public have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific CCR record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No

Prime Awardee Names and Compensation of Highly Compensated Officers:

Subawardee Data

Subawardee Information:	Subawardee DUNS: 040623332 Subawardee Name: BRYANT, CITY OF Subawardee Doing Business As Name: CITY OF BRYANT Subawardee Address: 210 SW 3RD ST BRYANT, Arkansas 720223939 Subawardee Parent DUNS: 040623332 Amount of Subaward: 6289000.00 Subaward Obligation/Action Date: February 24, 2011 CFDA Program Number(s): 66.468 Capitalization Grants for Drinking Water State Revolving Funds Federal Agency ID: 6800 Federal Agency Name: 6800 (oldCODE)
	To address problems within the water distribution system, the City of Bryant plans to construct a new water storage tank, pump station, SCADA system and a series of water lines at various locations throughout the system. The pump station will allow the existing water storage tank to fill properly which will provide higher system pressures. The water storage tank will provide more water storage. The tank mixing systems will help reduce water age and improve water quality.
Subaward Project Description:	
Subawardee Principal Place of Performance:	Bryant, Arkansas 720223939
Subaward Number:	00769-DWSRF-L
As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?:	No
As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?:	No
Subawardee DUNS:	136197365
Subawardee Name:	SEARCY WATER CO
Subawardee Doing Business As Name:	SEARCY WATER & SEWER SYSTEMS
Subawardee Address:	300 N ELM ST SEARCY, Arkansas 721435270
Subawardee Parent DUNS:	136197365
Amount of Subaward:	14250000.00
Subaward Obligation/Action Date:	November 29, 2010
CFDA Program Number(s):	

Federal Agency ID: Federal Agency Name: Subaward Project Description: Subawardee Principal Place of Performance: Subaward Number:	66.468 Capitalization Grants for Drinking Water State Revolving Funds 6800 6800 (oldCODE) The City of Searcy's water system was experiencing peak demands that exceeded 80% of rated capacity. Expansion of the existing water treatment facility from 15 mgd capacity to 19 mgd capacity and additional water system improvements will allow the city of Searcy to maintain compliance. Because of the demands, Arkansas Dept. of Health reminded the Utility that now is the time to begin substantive steps towards increasing water treatment capacity, in advance of the demands reaching a more critical level. The expansion will allow the city of Searcy to maintain compliance with the Safe Drinking Water Act. Searcy, Arkansas 721435270 00799-DWSRF-L As provided to you by your subawardee, in your subawardee's business or organization's preceding completed fiscal year, did its business or organization (the legal entity to which the DUNS number it provided belongs) receive (1) 80 percent or more of its annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?: No As provided to you by your subawardee, does the public have access to information about the compensation of the executives in the subawardee's business or organization (the legal entity to which the DUNS number it provided belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?: No
---	--
